

A TAYLOR-LIKE EXPANSION OF A COMMUTATOR WITH A FUNCTION OF SELF-ADJOINT, PAIRWISE COMMUTING OPERATORS

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Abstract

Let A be a ν -vector of self-adjoint, pairwise commuting operators and B a bounded operator of class $C^{n_0}(A)$. We prove a Taylor-like expansion of the commutator $[B, f(A)]$ for a large class of functions $f: \mathbb{R}^\nu \rightarrow \mathbb{R}$, generalising the one-dimensional result where A is just a self-adjoint operator. This is done using almost analytic extensions and the higher-dimensional Helffer-Sjöstrand formula.

1. Introduction

It is well-known that if A is a self-adjoint operator, B is a bounded operator of class $C^{n_0}(A)$ in the sense of [1] and f satisfies $|f^{(n)}(x)| \leq C_n \langle x \rangle^{s-n}$ for all n , then for $0 \leq t_1 \leq n_0$, $0 \leq t_2 \leq 1$ with $s + t_1 + t_2 < n_0$,

$$[B, f(A)] = \sum_{k=1}^{n_0-1} \frac{1}{k!} f^{(k)}(A) \operatorname{ad}_A^k(B) + R_{n_0}(A, B)$$

where $\operatorname{ad}_A^k(B)$ is the k 'th iterated commutator, $R_{n_0}(A, B) \in \mathcal{B}(\mathcal{H}_A^{-t_2}; \mathcal{H}_A^{t_1})$ and \mathcal{H}_A^t is defined as $\mathcal{D}(\langle A \rangle^t)$ equipped with the graph-norm $\|v\|_t = \|\langle A \rangle^t v\|$ for $t \geq 0$ and \mathcal{H}_A^{-t} is the dual space of \mathcal{H}_A^t . This follows relatively easily from using the (one-dimensional) Helffer-Sjöstrand formula

$$(1) \quad f(A) = \frac{1}{\pi} \int_{\mathbb{C}} \bar{\partial} \tilde{f}(z) (A - z)^{-1} dz,$$

where $\bar{\partial} = \frac{1}{2}(\partial_x + i\partial_y)$ and \tilde{f} is an almost analytic extension of f , and the identity

$$\begin{aligned} [B, f(A)] &= \sum_{k=1}^{n_0-1} \frac{1}{k!} \frac{k!}{\pi} \int_{\mathbb{C}} \bar{\partial} \tilde{f}(z) (-1)^k (A - z)^{-k-1} dz \operatorname{ad}_A^k(B) \\ &\quad + \frac{(-1)^{n_0}}{\pi} \int_{\mathbb{C}} \bar{\partial} \tilde{f}(z) (A - z)^{-n_0} \operatorname{ad}_A^{n_0}(B) (A - z)^{-1} dz \end{aligned}$$

when $\frac{k!}{\pi} \int_{\mathbb{C}} \bar{\partial} \tilde{f}(z) (-1)^k (A - z)^{-k-1} dz$ is recognised as $f^{(k)}(A)$ using (1). Such commutator expansions were first proved in [7]. See e.g. [4] for details. Due to the higher complexity of the general Helffer-Sjöstrand formula, these calculations do not lead directly to the generalised result where A is a vector of self-adjoint, pairwise commuting operators. However, we will follow the same idea.

The theorem may be viewed as an abstract analogue of pseudo-differential calculus. The one-dimensional version is an often used result, see e.g. [2] and [4]. Apart from the obvious interest in generalising the result to higher dimensions, our improvement has proven useful in the treatment of models in quantum field theory, see [6]. In particular, a lemma in [6] whose proof depends on our result, extends the results of [5] to a larger class of models.

2. The setting and result

In the following, $A = (A_1, \dots, A_\nu)$ is a vector of self-adjoint, pairwise commuting operators acting on a Hilbert space \mathcal{H} , and $B \in \mathcal{B}(\mathcal{H})$ is a bounded operator on \mathcal{H} . We

shall use the notion of B being of class $C^{n_0}(A)$ introduced in [1]. For notational convenience, we adopt the following convention: If $0 \leq j \leq \nu$, then δ_j denotes the multi-index $(0, \dots, 0, 1, 0, \dots, 0)$, where the 1 is in the j 'th entry.

DEFINITION 1. Let $n_0 \in \mathbb{N} \cup \{\infty\}$. Assume that the multi-commutator form defined iteratively by $\text{ad}_A^0(B) = B$ and $\text{ad}_A^\alpha(B) = [\text{ad}_A^{\alpha - \delta_j}(B), A_j]$ as a form on $\mathcal{D}(A_j)$, where $\alpha \geq \delta_j$ is a multi-index and $1 \leq j \leq \nu$, can be represented by a bounded operator also denoted by $\text{ad}_A^\alpha(B)$, for all multi-indices α , $|\alpha| < n_0 + 1$. Then B is said to be of class $C^{n_0}(A)$ and we write $B \in C^{n_0}(A)$.

REMARK 2. The definition of $\text{ad}_A^\alpha(B)$ does not depend on the order of the iteration since the A_j are pairwise commuting. We call $|\alpha|$ the *degree* of $\text{ad}_A^\alpha(B)$.

In the following, $\mathcal{H}_A^s := D(\langle A \rangle^s)$ for $s \geq 0$ will be used to denote the scale of spaces associated to A . For negative s , we define $\mathcal{H}_A^s := (\mathcal{H}_A^{-s})^*$.

THEOREM 3. Assume that $B \in C^{n_0}(A)$ for some $n_0 \geq n + 1 \geq 1$, $0 \leq t_1 \leq n + 1$, $0 \leq t_2 \leq 1$ and that $\{f_\lambda\}_{\lambda \in I}$ satisfies

$$\forall \alpha \exists C_\alpha : |\partial^\alpha f_\lambda(x)| \leq C_\alpha \langle x \rangle^{s - |\alpha|}$$

uniformly in λ for some $s \in \mathbb{R}$ such that $t_1 + t_2 + s < n + 1$. Then

$$[B, f_\lambda(A)] = \sum_{|\alpha|=1}^n \frac{1}{\alpha!} \partial^\alpha f_\lambda(A) \text{ad}_A^\alpha(B) + R_{\lambda,n}(A, B)$$

as an identity on $\mathcal{D}(\langle A \rangle^s)$, where $R_{\lambda,n}(A, B) \in \mathcal{B}(\mathcal{H}_A^{-t_2}, \mathcal{H}_A^{t_1})$ and there exist a constant C independent of A , B and λ such that

$$\|R_{\lambda,n}(A, B)\|_{\mathcal{B}(\mathcal{H}_A^{-t_2}, \mathcal{H}_A^{t_1})} \leq C \sum_{|\alpha|=n+1} \|\text{ad}_A^\alpha(B)\|.$$

REMARK 4. A similar statement holds with the $\text{ad}_A^\alpha(B)$ and $\partial^\alpha f_\lambda(A)$ interchanged at the cost of a sign correction given by $(-1)^{|\alpha|-1}$, and the corresponding remainder term $R'_{\lambda,n}(A, B) \in \mathcal{B}(\mathcal{H}_A^{-t_1}, \mathcal{H}_A^{t_2})$. This can be seen either by proving it analogously or by taking the adjoint equation and replacing B by $-B$.

REMARK 5. If $k \leq t_1$ and $n_0 \geq n + 1 + k$, then $R_{\lambda,n}(A, B)$ can be replaced by $R^k_{\lambda,n}(A, B) \in \mathcal{B}(\mathcal{H}_A^{-t_2+k}, \mathcal{H}_A^{t_1-k})$. This can be seen by commuting $|A - z|^{-2}$ and $\text{ad}_A^\alpha(B)$ in the terms of the remainder, see page 6.

3. The Proof

Let $z \in \mathbb{C}^\nu$, $\text{Im } z \neq 0$, $1 \leq \ell \leq \nu$ and $g, g_\ell: \mathbb{R}^\nu \rightarrow \mathbb{C}$ be given as $g(t) = |t - z|^{-2}$ and $g_\ell(t) = t_\ell - \bar{z}_\ell$. Write for $2\beta \leq \alpha$

$$T_\alpha^\beta(t, z) := \frac{(-2)^{|\alpha-\beta|} |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (t - \text{Re } z)^{\alpha-2\beta} |t - z|^{-2|\alpha-\beta|}.$$

LEMMA 6. Let g be as above and α be any multi-index. Then

$$\partial^\alpha g(t) = \sum_{2\beta \leq \alpha} \alpha! T_\alpha^\beta(t, z) |t - z|^{-2}.$$

PROOF. For brevity, we will write α^i or β^i for $\alpha + \delta_i$ or $\beta + \delta_i$, respectively. The formula is obviously true for $\alpha = 0$. Now assume that we have proven the formula for $|\alpha| \leq k$. Let $|\alpha| = k$ and $0 \leq i \leq \nu$ be arbitrary. It suffices to prove the formula for α^i . One easily verifies using the chain rule that

$$(2) \quad (\partial^{\delta_i} g^n)(t) = -2n(t_i - \text{Re } z_i) |t - z|^{-2n-2}.$$

Now by the induction hypothesis, we see that

$$\begin{aligned}
 \partial^{\alpha+\delta_i} g(t) &= \partial_t^{\delta_i} \sum_{2\beta \leq \alpha} \frac{(-2)^{|\alpha-\beta|} \alpha! |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (t - \operatorname{Re} z)^{\alpha-2\beta} |t-z|^{-2|\alpha-\beta|-2} \\
 (3) \quad &= \sum_{2\beta \leq \alpha} \frac{(-2)^{|\alpha-\beta|} \alpha! |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (\partial_t^{\delta_i} (t - \operatorname{Re} z)^{\alpha-2\beta}) |t-z|^{-2|\alpha-\beta|-2} \\
 (4) \quad &+ \sum_{2\beta \leq \alpha} \frac{(-2)^{|\alpha-\beta|} \alpha! |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (t - \operatorname{Re} z)^{\alpha-2\beta} (\partial_t^{\delta_i} |t-z|^{-2|\alpha-\beta|-2}).
 \end{aligned}$$

For the sake of clarity, we will now consider each sum independently.

$$\begin{aligned}
 (3) &= \sum_{2\beta \leq \alpha} \frac{(-2)^{|\alpha-\beta|} \alpha! |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (\alpha_i - 2\beta_i) (t - \operatorname{Re} z)^{\alpha-2\beta-\delta_i} |t-z|^{-2|\alpha-\beta|-2} \\
 &= \sum_{\substack{2\beta \leq \alpha \\ 2\beta_i < \alpha_i}} 2(\beta_i + 1) \frac{(-2)^{|\alpha-\beta|} \alpha! |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (t - \operatorname{Re} z)^{\alpha-2\beta} |t-z|^{-2|\alpha-\beta|-2} \\
 (5) \quad &= \sum_{2\beta \leq \alpha + \delta_i} 2\beta_i \frac{(-2)^{|\alpha-\beta|} \alpha! |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (t - \operatorname{Re} z)^{\alpha-2\beta} |t-z|^{-2|\alpha-\beta|-2}.
 \end{aligned}$$

Using (2), we see that (4) equals

$$\begin{aligned}
 &\sum_{2\beta \leq \alpha} \frac{(-2)^{|\alpha-\beta|} \alpha! |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (t - \operatorname{Re} z)^{\alpha-2\beta} (-2)(|\alpha-\beta|+1)(t_i - \operatorname{Re} z_i) |t-z|^{-2|\alpha-\beta|-4} \\
 &= \sum_{2\beta \leq \alpha} (\alpha_i + 1 - 2\beta_i) \frac{(-2)^{|\alpha-\beta|} \alpha! |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (t - \operatorname{Re} z)^{\alpha-2\beta} |t-z|^{-2|\alpha-\beta|-2} \\
 (6) \quad &= \sum_{2\beta \leq \alpha} \frac{(-2)^{|\alpha-\beta|} \alpha! |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (t - \operatorname{Re} z)^{\alpha-2\beta} |t-z|^{-2|\alpha-\beta|-2} \\
 (7) \quad &- \sum_{2\beta \leq \alpha} 2\beta_i \frac{(-2)^{|\alpha-\beta|} \alpha! |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (t - \operatorname{Re} z)^{\alpha-2\beta} |t-z|^{-2|\alpha-\beta|-2}.
 \end{aligned}$$

Now (7) cancels (5) except for possible terms with $2\beta = \alpha + \delta_i$:

$$(8) \quad (5) + (7) = \sum_{2\beta = \alpha + \delta_i} \frac{(-2)^{|\alpha-\beta|} \alpha! |\alpha-\beta|!}{2^{|\beta|} \beta! (\alpha-2\beta)!} (t - \operatorname{Re} z)^{\alpha-2\beta} |t-z|^{-2|\alpha-\beta|-2}.$$

Adding (6) and (8) finishes the induction.

LEMMA 7. *Let $B \in C^{n_0}(A)$ for some $n_0 \geq 1$ and let $n \in \mathbb{N}_0$ and α_0 be a multi-index satisfying $|\alpha_0| + n + 1 \leq n_0$. Then*

$$(9) \quad [\operatorname{ad}_A^{\alpha_0}(B), g(A)] = \sum_{|\alpha|=1}^n \frac{1}{\alpha!} \partial^\alpha g(A) \operatorname{ad}_A^{\alpha_0+\alpha}(B) + R_n^g(A, \operatorname{ad}_A^{\alpha_0}(B)),$$

where

$$\begin{aligned}
 &R_n^g(A, \operatorname{ad}_A^{\alpha_0}(B)) \\
 (10) \quad &= \sum_{|\alpha|=n-1} \sum_{2\beta \leq \alpha} \sum_{i=1}^\nu \frac{\beta_i+1}{|\alpha+\delta_i-\beta|} T_{\alpha+2\delta_i}^{\beta+\delta_i}(A, z) \operatorname{ad}_A^{\alpha_0+\alpha+2\delta_i}(B) |A-z|^{-2} \\
 (11) \quad &+ \sum_{|\alpha|=n} \sum_{2\beta \leq \alpha} \sum_{i=1}^\nu \frac{\beta_i+1}{|\alpha+\delta_i-\beta|} T_{\alpha+2\delta_i}^{\beta+\delta_i}(A, z) (A_i - \bar{z}_i) \operatorname{ad}_A^{\alpha_0+\alpha+\delta_i}(B) |A-z|^{-2} \\
 (12) \quad &+ \sum_{|\alpha|=n} \sum_{2\beta \leq \alpha} \sum_{i=1}^\nu \frac{\beta_i+1}{|\alpha+\delta_i-\beta|} T_{\alpha+2\delta_i}^{\beta+\delta_i}(A, z) \operatorname{ad}_A^{\alpha_0+\alpha+\delta_i}(B) (A_i - z_i) |A-z|^{-2}.
 \end{aligned}$$

PROOF. The proof goes by induction. One may check by inspection of the following identity that the statement is true for $n = 0$.

$$(13) \quad [\text{ad}_A^{\alpha_0}(B), |A - z|^{-2}] = - \sum_{i=1}^{\nu} |A - z|^{-2} (A_i - \bar{z}_i) \text{ad}_A^{\alpha_0 + \delta_i}(B) |A - z|^{-2} \\ - \sum_{i=1}^{\nu} |A - z|^{-2} \text{ad}_A^{\alpha_0 + \delta_i}(B) (A_i - z_i) |A - z|^{-2}.$$

Now assume that we have proven the formula for $k \leq n$, $|\alpha_0| + n + 2 \leq n_0$. We will now show that this implies that the formula holds for $k = n + 1$. We begin by noting two useful identities.

$$(14) \quad T_{\alpha}^{\beta}(t, z) |t - z|^{-2} = - \frac{\beta_j + 1}{|\alpha + \delta_j - \beta|} T_{\alpha + 2\delta_j}^{\beta + \delta_j}(t, z), \quad \forall j.$$

$$(15) \quad (\beta_i + 1) T_{\alpha + 2\delta_i}^{\beta + \delta_i}(t, z) 2(t_i - \text{Re } z_i) = (\alpha_i + 1 - 2\beta_i) T_{\alpha + \delta_i}^{\beta}(t, z).$$

Now using (13) and (14) we see that

$$(16) \quad (10) = \sum_{|\alpha| = n-1} \sum_{2\beta \leq \alpha} \sum_{i=1}^{\nu} \frac{\beta_i + 1}{|\alpha + \delta_i - \beta|} T_{\alpha + 2\delta_i}^{\beta + \delta_i}(A, z) |A - z|^{-2} \text{ad}_A^{\alpha_0 + \alpha + 2\delta_i}(B)$$

$$(17) \quad + \sum_{|\alpha| = n-1} \sum_{2\beta \leq \alpha} \sum_{i=1}^{\nu} \sum_{j=1}^{\nu} \frac{\beta_i + 1}{|\alpha + \delta_i - \beta|} \frac{\beta_j + \delta_{ij} + 1}{|\alpha + \delta_i + \delta_j - \beta|} T_{\alpha + 2\delta_i + 2\delta_j}^{\beta + \delta_i + \delta_j}(A, z) \\ \times (A_j - \bar{z}_j) \text{ad}_A^{\alpha_0 + \alpha + 2\delta_i + \delta_j}(B) |A - z|^{-2}$$

$$(18) \quad + \sum_{|\alpha| = n-1} \sum_{2\beta \leq \alpha} \sum_{i=1}^{\nu} \sum_{j=1}^{\nu} \frac{\beta_i + 1}{|\alpha + \delta_i - \beta|} \frac{\beta_j + \delta_{ij} + 1}{|\alpha + \delta_i + \delta_j - \beta|} T_{\alpha + 2\delta_i + 2\delta_j}^{\beta + \delta_i + \delta_j}(A, z) \\ \times \text{ad}_A^{\alpha_0 + \alpha + 2\delta_i + \delta_j}(B) (A_j - z_j) |A - z|^{-2},$$

and by reordering and reindexing the sum in (16), (17) and (18), we get

$$(19) \quad (16) = \sum_{i=1}^{\nu} \sum_{|\alpha| = n+1} \sum_{\substack{2\beta \leq \alpha \\ \alpha_i \geq 2 \\ \beta_i \geq 1}} \frac{\beta_i}{|\alpha - \beta|} T_{\alpha}^{\beta}(A, z) |A - z|^{-2} \text{ad}_A^{\alpha_0 + \alpha}(B),$$

and (17) equals

$$(20) \quad \sum_{i=1}^{\nu} \sum_{|\alpha| = n+1} \sum_{\substack{2\beta \leq \alpha \\ \alpha_i \geq 2 \\ \beta_i \geq 1}} \sum_{j=1}^{\nu} \frac{\beta_i}{|\alpha - \beta|} \frac{\beta_j + 1}{|\alpha + \delta_j - \beta|} T_{\alpha + 2\delta_j}^{\beta + \delta_j}(A, z) \\ \times (A_j - \bar{z}_j) \text{ad}_A^{\alpha_0 + \alpha + \delta_j}(B) |A - z|^{-2}$$

and similarly for (18) with $(A_j - \bar{z}_j) \text{ad}_A^{\alpha_0 + \alpha + \delta_j}(B)$ replaced by $\text{ad}_A^{\alpha_0 + \alpha + \delta_j}(B) (A_j - z_j)$. Note that we may relax the extra conditions on α and β in the above statements, as a term with $\beta_i = 0$ contributes nothing.

Instead of continuing in the same fashion with (11) and (12), we note using (15) that

$$(21) \quad (11) + (12) = \sum_{|\alpha| = n} \sum_{2\beta \leq \alpha} \sum_{i=1}^{\nu} \frac{\beta_i + 1}{|\alpha + \delta_i - \beta|} T_{\alpha + 2\delta_i}^{\beta + \delta_i}(A, z) \text{ad}_A^{\alpha_0 + \alpha + 2\delta_i}(B) |A - z|^{-2}$$

$$(22) \quad + \sum_{|\alpha| = n} \sum_{2\beta \leq \alpha} \sum_{i=1}^{\nu} \frac{\alpha_i + 1 - 2\beta_i}{|\alpha + \delta_i - \beta|} T_{\alpha + \delta_i}^{\beta}(A, z) \text{ad}_A^{\alpha_0 + \alpha + \delta_i}(B) |A - z|^{-2},$$

so we may focus our attention on (22):

$$(23) \quad (22) = \sum_{i=1}^{\nu} \sum_{\substack{|\alpha|=n+1 \\ \alpha_i \geq 1}} \sum_{\substack{2\beta \leq \alpha \\ 2\beta_i < \alpha_i}} \frac{\alpha_i - 2\beta_i}{|\alpha - \beta|} T_{\alpha}^{\beta}(A, z) |A - z|^{-2} \text{ad}_A^{\alpha_0 + \alpha}(B)$$

$$(24) \quad + \sum_{i=1}^{\nu} \sum_{\substack{|\alpha|=n+1 \\ \alpha_i \geq 1}} \sum_{\substack{2\beta \leq \alpha \\ 2\beta_i < \alpha_i}} \sum_{j=1}^{\nu} \frac{\alpha_i - 2\beta_i}{|\alpha - \beta|} \frac{\beta_j + 1}{|\alpha + \delta_j - \beta|} T_{\alpha + 2\delta_j}^{\beta + \delta_j}(A, z) \\ \times (A_j - \bar{z}_j) \text{ad}_A^{\alpha_0 + \alpha + \delta_j}(B) |A - z|^{-2}.$$

$$(25) \quad + \sum_{i=1}^{\nu} \sum_{\substack{|\alpha|=n+1 \\ \alpha_i \geq 1}} \sum_{\substack{2\beta \leq \alpha \\ 2\beta_i < \alpha_i}} \sum_{j=1}^{\nu} \frac{\alpha_i - 2\beta_i}{|\alpha - \beta|} \frac{\beta_j + 1}{|\alpha + \delta_j - \beta|} T_{\alpha + 2\delta_j}^{\beta + \delta_j}(A, z) \\ \times \text{ad}_A^{\alpha_0 + \alpha + \delta_j}(B) (A_j - z_j) |A - z|^{-2}$$

We note again that the additional conditions on α and β are superfluous.

We may now recollect the terms. First we see using Lemma 6:

$$(26) \quad \sum_{|\alpha|=1}^n \frac{1}{\alpha!} \partial^{\alpha} g(A) \text{ad}_A^{\alpha_0 + \alpha}(B) + (19) + (23) = \sum_{|\alpha|=1}^{n+1} \frac{1}{\alpha!} \partial^{\alpha} g(A) \text{ad}_A^{\alpha_0 + \alpha}(B),$$

then

$$(27) \quad (20) + (24) = \sum_{|\alpha|=n+1} \sum_{2\beta \leq \alpha} \sum_{j=1}^{\nu} \frac{\beta_j + 1}{|\alpha + \delta_j - \beta|} T_{\alpha + 2\delta_j}^{\beta + \delta_j}(A, z) (A_j - \bar{z}_j) \text{ad}_A^{\alpha_0 + \alpha + \delta_j}(B) |A - z|^{-2},$$

and

$$(28) \quad (18) + (25) = \sum_{|\alpha|=n+1} \sum_{2\beta \leq \alpha} \sum_{j=1}^{\nu} \frac{\beta_j + 1}{|\alpha + \delta_j - \beta|} T_{\alpha + 2\delta_j}^{\beta + \delta_j}(A, z) \text{ad}_A^{\alpha_0 + \alpha + \delta_j}(B) (A_j - z_j) |A - z|^{-2},$$

so adding up, we have proved that (9) equals the sum of (26), (21), (27) and (28) as stated.

The following lemma plays the same role for g_{ℓ} as Lemma 7 plays for g , but contrary to Lemma 7, the proof is trivial.

LEMMA 8. For $n \in \mathbb{N}_0$ and $|\alpha_0| + 1 \leq n_0$

$$[\text{ad}_A^{\alpha_0}(B), g_{\ell}(A)] = \sum_{|\alpha|=1}^n \frac{1}{\alpha!} \partial^{\alpha} g_{\ell}(A) \text{ad}_A^{\alpha_0 + \alpha}(B) + R_n^{g_{\ell}}(A, \text{ad}_A^{\alpha_0}(B)),$$

where $R_n^{g_{\ell}}(A, \text{ad}_A^{\alpha_0}(B)) = 0$ for $n \geq 1$, $R_0^{g_{\ell}}(A, \text{ad}_A^{\alpha_0}(B)) = \text{ad}_A^{\alpha_0 + \delta_{\ell}}(B)$.

The following lemma also follows by induction.

LEMMA 9. Assume $B \in C^{n_0}(A)$ and that $h_i \in C^{\infty}(\mathbb{R}^{\nu})$, $1 \leq i \leq k$, satisfies

$$[\text{ad}_A^{\alpha_0}(B), h_i(A)] = \sum_{|\alpha|=1}^n \frac{1}{\alpha!} \partial^{\alpha} h_i(A) \text{ad}_A^{\alpha_0 + \alpha}(B) + R_n^{h_i}(A, \text{ad}_A^{\alpha_0}(B)),$$

where $R_n^{h_i}(A, \text{ad}_A^{\alpha_0}(B))$ is bounded for all $n + |\alpha_0| \leq n_0$ and $\partial^\alpha h_i(A)$ is bounded for all $0 \leq |\alpha| + 1 \leq n_0$. Then

$$\begin{aligned} \left[B, \prod_{i=1}^k h_i(A) \right] &= \sum_{|\alpha|=1}^n \frac{1}{\alpha!} \partial^\alpha \left(\prod_{i=1}^k h_i \right) (A) \text{ad}_A^\alpha(B) \\ &\quad + \sum_{j=1}^k \sum_{|\alpha|=0}^n \frac{1}{\alpha!} \partial^\alpha \left(\prod_{i=1}^{j-1} h_i \right) (A) R_{n-|\alpha|}^{h_j}(A, \text{ad}_A^\alpha(B)) \prod_{i=j+1}^k h_i(A). \end{aligned}$$

Let $n + 1 \leq n_0$. If we put $k = \nu + 1$, $h_i = g$ for $i \neq \nu$, $h_\nu = g_\ell$ and apply Lemma 7, 8 and 9 we see that

$$(29) \quad \begin{aligned} &[B, |A - z|^{-2\nu} (A_\ell - \bar{z}_\ell)] = \\ &\sum_{|\alpha|=1}^n \frac{1}{\alpha!} \partial^\alpha (|\cdot - z|^{-2\nu} (\cdot_\ell - \bar{z}_\ell)) (A) \text{ad}_A^\alpha(B) + R_{\ell,n}(A, B), \end{aligned}$$

where

$$(30) \quad \begin{aligned} R_{\ell,n}(A, B) &= \\ &\sum_{j=1}^{\nu-1} \sum_{|\alpha|=0}^n \frac{1}{\alpha!} \partial^\alpha (g^{j-1})(A) R_{n-|\alpha|}^g(A, \text{ad}_A^\alpha(B)) |A - z|^{-2(\nu-j)} (A_\ell - \bar{z}_\ell) \end{aligned}$$

$$(31) \quad + \sum_{|\alpha|=n} \frac{1}{\alpha!} \partial^\alpha (g^{\nu-1})(A) \text{ad}_A^{\alpha+\delta_\ell}(B) |A - z|^{-2}$$

$$(32) \quad + \sum_{|\alpha|=0}^n \frac{1}{\alpha!} \partial^\alpha (g^{\nu-1} g_\ell)(A) R_{n-|\alpha|}^g(A, \text{ad}_A^\alpha(B))$$

In the following, we will refer to the terms of $R_{\ell,n}(A, B)$ as the remainder terms. Let $0 \leq t_1 \leq n + 1$ and $0 \leq t_2 \leq 1$. By Hadamard's three-line lemma and using (10–12), (30–32), Lemma 6 and the identity

$$\partial^\alpha \left(\prod_{i=1}^j f_i \right) = \sum_{\sum \alpha_i = \alpha} \frac{\alpha!}{\prod_{i=1}^j \alpha_i!} \prod_{i=1}^j \partial^{\alpha_i} f_i,$$

we may inspect that each remainder term (with $R_{\ell,n}(A, B)$ replaced by the remainder term) and hence $R_{\ell,n}(A, B)$ satisfies the inequality

$$(33) \quad \|\langle A \rangle^{t_1} R_{\ell,n}(A, B) \langle A \rangle^{t_2}\| \leq C \langle z \rangle^{t_1+t_2} |\text{Im } z|^{-n-2\nu}.$$

We will now use the functional calculus of almost analytic extensions. See [3] for details. In the following, we write $\bar{\partial} = (\bar{\partial}_1, \dots, \bar{\partial}_\nu)$ where $\bar{\partial}_j = \frac{1}{2}(\partial_{u_j} + i\partial_{v_j})$ and u_j and v_j are real and imaginary parts of $z_j \in \mathbb{C}$, $z = (z_1, \dots, z_n) \in \mathbb{C}^\nu$. The following proposition is inspired by [8, Chap. X.2] and [4].

PROPOSITION 10. *Let $s \in \mathbb{R}$ and $\{f_\lambda\}_{\lambda \in I} \subset C^\infty(\mathbb{R}^\nu)$ satisfy*

$$\forall \alpha \exists C_\alpha : |\partial^\alpha f_\lambda(x)| \leq C_\alpha \langle x \rangle^{s-|\alpha|}.$$

There exists a family of almost analytic extensions $\{\tilde{f}_\lambda\}_{\lambda \in I} \subset C^\infty(\mathbb{C}^\nu)$ satisfying

- (i) $\text{supp}(\tilde{f}_\lambda) \subset \{u + iv \mid u \in \text{supp}(f_\lambda), |v| \leq C\langle u \rangle\}$.
- (ii) $\forall \ell \geq 0 \exists C_\ell : |\bar{\partial}^\ell \tilde{f}_\lambda(z)| \leq C_\ell \langle z \rangle^{s-\ell-1} |\text{Im } z|^\ell$.

PROOF. We define a mapping $C^\infty(\mathbb{R}^\nu) \ni f \mapsto \tilde{f} \in C^\infty(\mathbb{C}^\nu)$ in the following way. Choose a function $\kappa \in C_0^\infty(\mathbb{R})$ which equals 1 in a neighbourhood of 0 and put $\lambda_0 = C_0$,

$\lambda_k = \max\{\max_{|\alpha|=k} C_\alpha, \lambda_{k-1} + 1\}$ for $k \geq 1$. Writing $z = u + iv \in \mathbb{R}^\nu \oplus i\mathbb{R}^\nu$, we now define

$$\tilde{f}(z) = \sum_{\alpha} \frac{\partial^\alpha f(u)}{\alpha!} (iv)^\alpha \prod_{j=1}^{\nu} \kappa \left(\frac{\lambda_{|\alpha|} v_j}{\langle u \rangle} \right).$$

One can now check that the properties hold.

REMARK 11. Note that if we define for a $\chi \in C_0^\infty(\mathbb{R}^\nu; [0, 1])$ with $\chi(0) = 1$ a sequence of functions by $f_{k,\lambda}(x) = \chi(\frac{x}{k}) f_\lambda(x)$, then

$$[B, f_\lambda(A)] = \lim_{k \rightarrow \infty} [B, f_{k,\lambda}(A)]$$

as a form identity on $\mathcal{D}(\langle A \rangle^s)$ and we have the dominated pointwise convergence

$$\bar{\partial} \tilde{f}_{k,\lambda}(x) \rightarrow \bar{\partial} \tilde{f}_\lambda(x) \text{ for } k \rightarrow \infty.$$

Let $\{f_\lambda\}_{\lambda \in I}$ satisfy the assumption of Proposition 10 with $s < 0$. Then the almost analytic extensions provide a functional calculus via the formula

$$(34) \quad f_\lambda(A) = C_\nu \sum_{\ell=1}^{\nu} \int_{C^\nu} \bar{\partial}_\ell \tilde{f}_\lambda(z) (A_\ell - \bar{z}_\ell) |A - z|^{-2\nu} dz,$$

where C_ν is a positive constant (see [3, formula (8.18)] for details). Note that the integrals are absolutely convergent by Proposition 10(ii).

Multiplying $\langle A \rangle^{t_1} R_{\ell,n}(A, B) \langle A \rangle^{t_2}$ with $\bar{\partial}_\ell \tilde{f}_\lambda(z)$, we get from Proposition 10(ii) and (33) that

$$(35) \quad \|\langle A \rangle^{t_1} \bar{\partial}_\ell \tilde{f}_\lambda(z) R_{\ell,n}(A, B) \langle A \rangle^{t_2}\| \leq C \langle z \rangle^{t_1+t_2+s-n-1-2\nu}.$$

Hence, if $t_1 + t_2 + s < n + 1$, $\langle A \rangle^{t_1} \bar{\partial}_\ell \tilde{f}_\lambda(z) R_{\ell,n}(A, B) \langle A \rangle^{t_2}$ is integrable over C^ν . Using (29), (34) and (35), we see that

$$(36) \quad \begin{aligned} [B, f_\lambda(A)] &= C_\nu \sum_{\ell=1}^{\nu} \int_{C^\nu} \bar{\partial}_\ell \tilde{f}_\lambda(z) [B, (A_\ell - \bar{z}_\ell) |A - z|^{-2\nu}] dz \\ &= C_\nu \sum_{\ell=1}^{\nu} \int_{C^\nu} \bar{\partial}_\ell \tilde{f}_\lambda(z) \sum_{|\alpha|=1}^n \frac{1}{\alpha!} \partial^\alpha (|\cdot - z|^{-2\nu} (\cdot_\ell - \bar{z}_\ell)) (A) dz \operatorname{ad}_A^\alpha(B) \\ &\quad + C_\nu \sum_{\ell=1}^{\nu} \int_{C^\nu} \bar{\partial}_\ell \tilde{f}_\lambda(z) R_{\ell,n}(A, B) dz. \end{aligned}$$

We denote (36) by $R_{\lambda,n}(A, B)$. Note that

$$\begin{aligned} &C_\nu \sum_{\ell=1}^{\nu} \int_{C^\nu} \bar{\partial}_\ell \tilde{f}_\lambda(z) \frac{1}{\alpha!} \partial_t^\alpha (|t - z|^{-2\nu} (t_\ell - \bar{z}_\ell)) dz \\ &= \frac{C_\nu}{\alpha!} \partial_t^\alpha \sum_{\ell=1}^{\nu} \int_{C^\nu} \bar{\partial}_\ell \tilde{f}_\lambda(z) |t - z|^{-2\nu} (t_\ell - \bar{z}_\ell) dz = \frac{1}{\alpha!} \partial^\alpha f_\lambda(t), \end{aligned}$$

which implies

$$[B, f_\lambda(A)] = \sum_{|\alpha|=1}^n \frac{1}{\alpha!} \partial^\alpha f_\lambda(A) \operatorname{ad}_A^\alpha(B) + R_{\lambda,n}(A, B).$$

We have now proved Theorem 3 in the case $s < 0$. For the general case, we use Remark 11 to see that $[B, f_\lambda(A)] = \lim_{k \rightarrow \infty} [B, f_{k,\lambda}(A)]$ and clearly, $f_{k,\lambda}$ satisfies the assumption of Proposition 10 with the same s , so the estimate corresponding to (35) is now uniform in k and λ . The pointwise convergence and Lebesgue's theorem on dominated convergence now finishes the argument.

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