

# Different survival outcomes after curative R0-resection for Eastern Asian and European gastric cancer

## Results from a propensity score matched analysis comparing a Korean and a German specialized center

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### Abstract

Several retrospective analyses on patients who underwent gastric cancer (GC) surgery revealed different survival outcomes between Eastern (Korean, Japanese) and Western (USA, Europe) countries due to potential ethnical and biological differences. This study investigates treatment outcomes between specialized institution for GC in Korea and Germany.

The prospectively documented databases of the Gastric Cancer Center of the National Cancer Center, Korea (NCCCK) and the Department of Surgery of the Technische Universität Muenchen (TUM), Germany were screened for patients who underwent primary surgical resection for GC between 2002 and 2008. Baseline characteristics were compared using  $\chi^2$  testing, and 2 cohorts were matched using a propensity score matching (PSM) method. Patients' survival was estimated using Kaplan–Meier method, and multivariable Cox proportional hazard model was used for comparison.

Three thousand seven hundred ninety-five patients were included in the final analysis, 3542 from Korea and 253 from Germany. Baseline characteristics revealed statistically significant differences for age, tumor location, pT stage, grading, lymphatic vessel infiltration (LVI), comorbidities, number of dissected lymph nodes (LN), postoperative complications, lymph-node ratio stage, and application of adjuvant chemotherapy. After PSM, 171 patients in TUM were matched to NCCCK patients, and baseline characteristics for both cohorts were well balanced. Patients in Korea had significantly longer survival than those in Germany both before and after PSM. When the analysis was performed for each UICC stage separately, same trend was found over all UICC stages before PSM. However, significant difference in survival was observed only for UICC I after PSM.

This analysis demonstrates different survival outcomes after surgical treatment of GC on different continents in specialized centers after balancing of baseline characteristics by PSM.

**Abbreviations:** CD = postoperative complications according to Clavien and Dindo, EGD = esophagogastroduodenoscopy, ESD = endoscopic submucosal dissection, FYSR = five-year survival rate, GC = gastric cancer, GE = gastroesophageal, LN = lymph node, LNR = lymph-node ratio, ratio of positive lymph nodes/dissected lymph nodes, LVI = lymphatic vessel infiltration, NCCCK = National Cancer Center Korea, OS = overall survival, PS = propensity score, PSM = propensity score matching, propensity score matched, RFS = recurrence-free survival, TUM = Technische Universität Muenchen.

**Keywords:** gastric cancer, outcome

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## 1. Introduction

Gastric cancer (GC) is one of the leading causes of cancer-related deaths worldwide<sup>[1]</sup> with the highest incidence in Eastern-Asian countries (Korea,<sup>[2]</sup> Japan, China<sup>[3]</sup>) but also in Latin-America and Eastern-Europe.<sup>[4]</sup> In contrast to Korea, there are no screening programs for GC in Western countries leading to the fact that the disease is mostly diagnosed in advanced stages and commonly treated in multimodal therapy concepts.<sup>[5,6]</sup> Due to a national screening program in Korea,<sup>[7]</sup> there is an extraordinary high detection rate for early GC rendering local stomach-preserving therapies such as endoscopic submucosal dissection and sentinel-node-guided surgery possible. Despite the differences in the 2 countries, surgical resection remains the mainstay of therapy.<sup>[8–12]</sup> A former retrospective analysis in 1993 from the Surgical Department of the Technische Universitaet Muenchen (TUM/MRI) comparing outcome data to patients of the National Cancer Center in Tokyo concluded that there were no clinically meaningful differences when similar patient subgroups were compared.<sup>[8]</sup> Nonetheless there was a great heterogeneity in the 2 compared patient cohorts. These results were reproduced by several follow-up studies comparing United States and Japanese but also United States and Korean data.<sup>[9,10]</sup> The major drawbacks of these studies were that the patient cohorts revealed major disparities in patient numbers and characteristics rendering direct comparisons difficult. The purpose of this analysis was to investigate survival outcomes between 2 institutions specialized in GC treatment after equalizing disparities in patient's baseline characteristics by propensity score matching (PSM) technique.

## 2. Methods

The prospectively documented databases for GC were screened for patients having undergone primary surgery for GC at the National Cancer Center Korea (NCCK) and the Surgical Department of the Technische Universitaet Muenchen (TUM) from January 2002 to August 2008. Data were obtained from the medical records and transferred to the institutional databases as soon as the patients were discharged from inpatient hospital care. Eligibility criteria were: histologically proven GC, primary R0 resection. Exclusion criteria were: metastatic disease, neoadjuvant/perioperative chemotherapy, extension to the distal esophagus, gastric stump cancer, endoscopic resection for early GC, hospital mortality within 30 days, loss of follow-up within a 60 months period and residual cancer after surgery (R1/R2). All surgical procedures were performed according to the Japanese guidelines for GC treatment including standardized D2-lymph-node dissection. Korean patients received adjuvant chemotherapy according to local guidelines for UICC stages II/III. German patients received adjuvant treatment in selected cases only after multidisciplinary team review. Adjuvant chemotherapy in German patients consisted of 2 cycles cisplatin/leucovorin/5-FU, whereas in Korean patients either 12 months of S1 or 6 months of capecitabine/oxaliplatin was applied routinely for patients staged UICC II/III. All patients receiving adjuvant chemotherapy were included in this analysis. Further all patients with Siewert-type II cancers were omitted from the analysis. Siewert-type III cancers were included when involvement of the cardia was ruled out. All patients were followed by the respective outpatient departments for 60 months after oncologic surgery every 6 to 12 months. Only deceased or surviving patients with complete follow-up of at least 60 months were included in this analysis. Survival was computed from the day of surgery. The

dataset consisted of patients' age, gender, location (upper, middle, lower third), pT-, pN-, and UICC stage, grading, Lauren histotype, lymphovascular invasion (LVI), lymph-node ratio (LNR) stage, comorbidities, number of dissected lymph nodes, postoperative complications (according to the Clavien–Dindo Classification), application of adjuvant chemotherapy, type of surgery, and follow-up period with survival status. Intergroup comparisons were analyzed by  $\chi^2$  testing and continuous variables are presented as mean  $\pm$  standard deviation. *T* tests or Wilcoxon-rank sum tests were used as appropriate. Patients with missing data were excluded from further evaluation. Analysis of baseline characteristics revealed marked differences between the groups. Therefore we performed PSM in order to minimize intergroup disparities and to control for selection bias as described before.<sup>[13,14]</sup> Shortly, multivariable logistic regression was performed on center (NCCK vs TUM) using all variables with possible influence on the patients' survival. Variables included in the multivariable logistic regression include age, gender, location, pT-, pN stages, grading, Lauren classification, LVI, comorbidity, dissected LN, postoperative complications, adjuvant CTx, and type of surgery. A propensity score was then estimated for all subjects using this logistic regression, and TUM patients were matched to NCCK patients using the nearest neighbor matching within a caliper of 0.20 times the standard deviation of the propensity score. Survival curves were estimated by the Kaplan–Meier method and statistical differences were evaluated by the log-rank test. Associations between prognostic factors and survival were estimated by the uni- and multivariable Cox proportional hazards model. The multivariable model was selected using the backward variable elimination technique with an elimination criterion of *P* value  $<0.05$ . Statistical analyses were performed using SAS software (Version 9, SAS Institute, Cary, NC), STATA (Version 12, Stata Corporation, College Station, TX), and R (Version 2.14.2, R-foundation, Vienna, Austria)<sup>[15]</sup> together with the Match It package (Version 2.4–18, Boston, MA).<sup>[16]</sup> Institutional Review Board (IRB) approval was obtained by the respective local boards.

## 3. Results

Screening of the prospectively documented databases revealed a total of 5103 surgically treated patients for GC between 2002 and 2008, 4334 patients at the NCCK and 747 patients at the TUM. Patients with neoadjuvant treatment (TUM: *n* = 388, NCCK: *n* = 91), R1 resections (TUM *n* = 41; NCCK: *n* = 93), R2 resections (TUM: *n* = 20, NCCK: *n* = 166), hospital mortality (TUM: *n* = 5, NCCK: *n* = 8), gastric stump cancer (TUM: *n* = 10, NCCK: *n* = 43), M1 (TUM: *n* = 16, NCCK: *n* = 38), and histology other than adenocarcinoma (TUM: *n* = 14, NCCK: *n* = 332) were omitted. Finally 3563 patients from NCCK and 253 patients from TUM were included in this comparative analysis. Baseline characteristics and clinicopathologic features of the NCCK and TUM patients are summarized in Table 1. There was a significant difference in age distribution with a larger amount of patients younger than 65 years at the NCCK (*P*  $<0.001$ ). No difference in sex distribution was noted (*P* = 0.079). Pathologic T-stages were more advanced in the German cohort (*P* = 0.007). There was no significant difference in the frequency of lymph-node (LN) metastases (*P* = 0.569) although there was a significantly higher amount of dissected LNs in the Korean patient cohort ( $44 \pm 16$  vs  $24 \pm 10$ , *P*  $<0.001$ ). Higher LNR stages were demonstrated in the German cohort (*P*  $<0.001$ ). German patients had less differentiated cancers than Koreans (*P* = 0.002). The proportion of LVI

**Table 1**  
**Patients' characteristics and histopathologic data in 2 cohorts before and after propensity score matching.**

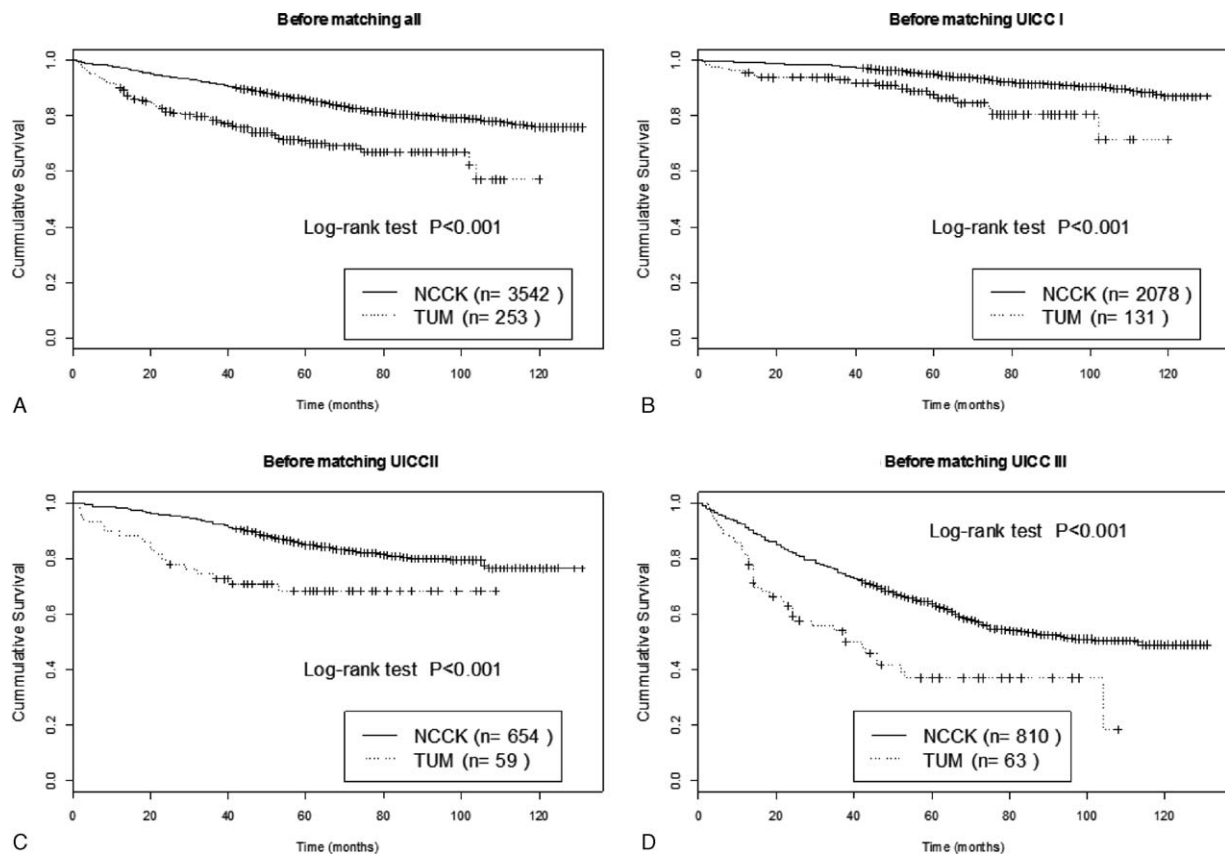
	Before propensity score matching			After propensity score matching		
	NCC (%) (N=3542)	TUM (%) (N=253)	P	NCC (%) (N=171)	TUM (%) (N=171)	P
Age						
<65	2444 (69.0)	121 (47.8)	<0.001	87 (50.9)	86 (50.3)	0.914
≥65	1098 (31.0)	132 (52.2)		84 (49.1)	85 (49.7)	
Gender						
Female	1154 (32.6)	96 (37.9)	0.079	83 (48.5)	68 (39.8)	0.102
Male	2388 (67.4)	157 (62.1)		88 (51.5)	103 (60.2)	
Location						
Others	90 (2.6)	4 (1.6)	<0.001	3 (1.7)	3 (1.7)	0.642
Upper	496 (14.0)	95 (37.5)		35 (20.5)	45 (26.3)	
Middle	1361 (38.4)	69 (27.3)		60 (35.1)	54 (31.6)	
Distal	1595 (45.0)	85 (33.6)		73 (42.7)	69 (40.4)	
pT						
pT1	1836 (52.8)	112 (44.3)	0.007	80 (46.8)	82 (48.0)	0.83
pT2	532 (15.0)	37 (14.6)		29 (16.9)	26 (15.2)	
pT3	668 (18.9)	70 (27.7)		40 (23.4)	45 (26.3)	
pT4	506 (14.3)	34 (13.3)		22 (12.9)	18 (10.5)	
pN						
pN0	2194 (61.9)	146 (57.7)	0.569	108 (63.1)	106 (62.0)	0.534
pN1	479 (13.5)	39 (15.4)		27 (15.8)	21 (12.3)	
pN2	399 (11.3)	33 (13.1)		22 (12.9)	23 (13.4)	
pN3a/b	470 (13.3)	35 (13.8)		14 (8.2)	21 (12.3)	
UICC						
I	2078 (58.7)	131 (51.8)	0.070	98 (57.3)	97 (56.7)	0.99
II	654 (18.5)	59 (23.3)		35 (20.5)	36 (21.1)	
III	810 (22.8)	63 (24.9)		38 (22.2)	38 (22.2)	
Grading						
G1/G2	1386 (39.1)	74 (29.2)	0.002	46 (26.9)	52 (30.4)	0.473
G3/G4	2156 (60.9)	179 (70.8)		125 (73.1)	119 (69.6)	
Lauren						
Intestinal	1771 (50.0)	119 (47.0)	0.362	73 (42.7)	81 (47.4)	0.385
Nonintestinal	1771 (50.0)	134 (53.0)		98 (57.3)	90 (52.6)	
LVI						
Absent	2283 (64.5)	226 (89.3)	<0.001	157 (91.8)	149 (87.1)	0.159
Present	1259 (35.5)	27 (10.7)		14 (8.2)	22 (12.9)	
LNR						
0	2195 (62.0)	146 (57.7)	<0.001	108 (63.1)	106 (62.0)	0.841
<0.2	994 (28.0)	59 (23.3)		46 (26.9)	43 (25.1)	
0.2–0.49	290 (8.2)	39 (15.4)		15 (8.8)	20 (11.7)	
>0.5	63 (1.8)	9 (3.6)		2 (1.2)	2 (1.2)	
Comorbidity						
None	1591 (44.9)	142 (56.1)	0.001	88 (51.5)	90 (52.6)	0.829
At least 1	1951 (55.1)	111 (43.9)		83 (48.5)	81 (47.4)	
Dissected LN						
<25	281 (7.9)	138 (54.5)	<0.001	65 (38.0)	66 (38.6)	0.778
25–50	2180 (61.6)	107 (42.3)		95 (55.6)	97 (56.7)	
>50	1081 (30.5)	8 (3.2)		11 (6.4)	8 (4.7)	
Complications*						
None	2917 (82.4)	192 (75.9)	<0.001	128 (74.8)	132 (77.2)	0.836
CD 1/2	349 (9.8)	21 (8.3)		14 (8.2)	14 (8.2)	
CD 3–5	276 (7.8)	40 (15.8)		29 (17.0)	25 (14.6)	
Adjuvant CTx†						
No	2615 (73.8)	247 (97.6)	<0.001	164 (95.9)	165 (96.5)	0.777
Yes	927 (26.2)	6 (2.4)		7 (4.1)	6 (3.5)	
Operation type						
Subtotal	2351 (66.4)	72 (28.5)	<0.001	77 (45.0)	67 (39.2)	0.273
Total/extended	1191 (33.6)	181 (71.5)		94 (55.0)	104 (60.8)	

pT/pN according to UICC 7th edition.

CI=confidence interval, HR=hazard ratio, LN=lymph node, LNR=lymph-node ratio (ratio of positive lymph nodes/dissected lymph nodes), LVI=lymphatic vessel infiltration, NCC=National Cancer Center, TUM=Technische Universitaet Muenchen.

\* Complications according to Clavien–Dindo (CD) classification of postoperative complications.

† S1 for 12 months or capecitabine plus oxaliplatin doublets for six months (Korean cohort), PLF protocol (cisplatin/5-FU, leucovorin) for 2 cycles (8–12 weeks) (TUM cohort).



**Figure 1.** Kaplan-Meier plots of overall survival for (A) all patients and according to UICC stages before PSM in NCCK and TUM patients. (B) UICC I, (C) UICC II, (D) UICC III.

was tripled in Korean patients ( $P < 0.001$ ). There were significantly less Clavien-Dindo grade 3/4 complications in the Korean cohort ( $P < 0.001$ ) while comorbidity rate was significantly higher ( $P < 0.001$ ). There was a significantly higher proportion of high-body tumors in the German cohort compared to more distal locations in the Korean collective ( $P < 0.001$ ). Nine hundred twenty-seven patients (26.2%) from NCCK compared to only 6 TUM patients (2.4%) received adjuvant chemotherapy ( $P < 0.001$ ).

Median follow-up for survivors was 79 (12–131) months. Median overall survival (OS) was not reached in the unmatched and PSM cohorts. Five-year survival rates (FYSR) in the unmatched cohort were 86.0% for Korean and 70.8% for German patients. FYSR in the PSM cohort were 85.5% for Korean and 71.7% for German patients. OS was significantly different between the 2 cohorts and over all UICC stages before propensity score matching ( $P < 0.001$ ). Figure 1A displays Kaplan-Meier plots of OS for NCCK and TUM before PSM (log-rank  $P < 0.001$ ). When OS was compared for each UICC stage separately, significant differences were observed for all UICC stages (Fig. 1B–D,  $P < 0.001$ ). In Table 2, the results from univariable and multivariable Cox proportional hazard model are summarized. The univariable analysis on the unmatched cohorts revealed that center, pT-, pN stages, UICC stage, grading, LVI, LNR, postoperative complications and type of surgery were significantly related to OS. In multivariable analysis of the unmatched cohort center, pT-, pN stages, postoperative complications and type of surgery were independent predictors for OS (Table 2).

PSM matched 171 NCCK patients to 171 TUM patients. Baseline characteristics revealed balanced groups for all possibly confounding variables (age, gender, location, pT-, pN stages, UICC stage, grading, Lauren histotype, LVI, LNR, comorbidity, dissected LN, postoperative complications, adjuvant CTx and type of surgery, Table 1). Survival curves for the PSM groups (Fig. 2A) in the total matched cohort still revealed significant differences between NCCK and TUM after PSM (log-rank  $P = 0.003$ ). Analyzing UICC stages separately, a statistically significant difference was only found for patients with UICC I stage ( $P = 0.017$ ), but not for UICC II ( $P = 0.083$ ) and UICC III ( $P = 0.108$ ) (Fig. 2B–D). This is possibly due to the small number of patients in UICC II and III. Univariable regression analysis on the PSM cohort revealed that center, age, pT-, pN stages, UICC stage, LVI, LNR, postoperative complications, and type of surgery were significantly related to OS. In multivariable analysis of the PSM-cohort center, age, pN stages, and postoperative complications were predictive for OS (Table 3).

#### 4. Discussion

Several retrospective studies on the clinical outcome of GC surgery comparing Eastern-Asian (Japanese/Korean) and Western patients (United States/European) were published over the recent years.<sup>[8–12]</sup> Almost all those analyses concluded that oncologic outcome was better in Eastern patients due to so far unknown reasons.<sup>[10–12]</sup> Some authors consider biologic properties and different ethnicity,<sup>[11,12]</sup> others speculate that surgical

**Table 2**

**Results from univariable and multivariable (backward variable selection at  $\alpha = 0.05$ ) Cox regression analyses on overall survival for unmatched patients.**

	Univariable		Multivariable	
	HR (95% CI)	P	HR (95% CI)	P
Center				
NCC (n=3542)	1	<0.001	1	<0.001
TUM (n=253)	2.24 (1.76, 2.85)		2.3 (1.77, 3.00)	
Age				
<65	1			
≥65	1.15 (0.98, 1.34)	0.080		
Gender				
Female	1	0.066		
Male	1.16 (0.99, 1.37)			
Location				
Others	1	0.748		
Upper	1.18 (0.71, 1.96)	0.531		
Middle	1.1 (0.67, 1.79)	0.718		
Distal	1.05 (0.65, 1.71)	0.843		
pT				
I	1	<0.001	1	<0.001
II	1.9 (1.45, 2.49)	<0.001	1.49 (1.13, 1.97)	0.005
III	3.82 (3.08, 4.72)	<0.001	2.18 (1.7, 2.8)	<0.001
IV	8.99 (7.38, 10.95)	<0.001	4.12 (3.18, 5.34)	<0.001
pN				
0	1	<0.001	1	<0.001
I	2.38 (1.89, 3)	<0.001	1.59 (1.24, 2.04)	<0.001
II	3.57 (2.86, 4.44)	<0.001	1.81 (1.4, 2.34)	<0.001
III	7.61 (6.35, 9.12)	<0.001	3.17 (2.5, 4)	<0.001
UICC				
I	1	<0.001		
II	2.55 (2.04, 3.2)	<0.001		
III/IV	7.3 (6.12, 8.72)	<0.001		
Grading				
G1/G2	1	<0.001		
G3/G4	1.33 (1.14, 1.56)			
Lauren				
Intestinal	1	0.237		
Nonintestinal	1.09 (0.94, 1.27)			
LVI				
Absent	1	<0.001		
Present	2.73 (2.35, 3.17)			
LNR				
0	1	<0.001		
<0.2	2.96 (2.47, 3.54)	<0.001		
0.2–0.49	7.84 (6.42, 9.57)	<0.001		
>0.5	18.24 (13.63, 24.43)	<0.001		
Comorbidity				
0	1	0.763		
1	1.02 (0.88, 1.19)			
Dissected LN				
<25	1	0.149		
25–50	0.89 (0.71, 1.13)	0.351		
>50	1.05 (0.81, 1.35)	0.718		
Complications*				
None	1	<0.001	1	<0.001
CD 1/2	1.92 (1.55, 2.36)	<0.001	1.59 (1.29, 1.97)	<0.001
CD 3–5	2.28 (1.84, 2.83)	<0.001	1.78 (1.43, 2.21)	<0.001
Adjuvant CTx†				
No	1	0.297		
Yes	0.91 (0.77, 1.08)			
Surgery				
Total	1	<0.001	1	<0.001
Subtotal	1.41 (1.17, 1.7)	<0.001	1.52 (1.26, 1.83)	<0.001
Extended	3.2 (2.5, 4.1)	<0.001	1.59 (1.23, 2.06)	<0.001

pT/pN according to UICC 7th edition.

CI = confidence interval, HR = hazard ratio, LN = lymph node, LNR = lymph-node ratio (ratio of positive lymph nodes/dissected lymph nodes), LVI = lymphatic vessel infiltration, NCC = National Cancer Center, TUM = Technische Universität München.

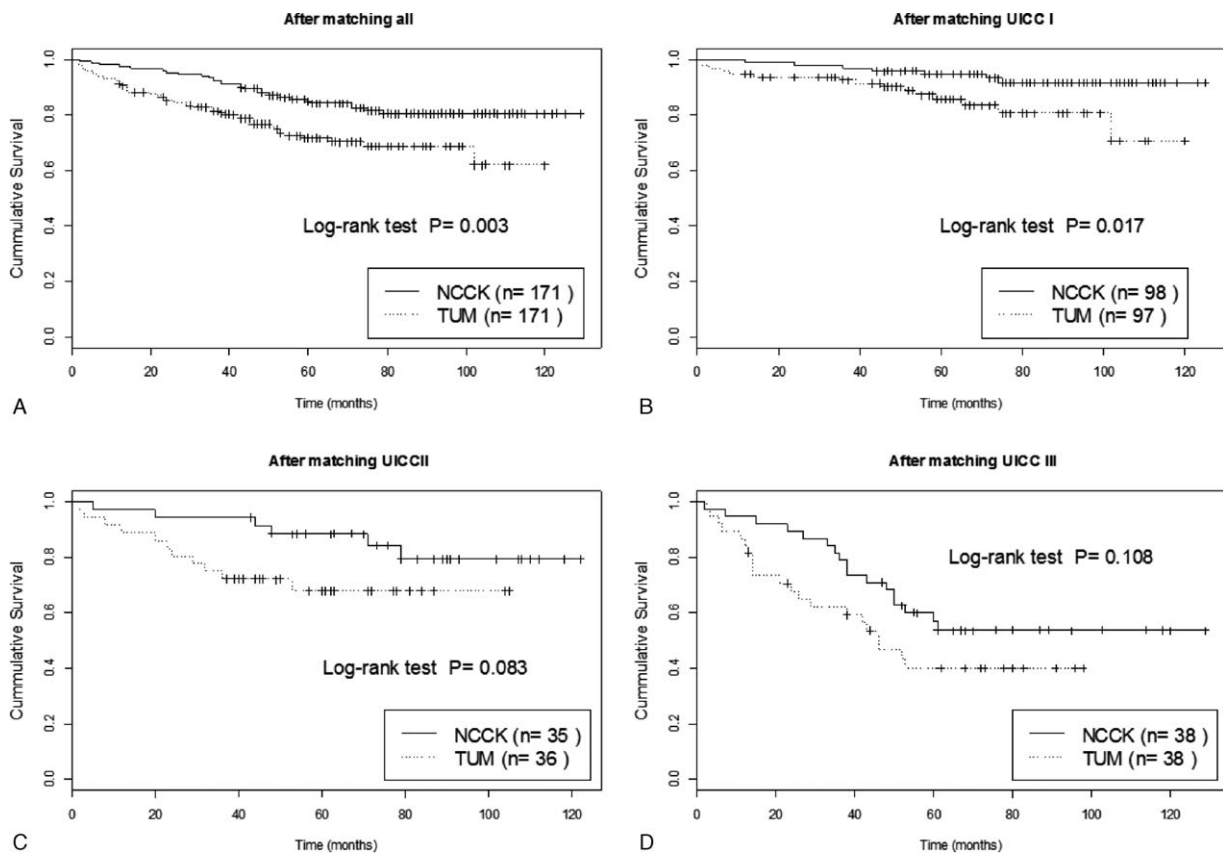
\* Complications according to Clavien–Dindo (CD) classification of postoperative complications.

† S1 for 12 months or capecitabine plus oxaliplatin doublets for six months (Korean cohort), PLF protocol (cisplatin/5-FU, leucovorin) for 2 cycles (8–12 weeks) (TUM cohort).

techniques or the application of adjuvant chemotherapy may be responsible for the improved results in Eastern-Asia.<sup>[17]</sup>

This retrospective analysis on the oncologic outcome of a high-volume Korean and a highly experienced German center after curative R0 resection for GC aimed to eradicate the differences in baseline characteristics by PSM which is an accepted method to achieve comparability in heterogeneous patient cohorts. This analysis revealed the same highly heterogeneous groups in the beginning and demonstrated persistence of the different survival outcomes after PSM despite balanced baseline characteristics. The incidence for GC is known to be 10 times higher in Korea than in Germany<sup>[11]</sup> and due to the national Korean screening program<sup>[7]</sup> GC detection rates are considerably higher,<sup>[6]</sup> explaining the huge disparity of patient numbers between the 2 centers. There was a considerably higher amount of early GCs in the unmatched Korean cohort and more advanced pT stages in the German patient group. Reasons could be the delayed diagnosis and the lack of a national screening program in Germany. German patients also revealed a higher proportion of poorly and undifferentiated cancers. Differences in the distribution of Lauren histotypes could not be detected in contrast to the most recent study by Strong et al.<sup>[10]</sup> The quality of lymph-node (LN) dissection appeared to be different. There was a significantly higher amount of dissected LNs in the Korean cohort although the German center is specialized in D2 dissection.<sup>[18]</sup> Reasons may be that either LN dissection was not performed as indicated in the surgical record or the pathologists did not continue to dissect nodes out of the specimen after identification of 15 to 25 LNs as recommended before.<sup>[19]</sup> Another conceivable issue might be that embryologic planes in surgical dissection were not respected, especially around LN station #6 and in the N2 area (LN stations 8a–12a). Unfortunately there is no detailed data on the respective LN stations in the TUM database providing a possible answer to this fact. Most of European surgeons are still reluctant to perform standardized D2 dissection although long-term results from a Dutch trial revealed significant survival benefits for those patients receiving D2 dissection.<sup>[20]</sup> Meta-analyses for Western data on this issue are not conclusive to this date<sup>[21]</sup> but experienced centers may have excellent results.<sup>[22]</sup> Surgical strategies were different between the cohorts. Almost two-thirds of the patients received subtotal gastrectomies in the Korean group whereas most of the patients in the German group received total or even extended gastrectomies. This may be related to the fact that in the German cohort the tumors were predominantly located in the upper part of the stomach and extension to the distal esophagus was considered to be necessary from an oncological point of view, although in the final pathology report no involvement of the GE junction was reported. Another explanation could be surgical philosophy. Whereas subtotal gastrectomies for cancers located in the middle third of the stomach are considered oncologically safe in Korea, TUM surgeons are reluctant to perform subtotal resections for these patients. However, these factors were equalized by the PSM algorithm for further analysis and the surgical procedure differences and different frequencies of tumor location were balanced in the PSM cohort.

OS rates were excellent for both centers. OS in the Korean cohort was comparable to Japanese standards and OS for the German patients was above European standard compared to previously published data on patients having undergone primary resection for GC.<sup>[23,24]</sup> This may be reflected by a centralization effect. Traditionally the Munich department is experienced in GC treatment and cares for nationwide patients similar to the NCCK.



**Figure 2.** Kaplan-Meier plots of overall survival for (A) all patients and according to UICC stages after PSM in NCKK and TUM patients. (B) UICC I, (C) UICC II, (D) UICC III.

Though, survival was significantly better at NCKK over all UICC stages in the unmatched cohorts. The largest and probably clinically most important differences were found for UICC stages II/III. This may be related to the fact that in the German cohort only patients without any chemotherapeutic treatment were included, whereas patients having undergone adjuvant chemotherapy in the NCKK group were not omitted. Adjuvant chemotherapy significantly improved survival in a Korean randomized controlled clinical.<sup>[25]</sup> Patients undergoing neoadjuvant chemotherapy were omitted from this analysis because preoperative chemotherapy was not a standard of care in the period (2002–2008) analyzed here. Further on, chemotherapy regimens were not standardized at that time which may have influenced the results considerably. Besides that, preoperative chemotherapy was applied mostly for patients undergoing treatment for locally irresectable, metastatic or clinically non-curative cancers in the TUM cohort.

Overall complication rate was significantly higher in Germans compared to Koreans in the unmatched cohort. Several groups reported that survival of postoperative complications leads to worsened long-term outcomes after oncologic surgery.<sup>[26–28]</sup> Postoperative complications were survival predictors for both patient cohorts in this analysis not only in the unmatched but also in the PSM analysis. There were significantly more Clavien–Dindo Class III–V complications in the unmatched TUM group, which may have caused a higher mortality rate in the follow-up period of the unmatched patients. However, postoperative complications were matched by the PSM algorithm and therefore should not translate into a possible confounding factor in the matched cohorts.

Several previously published studies found that differences in patients' characteristics created difficulties in direct comparisons of Eastern and Western GC patients.<sup>[8–12]</sup> Therefore we aimed to find a way eliminating those baseline differences. Simple matching is rightfully prone to criticism of selection bias. In order to create homogenous groups we used PSM for patients after primary R0 resection without preoperative chemotherapy regardless of adjuvant chemotherapy status. PSM is a statistical method applied to reduce possible selection bias in observational/nonrandomized studies, which was initially proposed by Rosenbaum and Rubin in 1983,<sup>[13]</sup> ruling out confounders in nonrandomized studies. There are even hints that PSM may be a suitable tool for evaluation of treatment outcomes when prospective randomized controlled trials are not feasible or possible.<sup>[29,30]</sup> Postsurgical variables were also considered for matching in this analysis because the intervention of interest in this study was to compare cohorts that were as homogenous as possible. The matched groups revealed balanced baseline characteristics for the relevant pathologic and epidemiologic factors. Despite the PSM, oncologic outcomes of the respective cohorts were still different regarding stage-dependent survival rates. However, this effect was statistically significant only for UICC stage I but not for UICC stages II/III which may be related to the low patient numbers in the PSM cohorts. It is important to realize that the treatment center itself was predictive for OS in the univariable and multivariable analysis after PSM and the differences certainly would have reached statistical significance had the numbers been higher. The exact reasons for this remain elusive, because not only preoperative factors (age, gender, tumor location) and surgical procedures (type of gastrectomy, number

**Table 3**

**Results from univariable and multivariable (backward variable selection at  $\alpha=0.05$ ) Cox regression analyses on overall survival for propensity score matched patients.**

	Univariable		Multivariable	
	HR (95% CI)	P	HR (95% CI)	P
Center				
NCC (n=171)	1	0.003	1	<0.001
TUM (n=171)	2.01 (1.27, 3.18)		2.57 (1.59, 4.15)	
Age				
<65	1	0.022	1	<0.001
≥65	1.71 (1.08, 2.7)		2.5 (1.56, 4.01)	
Gender				
Female	1	0.707		
Male	1.09 (0.69, 1.71)			
Location				
Others	1	0.200		
Upper	1.75 (0.24, 12.94)	0.585		
Middle	1.41 (0.19, 10.34)	0.738		
Distal	0.95 (0.13, 7.04)	0.962		
pT				
I	1	<0.001		
II	1.78 (0.84, 3.77)	0.133		
III	3.12 (1.71, 5.7)	<0.001		
IV	6.56 (3.51, 12.25)	<0.001		
pN				
0	1	<0.001	1	<0.001
I	2.74 (1.42, 5.27)	0.003	3.77 (1.93, 7.36)	<0.001
II	4.65 (2.58, 8.39)	<0.001	4.8 (2.64, 8.7)	<0.001
III	6.07 (3.31, 11.15)	<0.001	9.36 (4.94, 17.73)	<0.001
UICC				
I	1	<0.001		
II	2.31 (1.23, 4.35)	0.01		
III/IV	5.78 (3.41, 9.78)	<0.001		
Grading				
G1/G2	1	0.314		
G3/G4	1.31 (0.78, 2.19)			
Lauren				
Intestinal	1	0.813		
Nonintestinal	0.95 (0.61, 1.48)			
LVI				
Absent	1	<0.001		
Present	3.34 (1.97, 5.68)			
LNR				
0	1	<0.001		
<0.2	3.56 (2.11, 6.01)	<0.001		
0.2–0.49	5.4 (2.92, 10.02)	<0.001		
>0.5	12.78 (3.84, 42.55)	<0.001		
Comorbidity				
0	1	0.079		
1	1.5 (0.96, 2.37)			
Dissected LN				
<25	1	0.076		
25–50	1.38 (0.84, 2.26)	0.206		
>50	2.5 (1.12, 5.56)	0.025		
Complications*				
None	1	<0.001	1	<0.001
CD 1/2	1.63 (0.77, 3.44)	0.204	1.61 (0.75, 3.44)	0.221
CD 3–5	2.86 (1.72, 4.75)	<0.001	4.24 (2.47, 7.28)	<0.001
Adjuvant CTx†				
No	1	0.168		
Yes	1.89 (0.76, 4.68)			
Surgery				
Total	1	0.004		
Subtotal	0.95 (0.53, 1.69)	0.848		
Extended	2.08 (1.19, 3.66)	0.011		

pT/pN according to UICC 7th edition.

CI = confidence interval, HR = hazard ratio, LN = lymph node, LNR = lymph-node ratio (ratio of positive lymph nodes/dissected lymph nodes), LVI = lymphatic vessel infiltration, NCC = National Cancer Center, TUM = Technische Universität München.

\* Complications according to Clavien–Dindo (CD) classification of postoperative complications.

† S1 for 12 months or capecitabine plus oxaliplatin doublets for 6 months (Korean cohort), PLF protocol (cisplatin/5-FU, leucovorin) for 2 cycles (8–12 weeks) (TUM cohort).

of dissected lymph nodes) but also postsurgical variables such as the application of adjuvant chemotherapy, postoperative complication rates, and LNR stages were also included in the matching algorithm. LNR staging proposed by Kong<sup>[31]</sup> appears to be more appropriate in comparative studies between Eastern and Western patients in order to overcome the known drawbacks of stage migration effects due to more extensive LN dissections in Eastern patients.<sup>[31]</sup>

There are several limitations for this analysis; although PSM is an accepted tool to overcome selection bias, the data were analyzed retrospectively. Another limitation is that there is a huge disparity of the patient numbers between the centers which is related to the tenfold higher GC-incidence rate in Korea. Therefore no clear conclusions can be drawn on those many patients not having been included in the PSM cohort. Moreover, PSM has some limitations which are the inability to account for unmeasured factors like surgical quality, biologic and genetic differences and the need for the statistical analysis to account for the paired nature of the matched samples, possibly explaining the remaining differences in outcome. Further, patients undergoing neoadjuvant treatments were not included here due to the reasons described above, which may have led to a certain kind of selection bias.

Conclusively this report reveals that previously published differences in oncologic outcome between Eastern-Asian and European patients undergoing surgical treatment for GC may not be exclusively related to different clinical baseline characteristics, but also to biological and ethnical differences. Further, this analysis reveals that GC survival after surgical treatment in a specialized Western center can reach excellent results in Western terms, but does not reach Eastern Asian prognosis in early and advanced GC stages. However, biologic and genetic differences as much as the effect of adjuvant chemotherapy cannot be completely ruled out by this analysis, as only a small amount of German patients was compared to Koreans. Not only surgical principles of Eastern GC surgeons but also oncologic principles including standardization of postoperative chemotherapy should be adopted by Western clinicians in order to obtain improved oncologic results for their respective patients regardless of biological differences.

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