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## Prognostic Factors in Resected Primary Small Bowel Tumors

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### Key Words

Small bowel tumors  
Prognostic factors

### Abstract

We report a retrospective analysis of 71 patients, operated for primary small bowel tumors (SBT): 47 malignant (66.2%) and 24 benign (33.8%) tumors. Of the malignant tumors, adenocarcinomas predominated (38.3%), followed by neuroendocrine tumors (31.9%), Non-Hodgkin lymphomas (NHL) (12.8%), leiomyosarcomas (10.6%) and other rare entities (6.4%). Morbidity of surgical treatment was 16.9%, 30-day mortality 7%. The estimated 5-year survival rate in malignant lesions was 31.8%. Univariate analysis identified the presence of distant metastasis and the resection status (R status) as prognostic factors ( $p = 0.034$  and  $p = 0.001$ ). There was no influence of T, N status or grading on survival. A complete macroscopic and microscopic tumor resection has to be the aim of any curative surgical approach in patients with SBT.

### Introduction

Small bowel tumors (SBT) are remarkably rare. Constantly 320 patients died each year suffering of malignant neoplasms of the small bowel in Germany from 1990 until 1994 [1]. The surgeon is challenged in the diagnosis and the treatment of these tumors, because of their infrequency, the different histological types of these tumors and unspecific symptoms. The first report of a case of duodenal carcinoma was made by Hamburger [2] in 1746. The first collective series of malignant small bowel neoplasma was published by Leichtenstein [3] in 1876. Hearteaux [4] in 1899, was the first to describe a collective series of benign SBT. We report the surgical treatment, survival rates and prognostic factors in a series of patients with SBT, treated at a single institution between 1985 and 1995.

### Patients and Methods

Between January 1985 and December 1995, 71 patients with primary SBT were operated at the Department of Surgery. These included only primary tumors, located between the duodenum and the ileocecal valve. Tumors, found at autopsy, periampullary tumors and tumors at the ileocecal valve or arising in the mesentery were excluded. Benign lesions were mainly adenomas and leiomyomas (table 1). Malignant tumors were comprised mostly of adenocarcinomas (38.2%) and neuroendocrine tumors (31.9%) (table 2). The TNM categories, grading and the presence of residual tumor were reassessed for adenocarcinomas according to the UICC 1992 criteria [5]. Non-Hodgkin lymphoma (NHL) was classified according to the Ann-Arbor classification [6]. Leiomyosarcomas were staged according to tumor size and grading [5]. In spite of a wide variety of investigations, the correct diagnosis was made preoperatively in only 71.8% of the patients. Elective surgery was performed in 59 (83.1%) and surgical emergency surgery in 12 (16.9%) patients, 44 (93.6%) patients with malignant tumors were operated with curative, and 3 (6.4%) with palliative intention.

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**Table 1.** Histologic type and localization of benign small bowel tumors

Histological entities	Duodenum	Jejunum	Ileum	Total
Adenoma	13 (100%)	–	–	13 (54.2%)
Leiomyoma	1 (20%)	3 (60%)	1 (20%)	5 (20.8%)
Fibroma	1 (50%)	–	1 (50%)	2 (8.3%)
Ectopic pancreatic tissue	–	1 (100%)	–	1 (4.15%)
Cyst	1 (100%)	–	–	1 (4.15%)
Lipoma	1 (100%)	–	–	1 (4.15%)
Neurinoma	1 (100%)	–	–	1 (4.15%)
Total	18 (75%)	4 (16.7%)	2 (8.3%)	24 (100%)

**Table 2.** Histologic type and localization of malignant small bowel tumors

Histological entities	Duodenum	Jejunum	Ileum	Total
Adenocarcinoma	11 (61.1%)	7 (38.9%)	–	18 (38.3%)
Neuroendocrine tumors	–	1 (6.7%)	14 (93.3%)	15 (31.9%)
Non-Hodgkin lymphoma	1 (16.7%)	4 (66.6%)	1 (16.7%)	6 (12.8%)
Leiomyosarcoma	2 (40%)	2 (40%)	1 (20%)	5 (10.6%)
Melanoma	–	1 (100%)	–	1 (2.12%)
Schwannoma	1 (100%)	–	–	1 (2.12%)
Histiocytoma	–	1 (100%)	–	1 (2.12%)
Total	15 (32%)	16 (34%)	16 (34%)	47 (100%)

Follow-up was performed by the oncologic outpatient clinic and personal contact with the patients, their general practitioner and/or their relatives. Survival rates were calculated according to Kaplan and Meier [7]. Statistical differences between the groups were determined using the log-rank test.  $p \leq 0.05$  was considered significant.

## Results

### *Age/Sex/Symptoms and Operative Procedures*

There were 40 males (56.4%) and 31 females (43.6%). The mean age (range) of the patients was 58.9 years (20.6–84.8). 36 (50.7%) of all patients were between 50 and 70 years of age. Females were on average 10 years older than males (64.3 vs. 54.8). There were no significant difference in the age and sex distribution between patients with

**Table 3.** Operative procedures

Operative procedures	Total		Benign SBT		Malignant SBT	
	n	%	n	%	n	%
Segmental small bowel resection <sup>a</sup>	35	49.3	7	29.2	28	59.6
Right hemicolectomy <sup>b</sup>	8	11.3	1	4.2	7	14.9
Wedge resection <sup>c</sup>	5	7	5	20.8	–	–
Whipple-procedure	8	11.3	2	8.3	6	12.8
Traverso-Longmire procedure	2	2.8	–	–	2	4.25
Billroth procedure	2	2.8	–	–	2	4.25
Gastroenterotomy	1	1.4	–	–	1	2.1
Endoscopic exstirpation	5	7	5	20.8	–	–
Duodenal exstirpation	4	5.7	4	16.7	–	–
Diagnostic laparotomy	1	1.4	–	–	1	2.1
Total	71	100	24	100	47	100

<sup>a</sup> Including: 1 patient with additional sigmoidectomy and 3 with additional excision of hepatic metastasis.

<sup>b</sup> Including: 1 patient with hysterectomy and excision of hepatic metastasis, and 1 patient with additional excision of diaphragmal metastasis.

<sup>c</sup> Including: 3 patients with partial duodenal resections.

**Table 4.** Morbidity/treatment/outcome and mortality

	n	Morbidity/treatment	Outcome	Mortality
Benign SBT	1	duodenal fistula → drain and antibiotics	secondary healing	–
	1	anastomotic leak → drain and antibiotics	secondary healing	–
	1	abscess → drain and antibiotics	died on sepsis	† 30th postop. day
	1	cardiac failure → ICU	died	† 21st postop. day
Total	–	4/71 (5.6%)	–	2/24 (8.4%)
Malignant SBT	3	wound dehiscence	secondary healing	–
	1	postoperative hemorrhage → relaparotomy	anastomotic leak sepsis	† 7th postop. day
	1	severe pneumonia	antibiotics	† 30th postop. day
	1	postoperative hemorrhage → conservative treatment	pancreatic fistula	† 8.2 months
	1	stricture of gastroenterostomy → dilatation	secondary healing	–
	1	subphrenic abscess → drain and antibiotics	secondary healing	–
Total	–	8/71 (11.3%)	–	3/47 (6.4%)
Total of all SBT	–	12/71 (16.9%)	–	5/71 (7%)

benign or malignant SBTs. The most frequent symptoms in decreasing order were sickness (76%), abdominal pain of less than 6 months' duration (60.6%), vomiting (49.3%), weakness and weight loss (45%). Although no symptom/complex was specific for a histological lesion, 93.4% of neuroendocrine tumors presented with flush – especially after consumption of red wine – and diarrhea (not significant). 11.3% of all SBT had a low hemoglobin

and bleeding symptoms. The operative procedures performed are listed in table 3.

#### *Morbidity/Mortality*

Overall, 12 patients (16.9%) (8 malignant [11.3%], 4 benign [5.6%]) had postoperative complications. The overall hospital mortality was 7% (n = 5), 6.4% (n = 3) in malignant lesions, 8.4% (n = 2) in benign lesions (table 4).

### Tumor Types and Localization

Of the 71 patients, 33 (46.5%) had lesions in the duodenum, 20 (28.2%) in the jejunum and 18 (25.4%) in the ileum. 75% of the benign tumors were located in the duodenum, 16.7% in the jejunum and 8.3% in the ileum (table 1). Pathohistological analysis showed 13 (54.2%) adenomas, 5 (20.8%) leiomyomas, 2 (8.3%) fibromas and each 1 case (4.175%) of ectopic pancreatic tissue, embryonal diverticula, lipoma and neurinoma. All adenomas were localized in the duodenum, 60% of the leiomyomas in the jejunum. Malignant SBT showed no predominant localization: 32% in the duodenum, 34% in the jejunum and 34% in the ileum (fig. 1, table 2). The most common malignant tumors of the small intestine were adenocarcinomas (38.3%) and neuroendocrine tumors (31.9%), followed by NHLs (12.8%), leiomyosarcomas (10.6%) and other rare entities (6.4%). Adenocarcinomas occurred in 61.1% in the duodenum, 38.9% in the jejunum and none in the ileum. 93.3% of neuroendocrine tumors were located in the ileum, 6.7% in the jejunum and none in the duodenum. Two thirds of NHL were found in the jejunum. 4 of 5 leiomyosarcomas were located in the duodenum and the jejunum.

### Tumor Stage

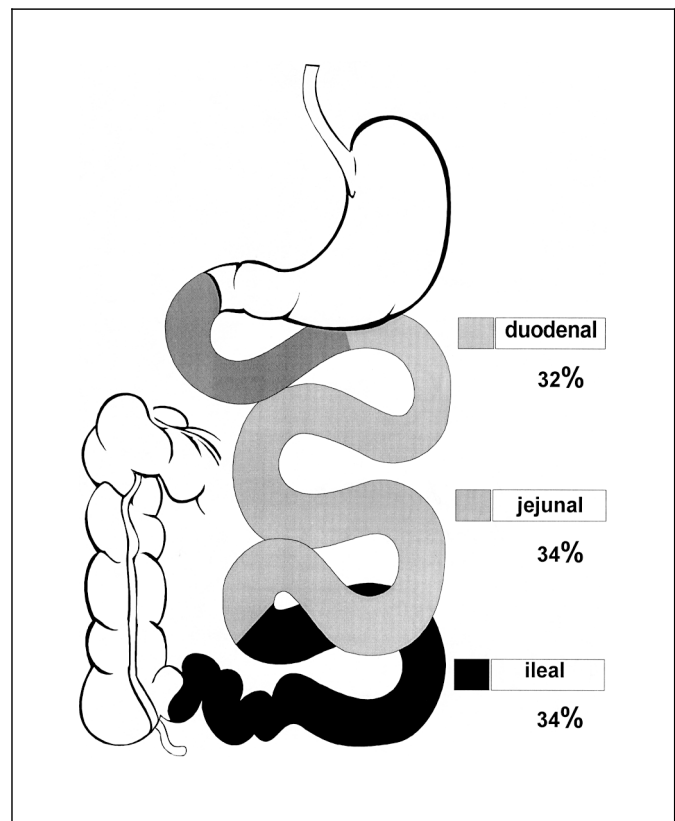
Of the 18 adenocarcinomas, 1 patient had stage I, 1 had stage III disease, 9 had stage II and 7 had stage IV tumors. NHLs were classified according to the Ann-Arbor classification: 4 patients presented in stage IVb and 2 in stage IVa. 2 patients had low-grade and 4 high-grade lymphomas. Of the patients with leiomyosarcomas, 2 had a T1 and 3 a T2 tumor.

### Distant Metastasis

Of the 47 malignant small bowel tumors, 19 (40.4%) had distant metastasis, including 7 adenocarcinomas, 8 neuroendocrine tumors, 1 NHL, 1 leiomyosarcoma, 1 melanoma and 1 histiocytoma. Liver metastasis were most common (13 patients). Solitary metastasis of the liver were found in 8 patients, while the other 5 had additional metastases in the peritoneum, the diaphragm and the lymph nodes.

### R Status

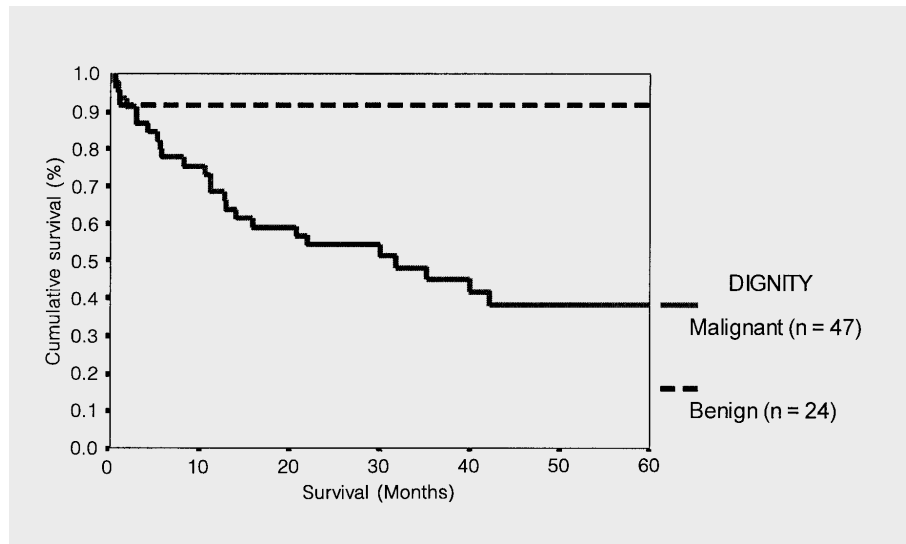
Regarding the primary tumor, a local R0 resection could be achieved in 37 of 47 small bowel tumors, 4 had microscopic residual tumor (R1), 6 patients had a local R2 resection.



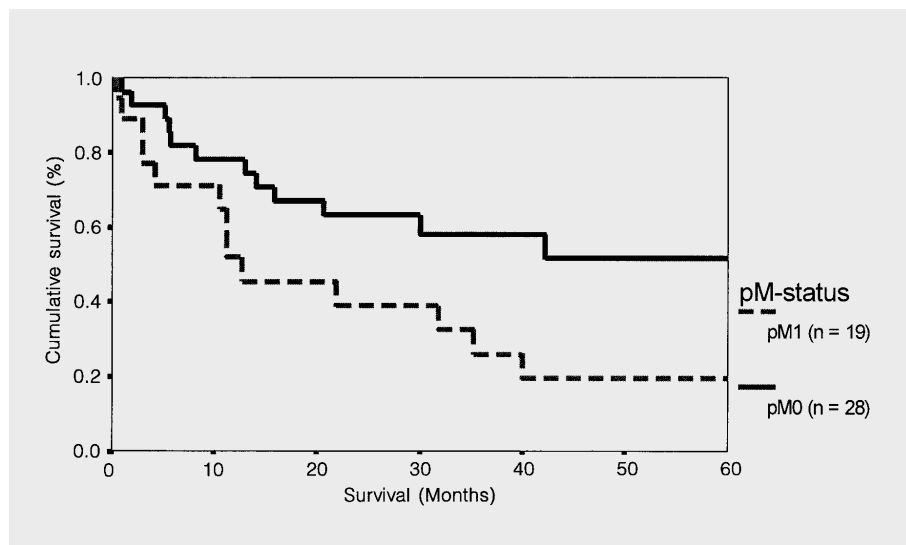
**Fig. 1.** Distribution of malignant small bowel tumors in the present series (n = 47).

### Survival

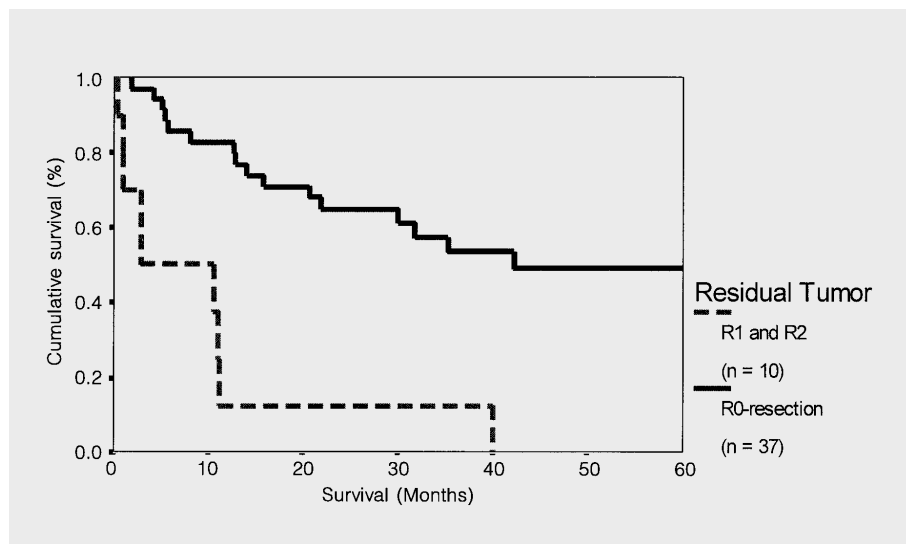
The estimated median survival time for patients with malignant SBT was 31.8 months (range 11.9–51.8). In benign tumors the median survival was not reached, because within the period of observation merely 12.5% patients died (fig. 2) (none of these patients died because of the tumor). 40% of those with neuroendocrine tumors, 50% with adenocarcinomas, 66.6% with NHLs and 80% with leiomyosarcomas died during follow-up. There was a significant difference in survival between those with and without distant metastasis ( $p = 0.034$ ) (fig. 3). The estimated median survival time of patients with metastasis (M1) was 12.8 months, while the median survival time was not reached for patients without metastasis (M0). 68.4% of the patients with metastasis (M1) and 28.6% without died during follow-up. There was no correlation between T, N status or grading and survival. Patients after R1 and R2 resection had a statistically lower estimated median survival of 10 months in comparison to those with local R0 resection,  $p = 0.001$  (fig. 4). 43.3% of R0



**Fig. 2.** Survival of benign and malignant small bowel tumors.



**Fig. 3.** Survival according to pM status in 47 malignant small intestine neoplasms.



**Fig. 4.** Survival according to residual tumor in 47 malignant small intestine neoplasms.

and 90% of R1 and R2 resected patients died during follow-up. All patients who had a palliative resection died during follow-up.

## Discussion

Merely 1–3% of all gastrointestinal malignant neoplasms are localized in the small intestine [8–14]. Our retrospective series of 71 patients with primary SBTs included 24 benign and 47 with malignant tumors. In agreement with other authors, the highest incidence of tumors in the small intestine was noted in patients between 50 and 70 of age [13, 15–20] with a slight male predominance [12, 20, 21]. 93.4% of the patients with neuroendocrine tumors presented with flush and diarrhea – especially after consumption of red wine, otherwise symptoms were unspecific. A correct preoperative diagnosis was made in 71.8% compared with 17–69% in other series [10, 11, 18, 20, 22–25]. This figure may be misleading, since in our series many patients were seen at a later stage in their illness when they had obvious symptoms.

Our series represents the largest experience with operated primary malignant SBTs (47 within 10 years) treated at a single institution, except the work of Miles [17] 1978, who reported 79 cases within 16 years time of observation. Brookes 1968 reviewed 168 patients within 9 years, all documented in the Birmingham Regional Cancer Registries [26]. Barclay 1983 reported 209 surgical and autopsied cases [9]. 174 patients within a 38-year period were documented by Martin [13] in 1986. The distribution of the anatomical localization was statistically equal (fig. 1) and comparable to the data of Coit, Likely and Zolinger [27–29]. Other authors showed a predominance of ileal [9–11, 13–15, 17, 18, 22, 24, 26, 30–32] and jejuno-ileal lesions [33–36]. Only Kelm et al. [37] described a predominance of malignant small bowel lesions in the jejunum. Differences in the distribution of the histologic entities in the various series make a comparison difficult (table 5).

The main histological entities in malignant SBTs in this series are adenocarcinomas (38.3%), neuroendocrine tumors (31.9%), NHLs (12.8%) and leiomyosarcomas (10.6%). Regarding these categories, our data are comparable to those of Martin [13] and Broll et al. [22], but there are substantial differences when considering each histological entity on its own. In the literature, adenocarcinomas are reported in more than 50% in the small intestine [18, 19, 25, 30, 35, 36] and in less than 30% by other authors [15, 16, 31, 33, 34, 37] (table 6). As known from

the literature [38], adenocarcinomas are predominant in the duodenum (table 2). None of our adenocarcinomas were localized in the ileum in comparison to Coit [27] who describes approximately 22% of the adenocarcinomas, localized in the ileum. According to the data of Peck et al. [39], 93.3% of neuroendocrine tumors were localized in the ileum, while large series report neuroendocrine tumors as ileal lesions in 57–84.7% [19, 21]. Some series found neuroendocrine tumors to be *the* most common entity in small bowel neoplasms (34.2–45%) [9, 10, 17, 37], while in other series these tumors occurred within 30% in the small intestine [13, 15, 17, 18, 24, 25, 28]. In the gastrointestinal tract malignant lymphoma are usually localized in the stomach and only within 10% in the small bowel [40]. Outstanding are the data of Gupta and Gupta [31] and Freund et al. [34] with 63.4% and 67.8% malignant lymphomas localized in the small bowel. This is in contrast to our data and to others from the western world – geographic differences seem to be important. 62.5% sarcomas have been reported to be in the small intestine [33], while generally less than 20% are reported to be in the small intestine [41]. The rate of elective/emergency surgery was 83.1%/16.9% compared to 55%/45% reported by Feil et al. [42]. The rate of resection for cure of 93.6% compares favourable to the literature [18, 24, 25]. Postoperative mortality in all patients with malignant SBT was 6.4% – reported data in the literature are between 13 and 16% [22, 24, 43]. 40.4% of patients had metastasis at the time of diagnosis. These findings compare the current literature, with 8–62% of all operated patients presenting with metastatic disease at the time of operation [9, 12, 18, 23, 24]. Location of metastasis was mainly in the liver, as reported by other authors [22].

The overall survival of malignant SBTs is poor. Reported 5-year survival rates for malignant small bowel tumors range from 30 to 40% [18, 44] in contrast to 48.8% in this series. The estimated median survival time for adenocarcinomas in our series was 58.8 months, while other series report survival rates between 16 and 43% [14, 18, 45, 46]. Merely Scott-Coombes reported a 5-year survival rate in adenocarcinomas of the duodenum of 50% [47]. Classified according to the UICC criteria [5], a trend toward decreasing survival with advanced stages is evident: 6 of 7 patients in stage IV died. Neuroendocrine tumors had the best 5-year survival with 60% in comparison to adenocarcinomas (50%), NHLs (33.3%) and leiomyosarcomas (20%). These findings resemble the survival data of neuroendocrine tumors from other series [11, 17, 24, 25, 31, 48]. Our survival rate in neuroendocrine tumors is comparable to the data of Goel et al. [12] and

**Table 5.** Summary of the localization of malignant small bowel tumors in literature

Study	PY	Time	Y	n	Duodenum	Jejunum	Ileum
Likely – New York	1948	1920–1947	27	17	5 (29.4%)	6 (35.3%)	6 (35.3%)
Darling – Boston	1959	1913–1957	44	86	11 (12.8%)	35 (40.7%)	40 (46.5%)
Rochlin – Los Angeles	1961	1940–1959	19	40	10 (25%)	12 (25.6%)	18 (45.8%)
Ostermiller – Los Angeles <sup>a</sup>	1966	1937–1965	28	122	36 (29.5%)	25 (20.5%)	55 (45.1%)
Brookes – Birmingham <sup>b</sup>	1968	1950–1959	9	168*	32 (19%)	43 (25.6%)	77 (45.8%)
Wilson – New York	1973	1932–1972	40	96	30 (31.2%)	21 (21.9%)	45 (46.9%)
Croom – Chapel Hill	1975	1952–1973	21	41	6 (14%)	15 (36%)	20 (50%)
Sager – Maine <sup>c</sup>	1977	1955–1976	21	30	2 (6.6%)	10 (33.4%)	16 (53.4%)
Freund – Jerusalem	1978	1956–1974	18	31	2 (6.4%)	14 (45.2%)	15 (48.4%)
Miles – Memphis	1978	1960–1970	10	79	13 (16.5%)	25 (31.6%)	41 (51.9%)
Mittal – Michigan <sup>d</sup>	1980	1967–1977	10	38	7 (18.5%)	11 (28.9%)	20 (52.6%)
Gupta – Varanasi	1982	1966–1979	13	30	5 (16.7%)	9 (30%)	16 (53.3%)
Barclay – Miami	1983	1950–1979	19	209	37 (17.7%)	41 (19.6%)	131 (62.7%)
Martin – Houston	1986	1944–1982	38	174	45 (25.9%)	42 (24.1%)	87 (50%)
Zollinger – Cleveland	1986	1960–1980	20	38	12 (31.6%)	15 (39.5%)	11 (28.9%)
Cicarelli – Hartford	1987	1969–1983	14	51	6 (11.8%)	15 (29.4%)	30 (58.8%)
Cunningham – New York <sup>e</sup>	1997	1970–1991	21	73	18 (25%)	27 (37%)	28 (38%)
MRI	1997	1985–1995	10	47	15 (32%)	16 (34%)	16 (34%)

PY = Publication year; Y = years; n = number of patients.

<sup>a</sup> 6 (4.9%) inadequately classified.

<sup>b</sup> 16 (9.6%) inadequately classified.

<sup>c</sup> 2 (6.6%) not classified.

<sup>d</sup> Inclusive 1 carcinoid, localized in a Meckel'-diverticula.

<sup>e</sup> It is not mentioned, if ileocecal tumors were also excluded.

**Table 6.** Summary of the histologies of malignant SBT in literature

Study	PY	n	AC	CC	SR	LY	MM	MS	HM	NC
Likely – New York	1948	17	8 (47%)	6 (35.3%)	3 (17.7%)	–	–	–	–	–
Darling – Boston	1959	86	33 (38.4%)	15 (17.4%)	9 (10.5%)	29 (33.7%)	–	–	–	–
Rochlin – Los Angeles	1961	40	18 (45%)	17 (42.5%)	5 (12.5%)	–	–	–	–	–
Ostermiller – Los Angeles <sup>a</sup>	1966	122	64 (52.5%)	21 (17.2%)	35 (28.7%)	–	1 (0.8%)	–	–	1 (0.8%)
Brookes – Birmingham <sup>b</sup>	1968	168	55 (32.7%)	32 (19%)	56 (33.4%)	–	–	–	–	25 (14.9%)
Wilson – New York	1973	96	48 (50%)	37 (38.5%)	11 (11.5%)	–	–	–	–	–
Croom – Chapel Hill	1975	41	12 (29.3%)	14 (34.2%)	10 (24.4%)	4 (9.8%)	–	–	–	1
Sager – Maine <sup>c</sup>	1977	30	14 (46.7%)	12 (40.9%)	4 (13.3%)	–	–	–	–	–
Freund – Jerusalem	1978	31	5 (16.1%)	3 (9.7%)	1 (3.2%)	21 (67.8%)	1 (3.2%)	–	–	–
Miles – Memphis	1978	79	16 (20.2%)	31 (39.2%)	11 (13.9%)	15 (19%)	–	–	–	6 (7.7%)
Mittal – Michigan <sup>d</sup>	1980	39	21 (53.8%)	9 (23.1%)	3 (7.7%)	5 (12.8%)	1 (2.6%)	–	–	–
Gupta – Varanasi	1982	30	9 (30%)	1 (3.3%)	1 (3.3%)	19 (63.4%)	–	–	–	–
Barclay – Miami	1983	209	74 (35.4%)	94 (45%)	41 (19.6%)	–	–	–	–	–
Martin – Houston	1986	174	77 (44.3%)	64 (36.8%)	27 (15.5%)	6 (3.4%)	–	–	–	–
Zollinger – Cleveland	1986	38	18 (47.4%)	10 (26.3%)	10 (26.3%)	–	–	–	–	–
Cicarelli – Hartford	1987	51	17 (33.4%)	20 (39.2%)	8 (15.7%)	6 (5.7%)	–	–	–	–
Cunningham – New York	1997	73	29 (40%)	18 (25%)	8 (10%)	18 (25%)	–	–	–	–
MRI	1997	47	18 (38.3%)	15 (39.9%)	5 (10.6%)	6 (12.8%)	1 (2.12%)	1 (2.12%)	1 (2.12%)	–

PY = Publication year; Y = years; n = number of patients; AC = adenocarcinomas;  
 CC = carcinoids/neuroendocrine tumors; SR = sarcomas; LY = lymphomas; MM = melanoma;  
 MS = malignant schwannoma; HM = histiocytoma; NC = not classified.

<sup>a</sup> 6 (4.9%) inadequately classified.

<sup>b</sup> 16 (9.6%) were inadequately classified.

<sup>c</sup> 2 (6.6%) not classified.

<sup>d</sup> Inclusive 1 carcinoid, localized in a Meckel diverticula.



Feil and Schulz [42] but in contrast to Zeitels et al. [49], who analyzed 101 patients and showed a survival of more than 80%. Generally survival rates of neuroendocrine tumors range from 99% (appendix) to 33% (sigmoid colon) [21]. 66.7% of NHLs died. As reported by Feil and Schulz [42], we found in 5 of 6 patients high-grade NHLs and in contrast to the work of Dragosics et al. [40], all patients had stage IV (2 stage IVA, 4 stage IVB). In leiomyosarcoma 80% died. In contrast to the work of Chio-tasso and Fazio [41], we did not find a correlation between a long history in leiomyosarcomas prior to operation and a better prognosis, but our number of such cases is too small, to allow a valid comparison.

The presence of metastasis was found to be a statistically significant prognostic factor, but there was no significant correlation between nodal metastasis and survival, as described by Adler et al. [38], Bridge and Perzin [50] and Rotman et al. [51]. The median survival of patients with metastasis (12.8 months) was analogous to other data

[52]. The radicality of surgical procedures was another prognostic factor: 90% of patients with malignant lesions, who had a R1 or R2 resection, died during the follow-up. Though a multivariate analysis is the ideal way of investigation of prognostic factors, this was omitted due to the small amount of patients.

In conclusion, in patients with unclear abdominal symptoms and after exclusion of more common abnormalities, a SBT should be suspected. Surgical treatment is the therapy of choice. Unfortunately, most patients with malignant small bowel tumors reach the surgeon in an advanced stage, which is reflected by the high rate of systemic metastasis at the time of presentation. Our data indicate that the M and R status are the only prognostic factors. Both are dependent on an early diagnosis. An aggressive diagnostic approach in patients with unclear abdominal symptoms and extended surgical resection of all tumors is therefore the only way to improve the prognosis of patients with small bowel tumors.

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