

# Energy Conservation in Optical Fibers with Distributed Brick-walls Filters

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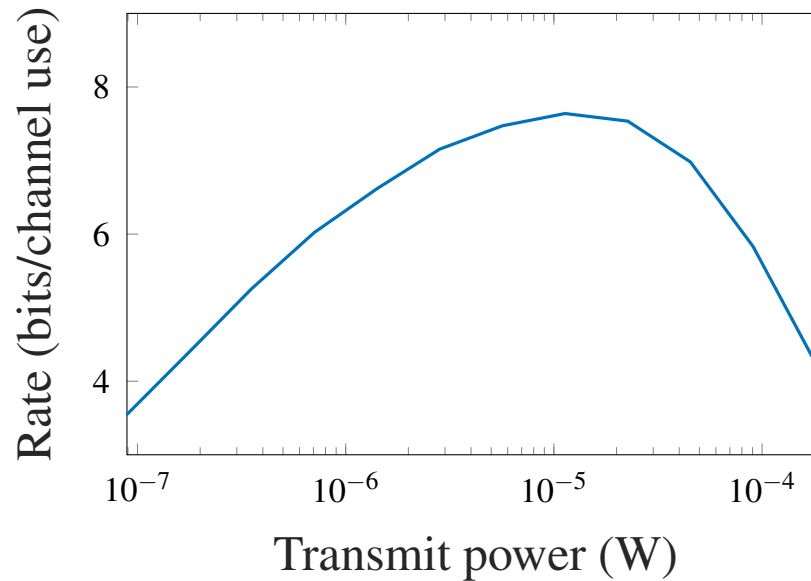
June 19, 2018

# Motivation

- Nonlinear fiber optic causes spectral broadening and channel coupling

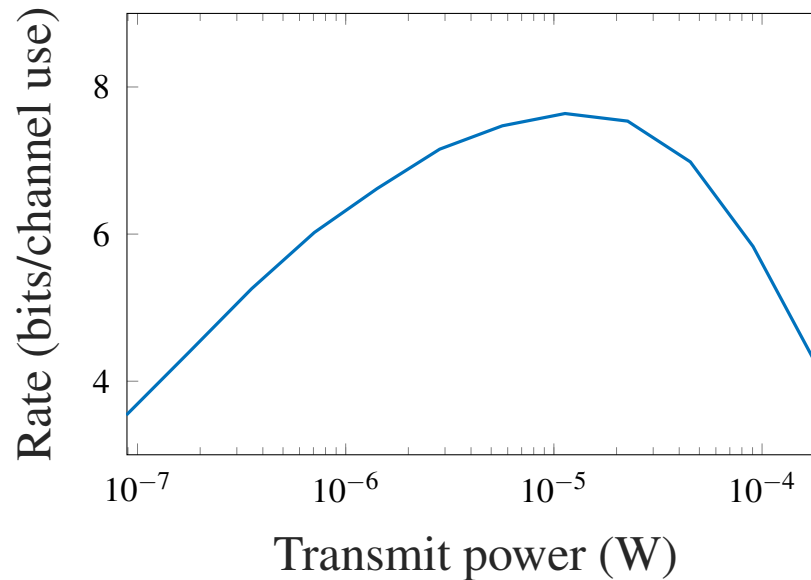
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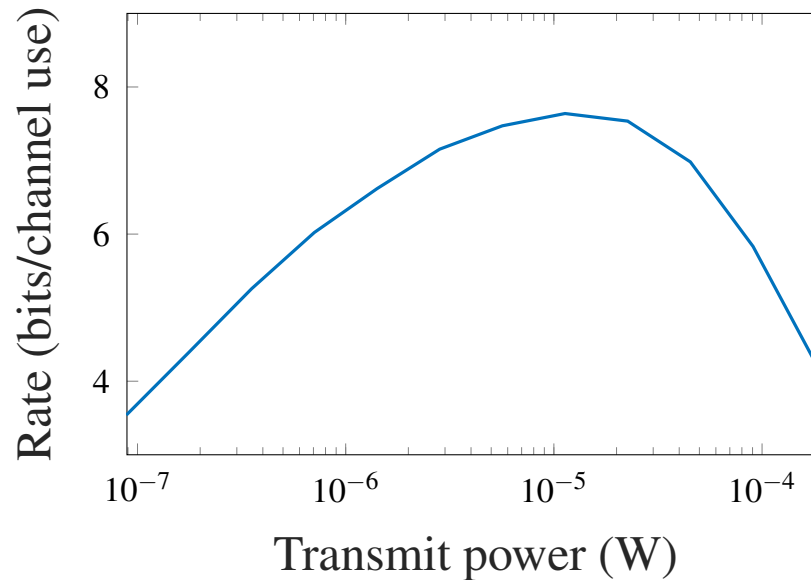
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- Achievable rate with current systems reaches a peak at a certain power. What about capacity?
- Periodic or distributed filters seem to prevent broadening and reduce coupling

# The Nonlinear Schrödinger Equation (NLSE)

- Frequency-domain wave equation ( $\Delta \omega' = \omega' - \omega_0'$ )

$$Q(z, \Delta \omega) = Q(0, \Delta \omega) e^{-\frac{\alpha}{2}z} e^{j\beta z}$$

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- **But**, the wave number  $\beta$  depends on both **frequency** and **power**:

$$\beta(\Delta \omega) \approx \beta_0 + \beta_1 \Delta \omega + \frac{\beta_2}{2} \Delta \omega^2 + \gamma |q(z, t)|^2$$

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- Taking  $\frac{\partial}{\partial z}$  and going back to time domain:

$$\frac{\partial q(z, t)}{\partial z} = -\frac{\alpha}{2} q(z, t) - j \frac{\beta_2}{2} \frac{\partial^2 q(z, t)}{\partial t^2} + j \gamma |q(z, t)|^2 q(z, t) + n(z, t)$$



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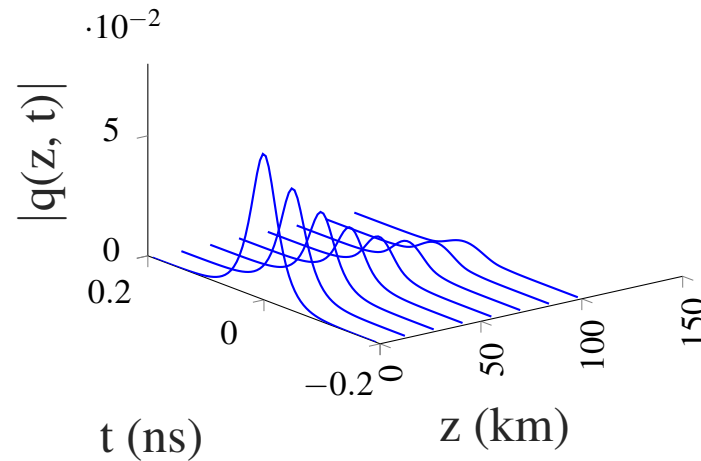
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## Attenuation

- Exponential decay in power

$$P(z) = P(0)e^{-\alpha z}$$

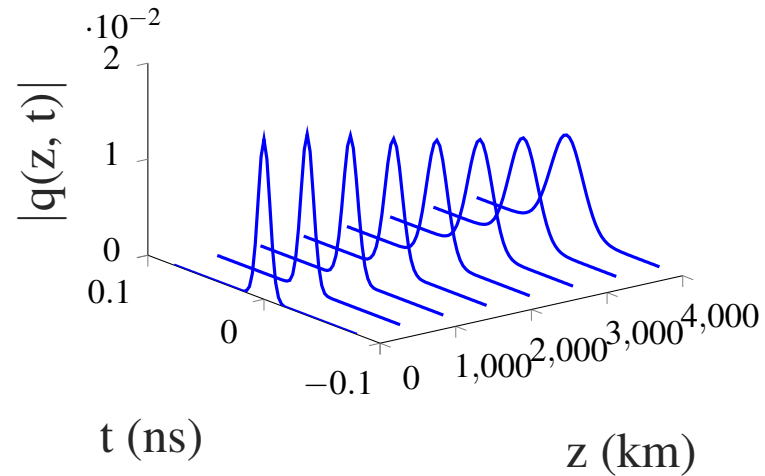


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Dispersion

- Linear term
- Causes pulse broadening in time



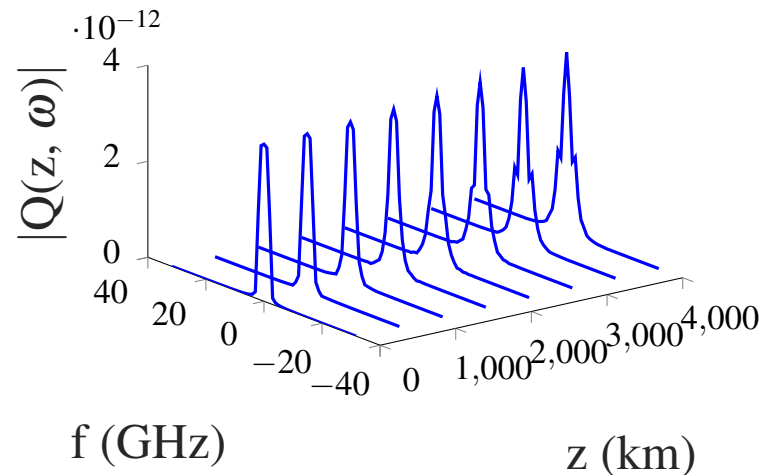
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## Nonlinearity

- Causes frequency mixing (spectral broadening, SPM, XPM, FWM)

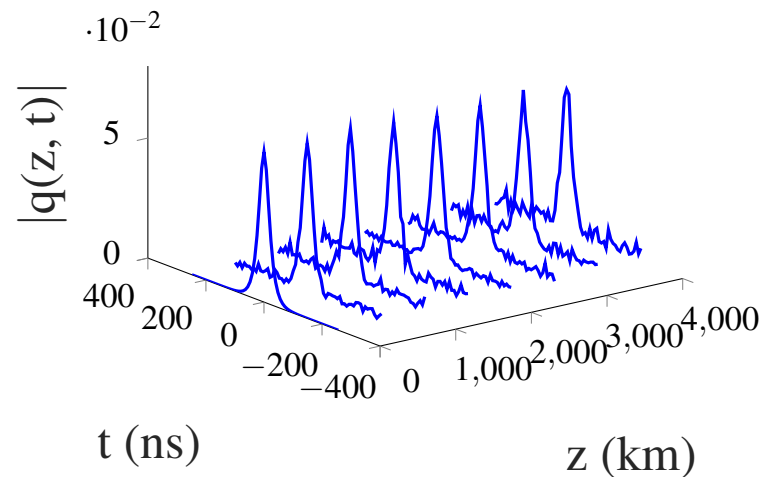


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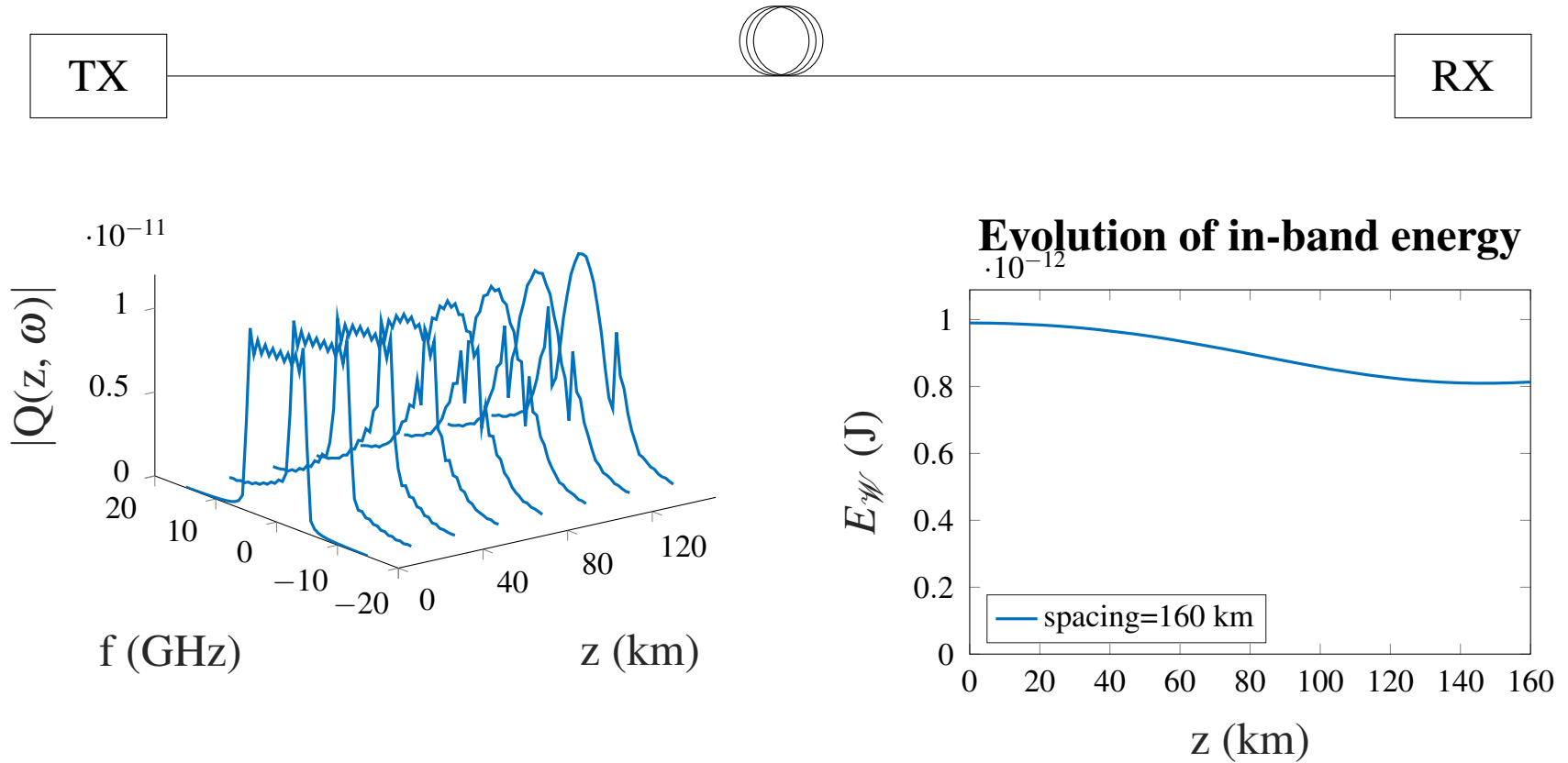


Noise

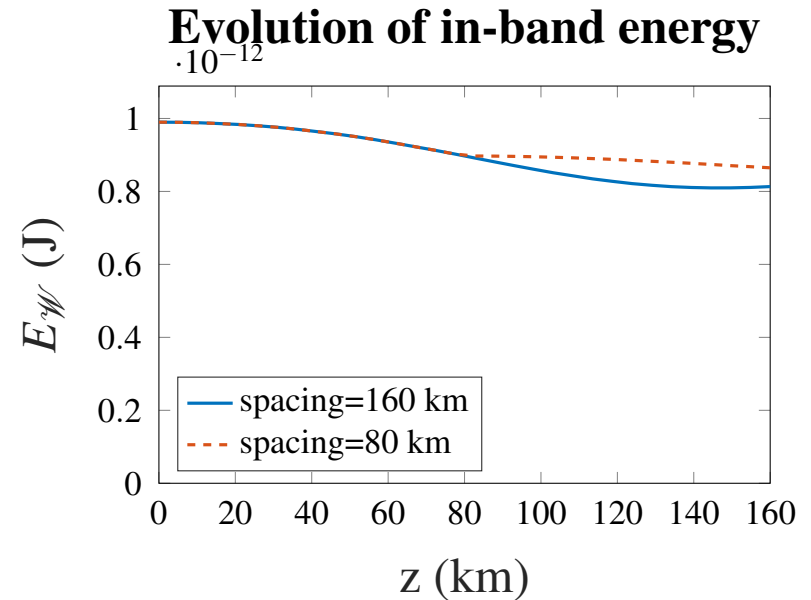
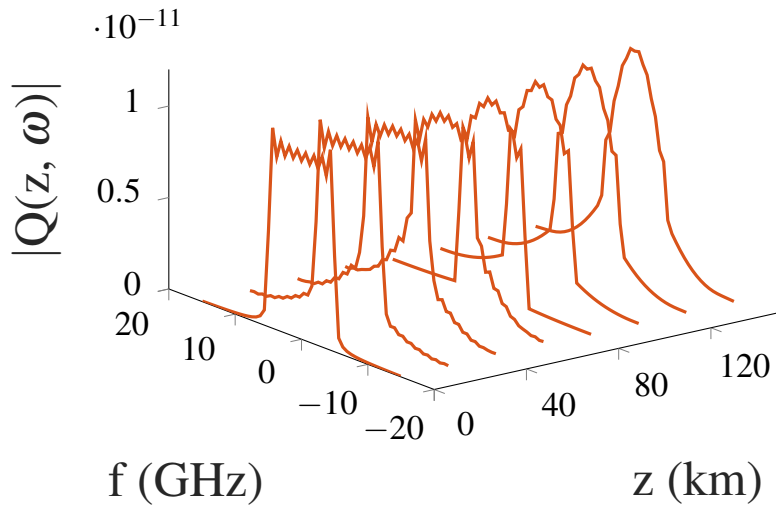


- Distributed along the fiber
- Mixes nonlinearly with signal!

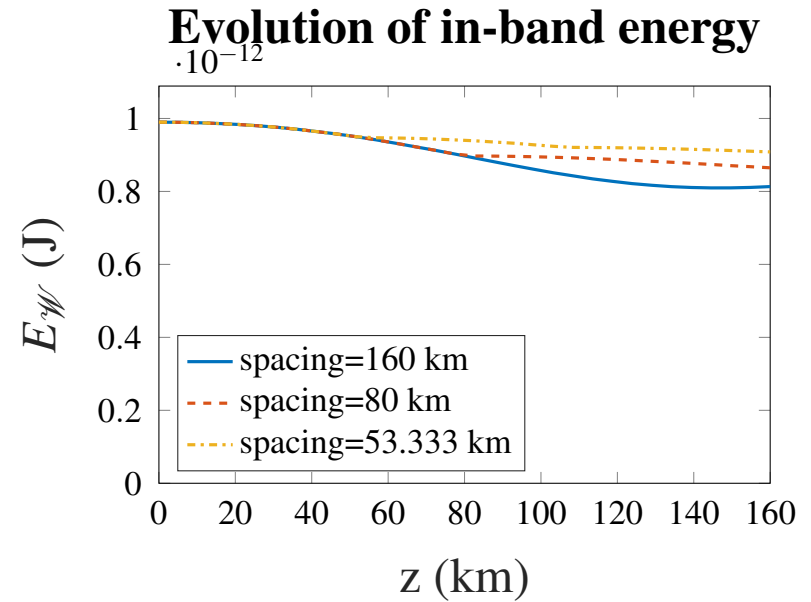
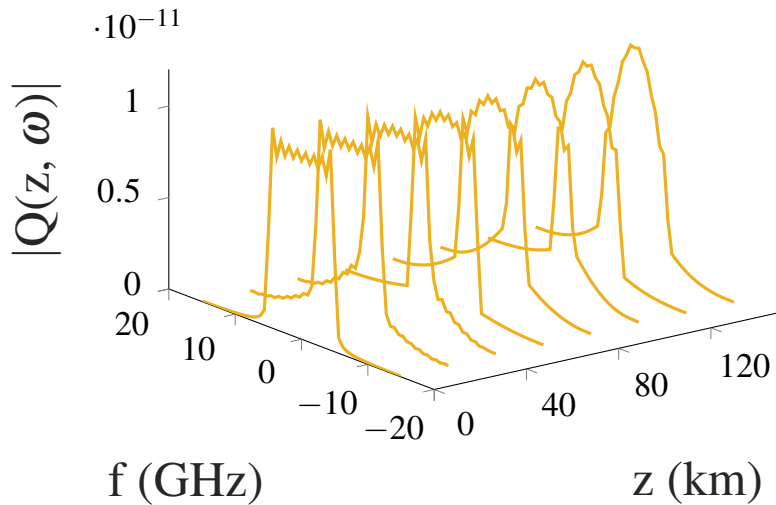
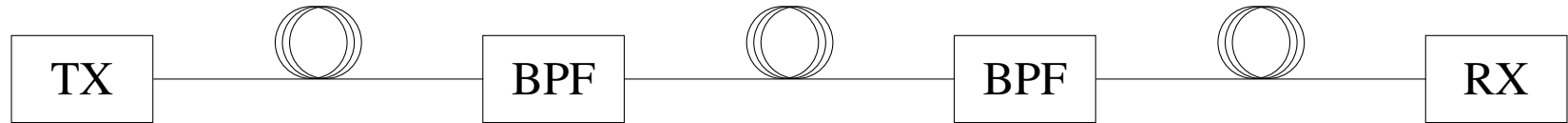
# Periodically filtered NLSE channel



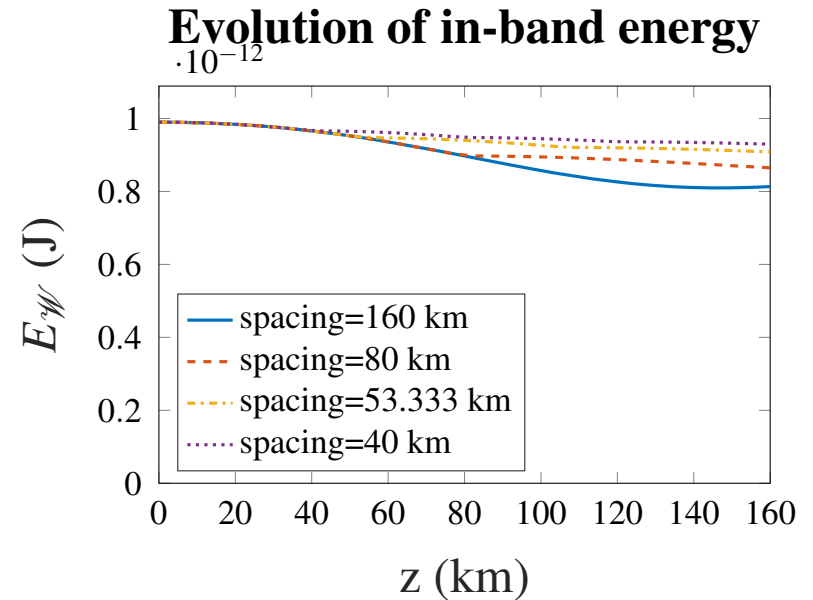
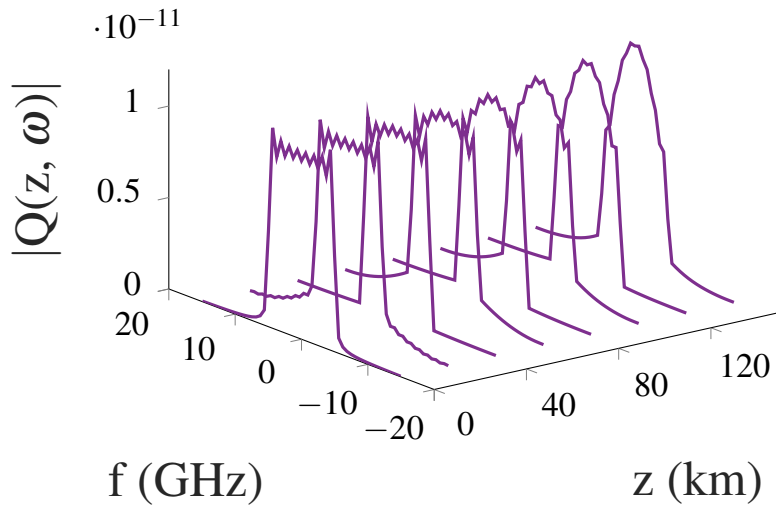
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# Limiting case: distributed filter

- Frequency-domain NLSE:

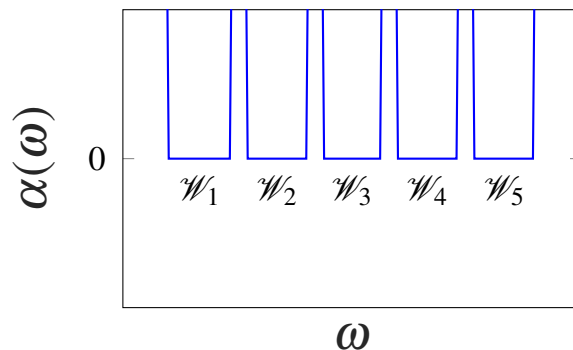
$$\begin{aligned} \frac{\partial}{\partial z} Q(z, \omega) = & - \frac{\alpha(\omega)}{2} Q(z, \omega) + j \frac{\beta_2}{2} \omega^2 Q(z, \omega) \\ & + j \frac{\gamma}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} Q(z, \omega_1) Q^*(z, \omega_2) Q(z, \omega - \omega_1 + \omega_2) d\omega_1 d\omega_2 \end{aligned}$$

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- Model distributed filters as **frequency-dependent attenuation profile**:



$$\alpha(\omega) = \begin{cases} 0, & \text{in the passband,} \\ \infty, & \text{in the stopband.} \end{cases}$$

# Energy conservation with distributed filters

- With distributed filters, **the total energy is conserved:**

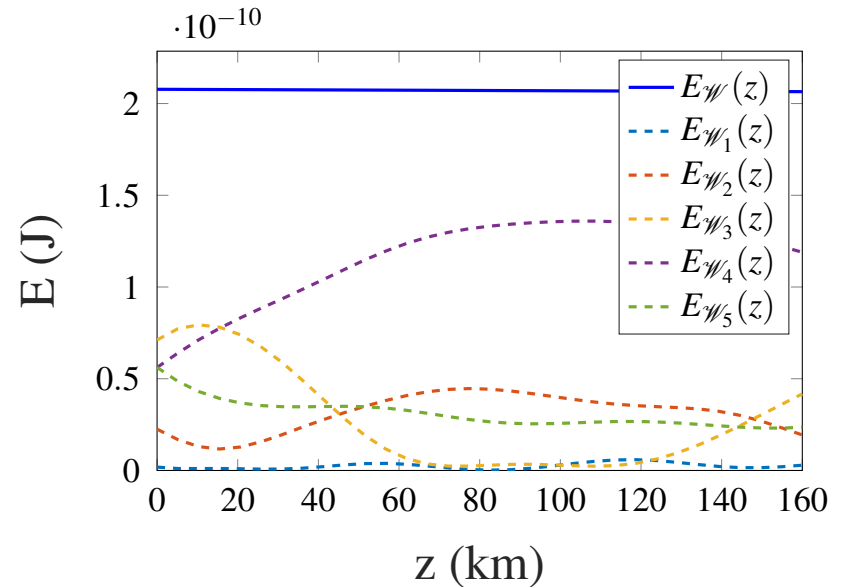
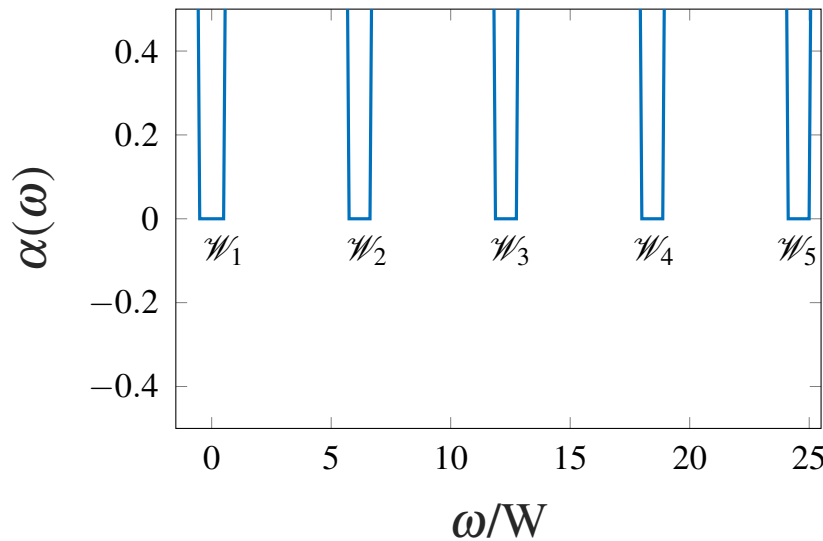
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- However, the **energy of each channel** is not conserved (energy coupling):



# Design of an energy-decoupled system

- The following condition ensures the absence of **energy** coupling between channels:

$$(\mathcal{W}_{n_1} + \mathcal{W}_{n_2}) \cap (\mathcal{W}_n + \mathcal{W}_{n_3}) = \emptyset, \quad \forall \{n_1, n_2\} \neq \{n, n_3\}, \quad (1)$$

where  $+$  denotes the *sum of intervals*:

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$$\omega_n = 2m_n W$$

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$$\eta(N) = \frac{NW}{\omega_N - \omega_1 + W} \in \mathcal{O}(1/N).$$

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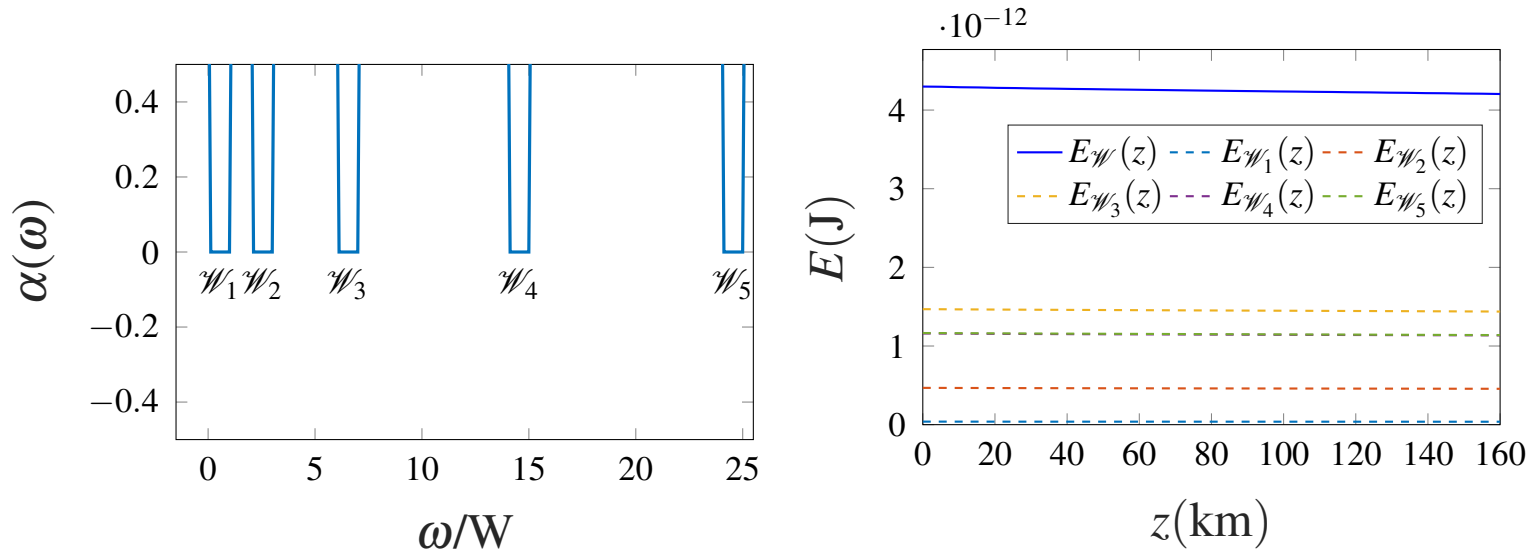
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i. e. an energy-decoupled  $N$ -channel system can asymptotically fill **at most** a fraction  $1/N$  of the spectrum.



# Energy evolution in a Sidon system



Parameter	Symbol	Value
Filter spacing	$\Delta z$	10 km
Channel bandwidth	$W/(2\pi)$	1 GHz
Power per channel	$P$	0.86 mW
Dispersion parameter	$\beta_2$	$-21.67 \text{ ps}^2/\text{km}$
Nonlinear parameter	$\gamma$	$1.26 \text{ W}^{-1}\text{km}^{-1}$

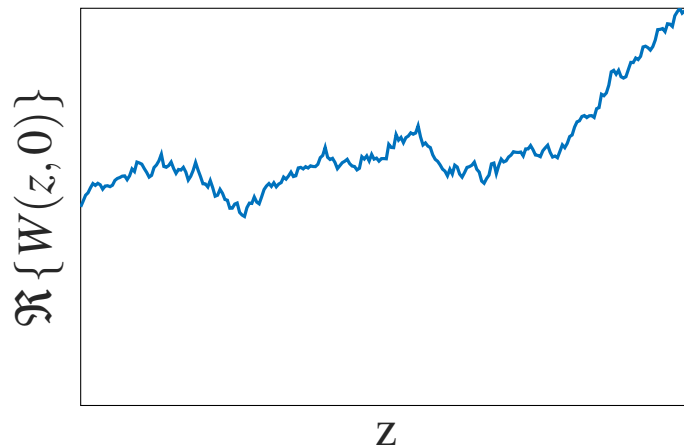
# Distributed noise

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- Wiener process: A **random walk** with **Gaussian increments**



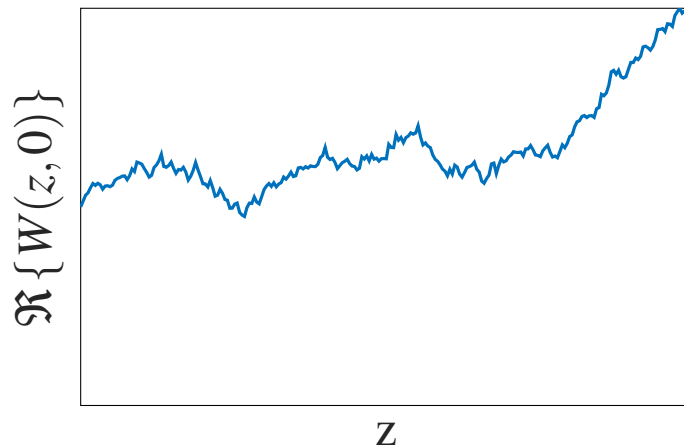
$$W(z,t) = \lim_{L \rightarrow \infty} \frac{1}{\sqrt{L}} \sum_{\ell=1}^{\lfloor Lz \rfloor} v_{\ell}(t)$$

$v_{\ell}(t)$  Gaussian i.i.d. in  $\ell$ , bandwidth B.

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$n(z,t)$  is formally the derivative of  $W(z,t)$

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$$\mathbb{E}[E(z) | E(0)] = E(0) + zN_{\text{ase}}BT$$

$$\text{Var}[E(z) | E(0)] \leq 2zN_{\text{ase}}E(0) + z^2N_{\text{ase}}^2BT$$

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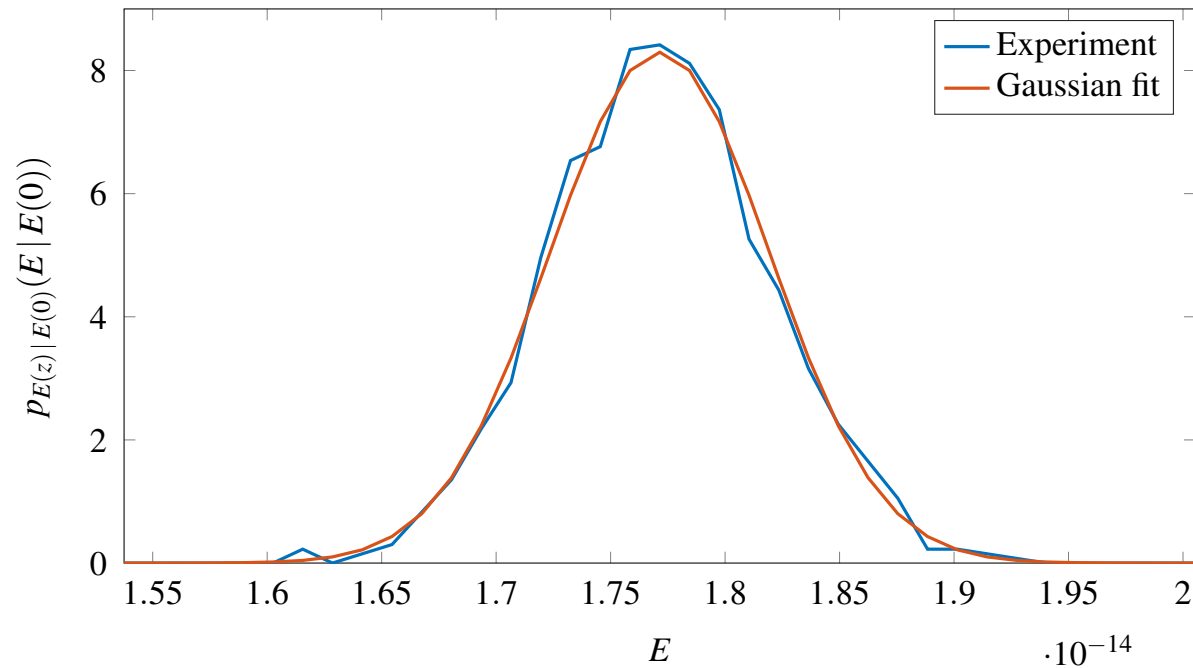
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# Statistics of the received energy

- With nonlinearity and dispersion,  $E(z) | E(0)$  looks reasonably Gaussian

**PDF of received energy ( $z = 1000$  km,  $E(0) = 1.70 \cdot 10^{-14}$  J)**



# Lower bound on capacity

- The energy channel is conditionally Gaussian:

$$E(z) \sim \mathcal{N} \left( E(0) + zN_{\text{ase}}BT, 2zN_{\text{ase}}E(0) + z^2N_{\text{ase}}^2BT \right)$$

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- The capacity for this channel (power constraint  $\mathbb{E}[E(0)] \leq PT$ ) is lower bounded by:

$$C(P) \geq C_{\text{lb}}(P) = \frac{1}{2} \log \left( 2 + \frac{PT}{2N_{\text{ase}}z} \right) - 1 - \sqrt{\frac{N_{\text{ase}}zW}{8P}} \\ + \sqrt{\frac{PT}{2N_{\text{ase}}z} \left( 2 + \frac{PT}{2N_{\text{ase}}z} \right) - \frac{PT}{2N_{\text{ase}}z}}$$

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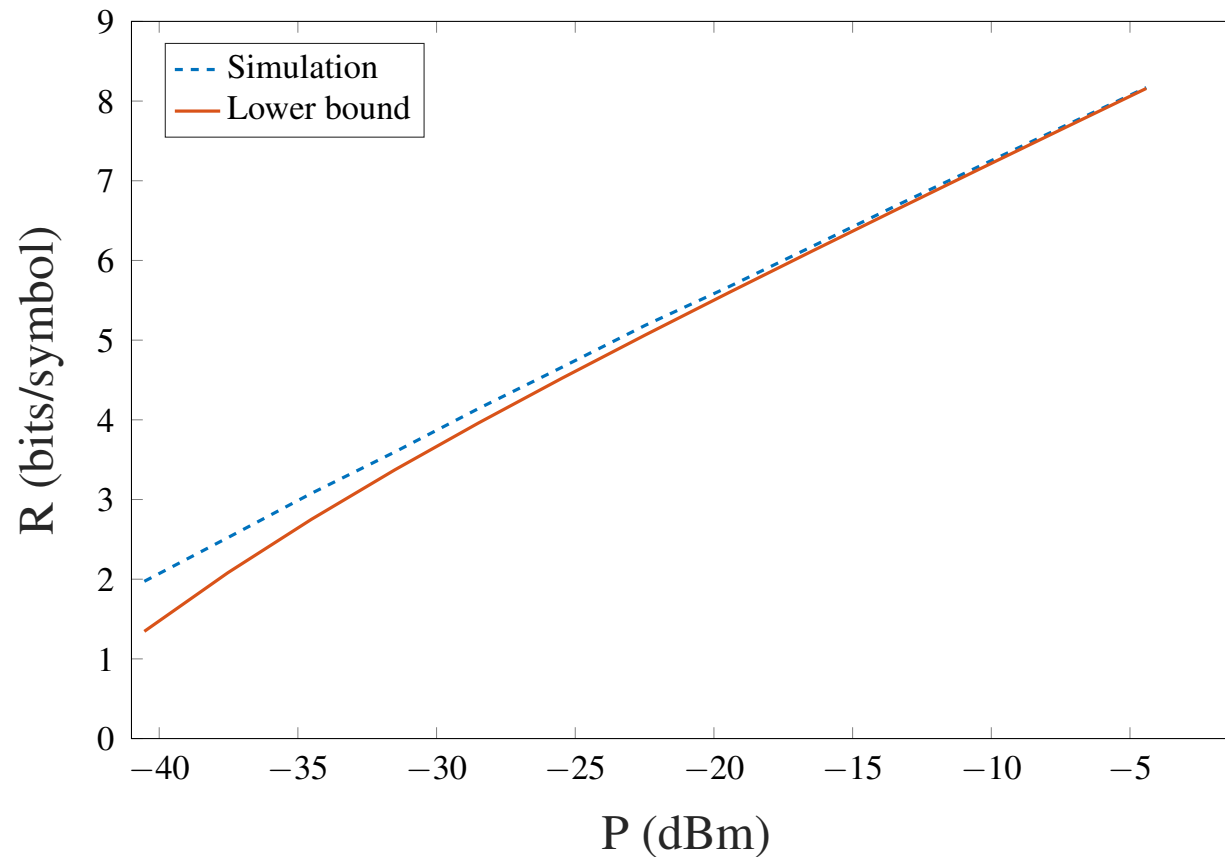
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- For large power  $P$ , the capacity **grows unboundedly** with power:

$$C_{\text{lb}}(P) \underset{P \rightarrow \infty}{\sim} \frac{1}{2} \log P$$

# Lower bound on capacity



RRC pulses,  $N_{\text{ase}}Bz = -44.77$  dBm,  $z = 3000$  km,  $B = 2$  GHz

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- Disclaimer:
  - Proved only for the memoryless case!