



## Pigment & Resin Technology

Role of plastics additives for food packaging

Preeti Singh Sven Saengerlaub Ali Abas Wani Horst-Christian Langowski

### Article information:

To cite this document:

Preeti Singh Sven Saengerlaub Ali Abas Wani Horst-Christian Langowski, (2012), "Role of plastics additives for food packaging", Pigment & Resin Technology, Vol. 41 Iss 6 pp. 368 - 379

Permanent link to this document:

<http://dx.doi.org/10.1108/03699421211274306>

Downloaded on: 22 September 2016, At: 04:09 (PT)

References: this document contains references to 62 other documents.

To copy this document: [permissions@emeraldinsight.com](mailto:permissions@emeraldinsight.com)

The fulltext of this document has been downloaded 919 times since 2012\*

### Users who downloaded this article also downloaded:

(2011), "Active packaging of food products: recent trends", Nutrition & Food Science, Vol. 41 Iss 4 pp. 249-260 <http://dx.doi.org/10.1108/00346651111151384>

(2009), "Packaging design: creating competitive advantage with product packaging", British Food Journal, Vol. 111 Iss 9 pp. 988-1002 <http://dx.doi.org/10.1108/00070700910992880>

(2005), "Critical issues in packaged food business", British Food Journal, Vol. 107 Iss 10 pp. 760-780 <http://dx.doi.org/10.1108/00070700510623531>



Access to this document was granted through an Emerald subscription provided by emerald-srm:194764 []

### For Authors

If you would like to write for this, or any other Emerald publication, then please use our Emerald for Authors service information about how to choose which publication to write for and submission guidelines are available for all. Please visit [www.emeraldinsight.com/authors](http://www.emeraldinsight.com/authors) for more information.

### About Emerald [www.emeraldinsight.com](http://www.emeraldinsight.com)

Emerald is a global publisher linking research and practice to the benefit of society. The company manages a portfolio of more than 290 journals and over 2,350 books and book series volumes, as well as providing an extensive range of online products and additional customer resources and services.

Emerald is both COUNTER 4 and TRANSFER compliant. The organization is a partner of the Committee on Publication Ethics (COPE) and also works with Portico and the LOCKSS initiative for digital archive preservation.

\*Related content and download information correct at time of download.

# Role of plastics additives for food packaging

Preeti Singh, Sven Saengerlaub, Ali Abas Wani and Horst-Christian Langowski  
Technical University of Munich, Freising-Weihenstephan, Germany

## Abstract

**Purpose** – The purpose of this paper is to review the new trends in plastic additives, with special focus on developments in food packaging materials.

**Design/methodology/approach** – Phenomenological research has brought awareness and increased insight into the role of various plastic additives on the packaging of foods. The approach is based on the current trends and the industrial protocols for the additives used in plastic polymer processing for the development of food packaging materials.

**Findings** – Packaging of foodstuffs is a dynamic process which continually responds to the changes in supply and demand which are the result of adaptations to the varying demands of the consumer, changes in retail practices, technological innovations, new materials and developments in legislation, especially, with respect to environmental concerns. A wide range of additives is available for enhancing the performance and appearance of food packaging, as well as improving the processing of the compound. Polymer additives are important areas of innovation for packaging materials.

**Originality/value** – The paper reviews and summarizes the recent developments in the functionality of different additives, along with their advantages and disadvantages, currently being used to enhance the properties of food packaging materials that can positively influence the environment within the packaging for the increased demand for raw or processed foods.

**Keywords** Packaging materials, Additives, Plasticizers, Antimicrobials, Antioxidants, Antifogs

**Paper type** General review

## Introduction

In modern times, packaging has been identified as an integral part of processing in the food industry. Food package is the physical entity that functions as the barrier between the contents and the exterior atmosphere (Smith *et al.*, 1990).

Packaging has three tasks:

- 1 protection (active packaging);
- 2 information (intelligent packaging); and
- 3 transport.

Several packaging materials are currently being used for food products, but plastics are the best choice for food processors due to several reasons. Plastics, synthetic, polymeric products of the petrochemical, coal or gas industry; can be moulded into any shape, are aesthetically pleasing and have low density and friction co-efficient (Soroka, 2002). Apart from synthetic polymers, biopolymers such as starch, proteins, cellulose, chitosan, etc. are also available which are intended to be more sustainable, eco-friendly and biodegradable. However, so far these biomaterials have not been able to replace plastics due to a number of technical and economic advantages being offered by them to the manufacturers. Plastics are made from polymers; chemical compounds composed of long molecules made up of chains of small repeat units (monomers). Along with copolymers and physical blends of various plastics, additives are enjoying a key position in determining the quality of packaging materials. Polymers are rarely used alone and hence additives are incorporated to enhance the appearance, to improve the strength and thereby change the

characteristics of different plastics in accordance with end use. Additives are the growing sector of the speciality chemical industry. Desirable improvements in polymer performance have opened the door for new and innovative ways of production processes. Several additives provide improved processing and manufacturing performances while others allow polymeric materials to have an assortment of enhanced properties (Murphy, 2001).

The permeability of the packaging material is one of the most critical features of the package for affecting the quality of the food product. Materials can be selected to provide an extended shelf life at an affordable price with excellent barrier properties. Therefore, knowing the important factors for material selection, based on permeability, is an essential part of the package design process. The traditional passive packaging is not effective in protecting food products because of the approach towards food distribution and storage. Furthermore, the extended shelf life of processed foods, stringent food additive regulations and the consumers demand for minimal addition of preservatives to food products have led to the innovation of active packaging. Major active packaging technologies that exist and widely established are based on the use of: oxygen scavengers, moisture regulators, ethylene absorbers, ethanol and carbon dioxide emitters as well as antimicrobial systems (Vermeiren *et al.*, 1990).

Migration of compounds from the packaging into the product, causing off-odours, off-flavours, or other compounds, may pose a risk if migration occurs under conditions that can cause regulatory concerns. Another interaction that could affect the quality and/or safety of the products is sorption of product components into the packaging. Some of these interactions can eventually affect the overall integrity of the package and hence the product. Additives have a significant role in moulding the functionality and barrier properties of plastic packaging materials used in food industry. Moreover, the use of additives has increased tenfold due to growing demand of tailor made plastic packaging for product specific properties.

The current issue and full text archive of this journal is available at [www.emeraldinsight.com/0369-9420.htm](http://www.emeraldinsight.com/0369-9420.htm)



Pigment & Resin Technology  
41/6 (2012) 368–379  
© Emerald Group Publishing Limited [ISSN 0369-9420]  
[DOI 10.1108/03699421211274306]

## Additives in packaging materials

The polymer industry has experienced a number of changes throughout the years, starting with the discovery of natural polymers and evolving into the specialised industry that is seen today. Organic polymers such as natural rubber, beeswax and bitumen have been used for centuries, but the materials were unable to be used for general applications because of either being too brittle or soft rendering them unsuitable for use in packaging materials in native form (Williamson, 1994). This led to focus on modification of polymer properties so that these materials would have improved characteristics, allowing their wider use as packaging materials. For example, celluloid was considered to be the first semi-synthetic polymer and in order to make its commercial use, its brittleness was improved with camphor (Williamson, 1994). Today, both natural and synthetic polymers rely on additives to create the new blends of particular characteristic and are mixed with polymer resins to produce high quality packaging materials (Ram, 1997).

Additives are rapidly becoming the miracle workers for the plastics industry, whether they are traditionally used as plasticisers, impact modifiers and antioxidants or in new technologies such as nanocomposites and antimicrobials; their importance is increasing but at the same time a lot of safety concerns have been raised with regard to food applications. Additives are basically categorized by the functions that they perform rather than their chemistry (Table I). The processing of thermoplastics and/or their end-use performance can be greatly enhanced through the use of various additives. Some additives are already present in commercial resins, yet significant benefits can be derived from further modification of those compounds (Foldes and Szigeti-Erdei, 1997). In addition, the use of the additives affords the processor an opportunity to tailor the material for a specific application. Processing aid type additives improve productivity of the machinery through reduction of internal friction (lubricants), change in the polymer morphology (nucleating agents), foams formation (blowing agents), or suppression in thermal decomposition during processing (antioxidants). Other type of additives are used to

enhance aesthetics (blowing agents, optical brighteners), or performance properties of the final parts (flame retardants, antistatic agents, ultra-violet light stabilisers). Lubricants, plasticisers, scavengers are the major additives (depending on their use) while antistats, antioxidants, heat stabilisers, processing aids are minor additives. Modification of the properties of the polymers through the addition of various additives is economically preferable for the introduction of new plastics (Murphy, 2001).

### Oxygen scavengers

For several food and beverage products, oxygen is detrimental to product colour, taste and nutrients, besides allowing growth of moulds and bacteria (Singh *et al.*, 2011a). O<sub>2</sub> scavengers are being used to protect foods from oxidation of sensitive components like fatty acids, carotenoids, meat pigments and vitamins. O<sub>2</sub> scavengers are widely used as sachets inside the package but can be directly integrated into the walls of packaging materials. Passive O<sub>2</sub> barriers can slow the ingress of oxygen, but active O<sub>2</sub> scavengers absorb oxygen that is in the package closure or walls. Oxygen scavenger technologies are optimised for one or the other task: barrier (active barrier) or headspace oxygen absorption but often both properties are desired for most of the applications (Rooney, 1981). The use of O<sub>2</sub> absorbers is a relatively new trend in additives technology for food packaging (Abe, 1994). Elemental iron powder is currently being used as commercial O<sub>2</sub> scavenger and is available in several forms such as powders enclosed in sachets, incorporated into the packaging polymer or a polymer layer extruded as part of the package; to maintain freshness by absorbing headspace O<sub>2</sub> or oxygen that enters the package (Goldhan, 2006). Ciba™ Shelfplus™ O<sub>2</sub> oxygen scavenger (now owned by Albis Plastics) is a polymer-based additive that can be incorporated directly into a separate non-food contact layer of the walls of the package (Ciba Archives, 2009). It can be incorporated either into an existing layer within the package or as a distinct scavenging layer. One of the benefits of this technology is that the active O<sub>2</sub> scavenging is automatically triggered when it comes into contact with moisture present in the package either from filling

**Table I** Various additives and their functions

Additive type	Effects
Antiblocks	E.g. talc, silica, clay, mica, ceramic spheres – prevent a film sticking to itself and make separation of film easier
Antifogs	Prevent the formation of fog (water vapour) on the plastic surface
Antioxidants	Prevent oxidation
Antistatic agents	E.g. carbon, metallised fillers and carbon fibres – reduce build up of static
Biocides	E.g. preservatives and fungicides
Chemical blowing agents	E.g. sodium bicarbonate – produce gases on polymerisation to produce foam
Flame retardants	Halogenated compounds, phosphorus compounds, metallic oxides and inorganic fillers – reduce flammability
Heat stabilisers	Maintain colour quality at high forming temperatures
Impact modifiers	Improve ability to absorb and dissipate impact forces
Light stabilisers	E.g. mica powder – reduce degradation from UV light
Lubricants	Help the molecules to flow during forming
Mould release agents	Prevent material from sticking to moulds
Nucleating agents	Improve hardness, elasticity, optical properties and transparency
Plasticisers	E.g. epoxidised vegetable oil, butadiene – make material soft and pliable
Processing aids	Improve the production rates at manufacture by removing the “sharkskin” or “orange peel” effect produced by molten polymer sticking to the die
Slip agents	Agents amides: reduce the coefficient of friction, thus helping the molecules to flow
Fillers	E.g. talc, chalk, clay – improves stiffness, strength and electrical properties (clay)

or retort processing. As it gets activated through moisture, it is found to be quite effective for high moisture foods (HMF) and beverages. The system contains iron and salt, both being critical for inhibition of migration of components to food (Morvillier, 2006). This technology may be implemented with little or no modification to existing packaging lines but it may not be suitable for direct food contact (Broady, 2004). Cryovac® OS is an oxygen scavenging technology that incorporates the polymer-based O<sub>2</sub> scavenger OSP™ from Chevron Phillips into packaging films. It consists of an oxidisable resin, ethylene methyl acrylate cyclohexene methyl acrylate (EMCM) and a masterbatch containing a photoinitiator and a cobalt salt catalyst (Ching et al., 2000). Because it is moisture activated, it is quite effective and does not degrade into compounds that cause off-taste or odour and the photo initiator allows the inactive polymer to be stored and then activated by UV light during the package filling process. Cryovac® OS Films are being used commercially in a variety of applications in North America. In baked goods and fresh pasta, OS Films extend product shelf life and prevent mould growth. For food products having longer shelf life such as snack foods, nuts and dried meats, OS Films prevents discoloration and flavour changes in the product. The European market currently tends to require shorter shelf life than the North American market, because products tend to be distributed over short distances and sold more quickly. OS Films offer European consumers several refrigerated foods with exceptionally high quality fresh food. For modified atmosphere applications with initial moisture content of 2 per cent, Cryovac® OS Films can reduce O<sub>2</sub> to less than 0.1 per cent, typically in three to ten days. Cryovac® is in the process of obtaining approval for OS Films in Asia, and sees strong growth opportunities in replacing O<sub>2</sub> scavenging sachets, which are used heavily in the region. In any geographic region, incorporating the O<sub>2</sub> scavenger film eliminates the problem of consumers accidentally eating sachets. Also, unlike iron-containing sachets, OSP (O<sub>2</sub> scavenging polymer) films contain no metals that can interfere with metal detection systems used to prevent metal contamination in foods.

Growing use of O<sub>2</sub> scavengers for compounding into PET food and beverage packaging has increased the number of packaging suppliers. Amosorb® (ColorMatrix Europe Ltd) oxygen scavenging technology is used in both monolayer and multilayer PET bottles for applications such as juice, hot tea, beer and food applications (El Amin, 2006). Other commercial O<sub>2</sub> scavenging technologies for PET include MXD6 aromatic nylon, which can be used as passive barrier as well as active O<sub>2</sub> scavenger. However, in monolayer PET containers, colorants and additives may interfere with O<sub>2</sub> scavengers, particularly metal-assisted active barrier systems.

A drive for growth in food packaging is the shift from local butchers and produce suppliers towards central packaging. Centralised packaging is advanced in the USA, but has also begun to take hold in Europe (Markarian, 2006). Though the potential for degradation and adulteration of food products exists, the use of O<sub>2</sub> scavengers minimises these negative effects thereby resulting in shelf life extension. Many options available in the O<sub>2</sub> scavenging technology have widened their application arena depending on the type of food material. Additionally, more natural options exist as in the case of enzymatic oxygen scavengers. The potential safety and financial benefits that O<sub>2</sub> scavengers lend to a product line; make them a viable solution for standard packaging applications. Although it is still considered as an emerging

technology, much remains to be learned about its potential application in other food industries and systems to further utilize its advantages. Currently sachets are the main source of O<sub>2</sub> scavenging technology. However, through emerging polymer and film technology, films are moving to the forefront of O<sub>2</sub> scavenging applications.

### Antimicrobials

Foods are spoiled by a variety of bacteria and fungi, but only particular microbial species typically attack each type of food product and generally on food surfaces (Kim et al., 2008). Active packaging, where the antibacterial agent is immobilised tightly, is the answer to consumers demand for food without preservatives. Packaging equipment and material suppliers are focusing their efforts on developing packaging systems that are more aggressive and proactive in protecting food from microbial contamination (Appendini and Hotchkiss, 2002; Singh et al., 2011b).

The antimicrobial active packaging technology is based on antimicrobial agents that are immobilised with the polymeric structure or incorporated in plastic resins, before film casting. This technology can be divided into two types: preservatives that are released slowly from the packaged materials to the food surface or preservatives that are firmly fixed (with disadvantage of low effect) and do not migrate into the food products. Both are assumed to control the growth of undesirable microorganisms. Among the common microorganisms that contaminate food and beverage products are *E. coli*, *Staphylococcus aureus*, *Campylobacter*, *Salmonella*, *Clostridium perfringens* and *Bacillus cereus*. Development of different kinds of active packaging is under intensive progress (Vermeiren et al., 2002; Van Beest et al., 2002). One of the key problems of the active packaging technologies resides in the controlled release of the antimicrobial agent from the polymer film (Choi et al., 2001; Joerger, 2007).

Antimicrobial additives used in active packaging systems to slow microbiological degradation of food products have been the subject of intense research and publicity, but several concerns have slowed widespread commercialisation in Europe and the USA. However, Japan has been historically a leader in antimicrobial applications. Allylthiocyanate, a naturally occurring compound in horseradish and wasabi, is used as an antimicrobial in commercial packaging in Japan, but its use is limited outside of Asia because of its strong odour. Chlorine dioxide is used commercially in sachets whereas compounding into the product is not commercially established (Day, 1998; Floros et al., 1997). The use of antimicrobials (or biocides) in packaging is a growing trend in the global food packaging industry. In USA, many of the antimicrobials in use protect the packaging or the packaging raw materials, although recent interest has been in antimicrobials to protect the packaged food (Appendini and Hotchkiss, 2002). The FDA under the Federal Food, Drug and Cosmetic Act (FFDCA) regulates antimicrobials that are incorporated into food packaging. Under the FFDCA, the FDA ensures that such antimicrobial applications are safe with respect to any potential human dietary intake. Unrelated to federal requirements under the FFDCA, antimicrobial products used in food packaging that have no intended antimicrobial effect on the processed food in the package are subject to EPA registration as pesticides under the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA). Antimicrobials added to food or delivered to food via the packaging are treated as direct additives and are not subjected to FIFRA.



Nisin is produced commercially under the trade name Nisaplin® by Aplin-Barrett in the UK, and can be compounded into the packaging polymer or applied as a powder or a coating. It is widely used in Europe but not extensively in the USA, although it has FDA approval. Silver compounds are also used in Europe and have FDA approval for some applications. Other research is looking at additives that produce the antimicrobial chlorine dioxide under certain relative humidity or UV light conditions. The advantage of these systems is that the antimicrobial could protect any product within the package, not just what comes in contact with a protective coating (Suppakul *et al.*, 2003). The additives are currently being used in a sachet inside the package, but can be compounded into the packaging polymer (Ellis *et al.*, 2006).

Han (2000) reported silver and zinc zeolites among the most popular compounds as antimicrobial packaging materials. Zeolites are complex chemical structures that trap ions, such as zinc and silver, and slowly release the ions into the surrounding environment. Zinc- and silver-containing zeolites can also be incorporated into the packaging material. These compounds are incorporated into cloth, paper, and laminated pouches. When the packaging film comes into contact with the meat, the zeolites release the zinc and silver ions (nano silver ions are under strong criticism by German Risk Assessment Agency), which disrupt the normal biochemistry of the microbial cells. In addition to the effect of zinc and silver ions on microbial growth, some zeolites convert O<sub>2</sub> in the wrapped product into ozone (a reactive form of O<sub>2</sub>), which further inhibits microbial growth. Several years ago, Japanese food technologists developed a zinc and silver ion zeolite-containing cloth for home use. Consumers used to wrap fresh raw meat or poultry in the cloth to prevent the meat spoilage. Consumers can wash the cloth 2,000 times without losing antimicrobial activity. Another natural compound that is effective against mould and yeast is mustard extract. According to Han (2000), mustard extract is also effective against Gram-negative bacteria, such as *E. coli* and *Salmonella*. Bacteria identification and food quality monitoring using biosensors; intelligent, active, and smart food packaging systems; and nano-encapsulation of bioactive food compounds are few examples of emerging applications of antimicrobials for the food industry. Lysozyme-containing-whey protein films markedly inhibited the growth of spoilage bacteria. Lysozymes are enzymes that can destroy bacterial cells through protein degradation (Neethirajan and Jayas, 2010). In another study at Clemson University, Cooksey (2001) worked with films produced from chitosan, a carbohydrate extracted from shrimp and crab shells. Chitosan has both anti-bacterial and anti-fungal properties. When the surfaces of raw chicken drumsticks were coated with chitosan, they observed a 90 per cent reduction in the total bacterial count on the drumsticks. There are few chemical antimicrobial agents that are used commercially to control microbial growth in foods (Han and Floros, 1997). Many of these chemicals, like sodium propionate, have been used for many years with no indication of human toxicity. Others, like nitrites, ethylene or propylene oxides, are more controversial antimicrobial control because of evidence that these agents are harmful to human health. In recent years, there are several reports of using bacteriocins (a kind of proteins produced by microorganisms that possess antimicrobial activity) as food preservatives (Ming *et al.*, 1997; Kim *et al.*, 2002; Scott and Taylor, 2006).

Combinations of antimicrobials provides better efficacy. The research has to move in the direction of highly active

combinations of antimicrobials and their cost effective approach. When antimicrobial films fail to remove high numbers of unwanted microbes, they can act as an additional, post-processing safety measure. Several antimicrobial packaging films should be able to provide antimicrobial activity even during or after such processing steps as heat or pressure treatments, and should exert antimicrobial activity on the surviving microbes. In addition, antimicrobial films could allow processing to be done at lower temperatures or pressures, thus reducing processing costs without compromising food safety. In the end, the acceptance of particular antimicrobial films by the food industry will probably depend on the regulatory climate and the balance between the cost of the antimicrobial film and the benefit of a second antimicrobial hurdle.

### Antioxidants/stabilisers

Plastics are very different from each other as far as their inherent resistance to oxidation is concerned. Differences in stability against oxidation may arise not only from the broad variety of chemical structures of polymers, but with a given polymer, from differences in the manufacturing process (nature and amount of catalyst residues) and in morphology (crystallinity and orientation). Addition of antioxidants is the most common method of stabilisation. Antioxidants are chemical entities, which react with radicals, stop the chain reactions and thus retard the resulting aging of the polymer (Boersma, 2006). Usually, they are effective at concentrations of a fraction of 1 per cent. It is desirable to add the antioxidants as early as possible in the life cycle of a polymer, e.g. before the drying stage of the polymers.

Antioxidants are used in every kind of plastic, but polyolefins account for about 60 per cent of global demand. In all, antioxidants have a \$1.3 billion market globally, and volumes of about 500 million pounds. Their growth in the market is about 4 per cent, tracking the entire polymers sector. The major types of antioxidants are the primary antioxidants-butylated hydroxytoluenes (BHT) and other hindered phenols and the secondary antioxidants – phosphites and thioesters. Phosphite-phosphonites are generally regarded as the most effective stabilisers during processing, protecting both the polymer and the primary antioxidant. Hydrolytically stable phosphites are the most frequently-used processing stabiliser in high performance additive systems. Primary and secondary antioxidants are used in combination (Boersma, 2003). For applications in contact with food, US FDA and German BGA (Bundesgesundheitsamt) regulations recommend liquid antioxidants based on Vitamin E. These have been developed as patented systems and also open up new areas of application, in polyolefins and polyurethane foam systems (Demertzis and Franz, 1998). Development in recent years has centred on technical improvement of the product, and easier handling and dispersion. The main technical objectives have more durable effect at lower dosage levels, with good retention of colour and transparency. Improvement of toxicological properties, for food contact and medical applications, has also been a continuing aim of processors and developers. For improved handling, pelletized and liquid systems have been introduced and there is a general trend towards greater use of masterbatch. The most expensive stabilisers are organotin stabilisers (Zweifel, 1998). Systems with better colour fidelity and handling properties are among recent developments. Typical is Dover's Doverphos HiPure 4 – a high purity tris-nonylphenyl phosphite (TNPP) processing and heat stabiliser, which is claimed to reduce overall costs. With 0.1 per cent residual nonyl phenol,

it is FDA-approved for food contact applications and is also used in medicals, colour-critical polyolefins and styrenic block copolymers. It is also effective in acrylics, elastomers, nylon, polycarbonate, polyurethanes, polystyrene, PVC, and PET. A solid phosphite antioxidant has been developed and introduced by GE Specialty Chemicals in its Ultrinox range. Designed to meet the demand for a high activity stabiliser with superior hydrocarbon stability and improved handling characteristics, it is based on butyl ethyl propanediol chemistry, rather than the usual pentaerythritol and is approved by FDA for food contact in certain applications and is expected to find applications in polyolefins, styrenics, PVC, engineering thermoplastics, elastomers and adhesives. Recently, GE Specialty Chemicals introduced two new, high performance antioxidants, which perform well under harsh conditions such as gamma irradiation of food packaging. Some companies are using vitamin E antioxidants for improved organoleptic properties for sensitive applications such as plastic milk and beverage bottles. Butylated hydroxyanisole (BHA) and the related compound butylated hydroxytoluene (BHT) are phenolic compounds that are often added to foods to preserve fats against oxidation. Oxygen reacts preferentially with BHA or BHT rather than oxidising fats or oils, thereby protecting them from spoilage. It is used in packaging materials to preserve food odour, colour, and flavour. The phenolic antioxidants, used for long-term heat stability, are also effective processing stabilisers for polypropylene (PP). In the early days of PP, BHT was used extensively to provide or to improve processing stability. Combinations of BHT and high molecular weight antioxidants are still in practice. However, they are increasingly replaced by combinations of high molecular weight phenolic antioxidants and phosphites-phosphonites (Shlyapnikov and Kiryushkin, 1996).

It is understood that the commercial antioxidants are already in use for a long time and going to retain their dominating market share with common plastics. This can be attributed mainly to an excellent price/performance ratio and generally worldwide regulation for use in plastics with food contact. The supply of additive blends, tailor made for specific applications, is expected to rise further in developing countries. More efforts are required in the development of antioxidant systems that can be used in practice for the stabilisation of engineering plastics.

### Colorants

Colorants are an essential component in most forms of packaging, and they are critical to extraction and toxicity (Gächter and Müller, 1993). Fortunately, there are some pigments with satisfactory performance that are accepted for food contact applications. Dyes are transparent and give bright colours in light. Most have relatively poor light-fastness and limited heat stability, but will tend to retain their colour better than pigment systems. This is because, with all colorants, it is the surface layer that is affected by exterior conditions such as light. In parallel, dyes also suffer similar fading on the surface while their transparency gives a real depth of colour unaffected by surface influences (Williamson, 1994). Dyes can also be subject of colour migration, which is the matter of legislation for critical products, such as food contact applications and toys.

#### *Thermochromic and photochromic pigments*

Are micro-encapsulated liquid crystal systems, giving precise colour-changes at specific temperatures, or when exposed to

light. They are particularly interesting for food/pharmaceutical packaging, giving an indicator of storage. Thermochromic pigments change colour with temperature, and there are compounds and master batches for injection, blow moulding or extrusion. The pigments comply with FDA food contact regulations and can be used for novelty products and products requiring warning indicators, such as baby bottles, thermometers and kettles. Possible colour changes are: green to yellow, magenta to blue and coloured to colourless. Typical ranges include Hanna/Victor's Chameleon organic pigment concentrate for polyolefins and styrenics, with activated colour changes in 10°C bands, from -25°C to +58°C, and a range from Sibner Hegner, which changes from coloured to colourless at 5-15°C or 65-75°C.

#### *Intelligent heat protection*

For food products is offered by a pigment system, which has been developed by Sachtleben Chemie GmbH, Germany. It can be incorporated in the plastic films and food packaging materials, to control the temperature in heat-sensitive products. Visible light is kept away and heat may escape unhampered from the package. The product is said to be of interest for projects sponsored by the World Health Organization, aimed at prolonging the preservation of foodstuffs in developing countries, and for disaster relief operations.

#### *High colour strength*

Recent development of pigments with very high colour strength, for use to give opacity in thin wall packaging, have taken place according to the needs of food packaging. For example, BASF's range of azoic yellows (Paliotol) allows bright tints to be produced, which are resistant to heat and light and suitable for food contact. The classes of Paliotol yellows based on isoindoline, and reds based on perylene can be used in polyolefins and in the more technical plastics. A new mixed-phase rutile yellow pigment (introduced by Bayer: Lightfast Yellow 62R) differs from conventional chrome rutile yellows by higher tinting strength, better hiding power and gloss promotion, suitable for lightfast, water-stable and heat-stable pigmentation of plastics and coatings and satisfying purity requirements for food contact applications. A novel blue-shade red azo pigment, Engeltone 1115 (Engelhard) is an alternative to high performance organics, complying with FDA limits for food contact and comparable in heat stability with many high performance bright reds, such as DPPs (up to 300°C in ABS) – which could replace up to 50 per cent savings (Murphy, 1996).

Plastics have completely discarded the stigma of being regarded as "substitute materials". In close cooperation with the mechanical engineering industry, plastics manufacturers and processors have developed modern processing technologies that have opened up almost unlimited design opportunities with plastics. Colour can increase the attractiveness of the final packaged product and consumer appeal. In pigment research, the search for new structures and pigment stabilisation is in progress, while the price/performance factor is coming increasingly to the forefront. The market potential for pigment preparation will continue to rise due to their biological nature.

### Lubricants

Thermoplastic processing of polymeric materials is carried out at temperatures above their crystalline melting point or, in the case of amorphous polymers, above their glass transition temperatures. The macromolecular structure of these

materials is such that the melts have high viscosity and can therefore only be conveyed and shaped under pressure. The resulting shear leads to dissipation of mechanical energy. At points of high flow resistance, in particular, the heat generated can accelerate degradation reactions or adversely affect the dispersion of multiphase systems. The possibilities for optimising the processing properties of plastics through monomer selection, molecular weight control and macromolecular structure are very limited. The primary considerations in polymer development are the required properties and cost. Solving processing problems entails not only selecting the right combination of machine and mould but also using lubricating additives, lubricants, which influence the melt rheology in the desired way. Lubricants are processing aid additives, which are capable of reducing internal and external friction in the molten polymer. The flow of material become less constricted, and melts fracture less likely. This results in the shear heat reduction, better packing and better quality of the parts (Murphy, 2001). Additionally, lubricants also function as mould release agents, thus allowing for faster cycle times in injection moulding.

In addition, the changeover from glycerol to polyols such as pentaerythritol, whose esters have a higher thermal stability due to their structure, marks the start of progress to provide products for more demanding applications. Unsatisfactory compatibility, particularly in the case of the long chain carboxylic acids that have a highly effective lubricating action, often causes clouding. It can be improved by forming derivatives with molecular units closely akin to the plastic being modified. Hence, the lubricant manufacturers are coming up with numerous developmental products, e.g. with aromatic esterification components (Connors and Pandit, 1978). Another avenue of development has led to reactive lubricants which are protected against migration, volatilization, etc. by chemical incorporation into the polymer molecule. This category includes products with reactive double bonds, epoxy, acid, amino and alcohol groups. Nadji *et al.* (2009) developed lubricants from modified Soda-Lignin (SL) (Esparto (*Stipa tenacissima*) grass, also called Alfa is the raw material), which could eventually compete with industrial lubricants such as zinc stearates. LLDPE mixed formulations prepared with zinc stearate and modified lignin was studied by rheological tests using a rotational parallel plate system to investigate the effects of such esterified SL additives and found that SL esterified with 100 per cent stearic anhydride (W/W) has excellent external lubrication. The same result was confirmed by contact angle and thermal analysis.

Developments by lubricant-manufacturing industries are focussed on improving product properties to meet the increased requirements of new applications. The expansion and application of lubricants is gradually moving away from a purely empirical handling of processing problems to more scientific approaches. Further explanation and differentiation of effects and suitable techniques for the physical measurement are essential prerequisites for successful modern high performance technologies for the development of plastics additives.

### Slips and antistats

Slip and antistats are key components of most packaging, especially flexible packaging. Antistatic agents are used in plastics in order to prevent a build up of static electric charge on

the surface of plastic parts. This charge is normally formed during production and handling of those parts. It will tend to attract dust from the ambient air, thus subtracting from aesthetic value of the part. This problem is especially objectionable in consumer-oriented products such as packaging of plastic bottles. Antistats facilitate dissipation of the static charge thus significantly reducing dust accumulation. The use of antistats gives the processor some additional benefits such as better mould release (Murphy, 1996). Antistats are recommended for use in polyolefines polyamides and other resins. The additives are difficult to predict and control because migration occurs over time and depends on part thickness and polymer crystallinity but Ampacet™ introduced non-migratory, surface-functional slip and antistat products that fit a need for controllable, predictable performance in premium films. Other advantages of the slip include their use at high temperatures than conventional slips and have no adverse effect on sealing. The non-migratory antistat does not interact with adhesives, high thermal stability and has no effect on sealing or printing. Their antistatic properties last longer than those of conventional migrating antistats and its surface resistivity is similar at 50 and 12 per cent relative humidity. The non-migratory additives are used at much higher levels than traditional additives and are more expensive. They find use in coextruded structures, such as a film that has slip on the inside but not on the outside. One trend for antistatic additives is the use of long chain materials for polymer additives (Gächter and Müller, 1993). Long chain antistats provide high temperature processing stability, which is in increased demand as extruders are pushed at faster rates. Trend for slip additives is to use high purity slips with reduced short chain (four to nine carbon) impurities. These high purity slips have lower organoleptics, or taste and odour components. Croda™ recently introduced a low organoleptic erucamide slip product called IncroslipC® that has advantages for the bottled water and beer industries. Plastic screw-type bottle closures contain high amounts of slip to enable torque release. Irradiation or sterilization of bottles by UV or ozone can degrade the trace amounts of by-products inherent in erucamide and produce off-tastes and odours. IncroslipC has improved stability and is less susceptible to degradation than standard torque-release products.

The antistats global market is about \$130 million and £60 million, with growth rate of 4-5 per cent per year. Permanent antistatic agents are growing faster than migratory antistatic agents. Their growth is being driven by strong sales of document-handling office equipment and electronic equipment while other antistatic agents (fatty acid esters) are growing robustly for the plastics industry at 6-7 per cent per year because of their role as processing aids for polypropylene and PVC. If the finished product comes into contact with food material, migration aspects of antistatic agent must be taken into consideration. This has led to intense research on the safety aspects of these compounds and their migration in different food products.

### Nucleating agents

In industrial applications, nucleating agents are commonly added to accelerate the crystallisation rate, reduce processing cycle time, and improve physicochemical characteristics such as optical, mechanical, and heat resistance properties (Zhou *et al.*, 2007; Tang *et al.*, 2004). Commonly used nucleating agents are organic and inorganic small molecules, i.e. metallic salts of aliphatic or aromatic carboxylic acids, metallic salts of aromatic



phosphorus compounds, quinacridones, pigments, and minerals such as clay or talc (Mohmeyer *et al.*, 2007).

Polymers with a medium crystal growth rate, such as polyamide 6, polypropylene, and polyethylene terephthalate, can obviously be more strongly super cooled than the rapidly crystallising polyethylene, and for this reason respond very well to heterogeneous and a thermal nucleation with the aid of foreign substances – the nucleating agents. Conventional processing of polymers such as polystyrene and polycarbonate, having a very low crystal growth rate, always results in an amorphous form. The number and size of the spherulites formed are determined by whether the nucleus formation is homogenous or heterogeneous. Since many nuclei are formed in the presence of a nucleating agent, under the same cooling conditions the resulting spherulites will be considerably smaller than in a material to which no nucleating agents have been added. Nucleated polymers therefore have finer grain structures than unnucleated ones, and this is reflected in their physicochemical characteristics; thus, coarse-spherulitic plastics are more brittle and less transparent or translucent than ones with the same crystalline fraction but a fine-spherulitic structure (Zhang and Xin, 2007). So-called spontaneous nucleation, i.e. nucleation without an intentional introduction of a nucleating agent, is generally believed to be due to foreign substances such as catalyst residues, oxidatively degraded polymer. Spontaneous nucleation should be distinguished from autonucleation, in which the crystallisation is triggered by incompletely melted down crystallites of the polymer. Nucleating agents raise crystallisation temperature and speed of semi-crystalline polymers by changing their morphology (Nagarajan *et al.*, 2000; Blomenhofer *et al.*, 2005). This results in an increase of thermal conductivity, which leads to faster cooling of the plastic parts resulting in substantial increase in productivity. Other potential benefits are improvement in optical clarity of translucent resins (such as polypropylene) and in some cases increase of the mechanical strength of the material is also achieved (Dong *et al.*, 2007). The effect of nucleating agent on the crystalline morphology under different structure levels of polypropylene materials was investigated by Xu *et al.* (2003). From their analysis, increase in levels of nucleating agent has an effect on both high and low levels of the crystalline structure. They also observed that nucleating agent showed a heterogeneous nucleation effect. Also, the results showed that at the level of the aggregation structure, crystallinity increases and spherulite size tends to decline with increase in nucleating agent. At the same time, the nucleating agent also has an effect on both the crystal grain structure and the unit cell structure.

In another study by Li *et al.* (2010), the  $\gamma$ -cyclodextrin ( $\gamma$ -CD) inclusion complexes (ICs) with four kinds of polyolefin (PO) as guest molecules showed that the crystallisation behaviour of isotactic polypropylene (iPP) blended with the  $\gamma$ -CD and  $\gamma$ -CD-PO ICs exhibited highest crystallisation temperature (TC), smaller spherulites, and faster crystallisation rate than those of neat iPP. This indicates that the ICs play a role of nucleating agent on the crystallisation of iPP and induce accelerated crystallisation. The IC with PO, having higher TC as guest molecules, showed higher nucleation effect than the IC with PO TC as guest molecules. Their results suggest that the nucleation effect of these ICs was affected by the kinds of the guest molecules. The higher TC guest molecules could result in higher nucleation effect.

Despite the availability of comprehensive literature on the nucleation of polypropylene, its commercial application is

relatively recent. Industrial applications are the most relevant and its use as nucleating agents in the processing of slowly nucleating and crystallising bulk polymer isotactic polypropylene (*i*-PP) has been well documented. It can be anticipated that the advances in the development of multifunctional additives for tailoring physicochemical properties for other polymers is needed for wide applications. In addition, these additives should exhibit excellent thermal stability, and do not feature absorption of visible light, adding to a most favourable set of characteristics that can provide marked benefits over presently employed nucleating/clarifying agents.

### Light stabilisers

Light stabilisers are chemical compounds capable of interfering with the physical and chemical processes of light-induced reactions. Light stabilisers are among the most expensive plastics additives in use and have global market of \$530 million, which represents about 60–65 million pounds. With annual market inflation of 6–7 per cent, they are among the fastest growing plastic additives (Murphy, 1996). This is mostly because they ride the coattails of polypropylene, perhaps the fastest growing commodity polymer. Ultraviolet (UV) stabilisers are somewhat in fashion, however, from a technical point of view and the most important light stabiliser classes used are: 2-hydroxybenzophenones, 2-hydroxyphenyl benotriazoles, hindered amines and organic nickel compounds along with salicylates, cinnamate derivatives, resorcinol monobenzoates, oxanilides, and *p*-hydroxybenzoates. The sterically hindered amines (HALS) represent the latest development in this field. Light stabilisers are generally used in concentrations between 0.05 and 2 per cent, the upper limit of this range being employed exceptionally. Among other factors, the concentration used is a function of the inherent light stability of the resin to be stabilised, for the specific application under consideration, and of the other additives in the formulations, e.g. antioxidants, pigments and fillers. UV light blockers/stabilisers are often used in clear or tinted packaging to protect personal care, food, and beverage products from UV light degradation coming from sunlight or in-store fluorescent lighting (Murphy, 2001; Pritchard, 2005). UV light can degrade not only the colour but also the flavour and nutritional value of beverages packaged in clear PET bottles. Fruit juices, tea, and sports drinks are particularly susceptible. As clear and transparent packaging materials gain more popularity and use, protecting products from UV damage becomes of critical importance. Ciba® Shelfplus® UV 1100 blocks 90 per cent of UV light up to 390 nm. Recently introduced Techspere™ PTM 12125P UV light blocker for PET is specially formulated to minimise haze when processed at less than 288°C (550°F). ColorMatrix is commercializing new UV blocking chemistries for PET bottles and films. The new chemistry is much stronger, blocking UV light over 390 nm, compared to the typical benzotriazole chemistry that blocks effectively up to 370–380 nm. The technology is significantly less expensive and does not impart colour.

For practical stabilisation, it is important to distinguish between stabilisation through the UV absorption mechanism and stabilisation by other mechanisms. Among the light stabilisers classes available commercially today, only a few may be used in a broad range of plastics. Thus, nickel compounds are used almost extensively in polyolefins whereas polyvinyl chloride, e.g. is usually stabilised with UV absorbers. This has put forth a challenge before polymer chemists to broaden the range of light stabilisers for the food and drink industry.



### Blowing agents

Since the production of foams began, more than a thousand compounds have been investigated as chemical blowing agents and most of them described in the patent literature. Chemical blowing agents (CBA) are additives, which are able to evolve gas through chemical reactions and produce foam structures in a polymeric matrix (Ram, 1997). In the majority of cases, the gas is evolved at elevated temperatures by thermal decomposition of organic or inorganic compounds. There are two kinds of blowing agents, i.e. endothermic and exothermic. In a few blowing agent formulations, the blowing gas is released by the endothermic reaction of two components (Singh, 2001). The primary criterion governing the choice of a blowing agent for expanding a particular plastic is its decomposition temperature. The blowing gas must be evolved within a defined temperature range, which includes the processing temperature of the plastic concerned. The blowing agent must not be decomposed too spontaneously, as in the case of an explosive; otherwise heat build-up and combustion could occur due to the heat generated by the exothermic reaction. The gas formed should possibly consist of nitrogen or CO<sub>2</sub> and should not contain explosive constituents. The blowing agent should be easy to incorporate and to disperse evenly in the plastic concerned (Murphy, 1996). CBAs are used in plastics for variety of reasons: weight reduction of the parts, removal of the sink marks and for nucleation in direct gassing. While stable at ambient temperature, CBAs decompose during processing and yield significant amounts of gases and small amounts of solid residue. Different applications call for different classes of CBAs. There is increasing interest in expanded thermoplastics with higher heat deflection temperature (engineered plastics), such as polycarbonate and liquid crystal polymers. Virtually all known thermoplastics can be expanded using the chemical blowing agents currently available. The primary consideration of development work is the modification of known compounds with the aim of achieving the properties of an ideal chemical blowing agent. Azodicarbonamide type CBA provides vigorous foaming action and are frequently the most economical but is now banned for food packaging applications. Endothermic CBAs allow the best control over decomposition rates, and better quality of the parts. In some cases, combination of the two types gives the best results (Pritchard, 2005). There are other classes of CBAs, but they are not used as frequently as those mentioned previously.

Expanded plastics have been around for over 50 years. Expanded plastic articles made from the “commodity” plastics PVC, PE and PS are firmly established in their fields of application and it is hard to imagine the market without them. Virtually all known thermoplastics can be expanded using the currently available chemical blowing agents. The probability of new chemical blowing agents is low; however, high-temperature blowing agents may be the only new products of future.

### Plasticisers

A plasticiser is a substance, which is added to a material (usually a plastic, resin or elastomer) to improve its processability, flexibility and extensibility. A plasticiser can decrease melt viscosity, glass transition temperature and the modulus of elasticity of the product without altering the fundamental chemical character of the plasticised material. Polymeric plasticisers (usually polyesters, based on adipic acid) extend the life of PVC end-products considerably. They slow down migration, extraction and volatility (Wilson, 1995). Although,

molecular weight has a significant influence on performance but other factors also determine polymer characteristics. Demands made on ecological and toxicological performance, including those made by contact with food or body fluids are becoming increasingly stringent. Because of this, low volatile plasticisers such as diisononyl, diisodecyl, nonyl undecyl and diundecyl phthalates as well as certain trimellitates, which are also highly extraction resistant, will become more important in area of food packaging. Apart from these plasticisers, amides and hydroxylamine are also used in the processing of soy protein plastics (Liu *et al.*, 2007; Tian *et al.*, 2009). Those plastics, which come into contact with food, lubricating oils and fuels, the use of polyester plasticisers will become almost mandatory. In medical and food technology, plasticisers, which have undergone detailed toxicological investigations and of highest purity will maintain their place.

#### *Esters of fatty acids and monocarboxylic acids*

Can be used as viscosity depressants for PVC pastes and also as secondary plasticisers for plasticised PVC compounds. They are in liquid form and advice should be sought on food contact approval. Stearic acid esters are used as plasticisers and processing agents for various plastics as well as lubricants for polystyrene. They are semi-solid and have general food contact approval.

#### *Sebacates and adipates*

Provide good low temperature plasticisers for PVC, in liquid form, with fairly general food contact approval. Di-butyl sebacate is a highly efficient primary plasticiser for low temperature applications used in films and containers for packaging.

#### *Epoxidised grades (soya bean oil, linseed oil)*

Used as stabilising plasticisers with properties of migration resistance, in PVC compounds, alkyl resins and chlorinated paraffins, and as pigment dispersing agents in plasticised PVC. Alkyl epoxy stearate plasticisers are used as low viscosity stabilisers, especially in PVC pastes, with some grades providing low temperature properties. They are in liquid form. Soya bean versions have widespread approval for food contact.

Widespread use of plasticisers in food contact and medical applications are among the most extensively studied substances around in relation to health and safety. The plasticisers used for food packaging applications have the approval of the Food and Drug Administration (FDA) and Environmental Protection Agency (EPA) in the USA as well as European food safety authorities. Low volatility and non toxicity will be high priorities, which can be focussed in further research and development. The requirement to reduce odour during processing with organotin mercaptides will be a field of further activity on ecological ground.

### Antifogs

The formation of “fog” on plastic film or sheet is the result of the condensation of water vapour on the surface of transparent sheet or film. This physical condition may be the result of a number of things:

- The temperature of the film surface on the inside falls below the dew-point temperature of the air and water vapour that is within the headspace of the article.
- Air near the film’s surface cools to a temperature at which it cannot retain all the water vapour; as a result, excess water condenses on the film’s surface.

This effect is based on the relationship between the temperature of enclosed air, the relative humidity of the air, and dew-point

temperature (De Ell *et al.*, 2003). The difference between the surface tension of the condensed water and the critical wetting tension of the film's surface is crucial in packaging applications. When this packaged item is stored in a cool environment, the moisture condenses on the surface causing fog to appear on film surface. Depending upon the application, this fog is typically not considered aesthetically pleasing and may increase food spoilage (Hobson and Burton, 1989). There are two routes the packaging industry uses to minimise the formation of fog on sheet, film, or thermoformed articles: internal additives or external topical coatings (Gorny and Brandenburg, 2003). The functionality of antifog technologies is accomplished by coating the interior surface of the flexible packaging material with compounds that reduce water surface tension or reduce the ability of the water to adhere to the packaging material and thus cause the condensed water to run off the interior surface of the package.

#### *Internal additives*

Internal antifog additives are generally non-ionic surfactants. These additives are added at the film production stage mainly in the form of concentrates or master batches. These additives usually have a certain level of incompatibility with the blended polymer matrix, resulting in their surface migration. The internal agents have a level of durability over time with regard to maintaining the antifog performance since there is a source of the agent in the polymer, which has a chance to replenish the surface through migration effects over time. These additives usually are surface activators, which reduce the surface tension of the water droplets that may form on the film's surface due to condensation. As a result, the surface tension between the water and the substrate surface is reduced. This reduces the contact angle of the water molecules, and the water is able to spread out more creating a more uniform layer. This effect helps to improve the transparency of the water droplets on the film's surface and minimises any lens effects. There are many commercially available internal antifog agents for polyolefin systems, but they are limited for polyester systems. This is because polyolefin is more hydrophobic and typically exhibit surface tensions around 30 dynes/cm versus polyester that is around 40–44 dynes/cm. The hydrophobic nature of polyolefin allows for a broad selection of agents, many of which are incompatible and readily migrate to the surface. Polyesters, however, are more polar and typically have a better level of compatibility with the antifog additives.

#### *External topical coatings*

Coatings can be applied to the surface of the plastic sheet or film. These agents are like internal additives, which are designed to help wet out the substrate's surface, which decreases the contact angle of the water droplets and improves transparency. These agents are usually supplied as viscous liquids, which are then diluted with water. The liquid is coated to the surface of the sheet or film, either by a dip coating and roller process or by spray application. The sheet is typically dried to evaporate the water and help cure the coating to the surface. External coatings are more commonly used for PET in comparison to internal agents. This is due to the compatibility issue of PET, which has too good internal agents (the compatibility being, which prevents migration to the surface) and also because of the high processing temperatures for PET, which may degrade, the additives during melt extrusion.

In fresh-cut produce packaging, antifogs prevent the film from fogging so that the consumer can see the product clearly. Temperatures recycling of high equilibrium relative humidity foods have led most film manufacturers to offer heat seal plastics

with an antifog additive. The additives are chosen for their amphiphilic nature, with the nonpolar chain in the plastic and the polar end group at the interface. The use of antifogs in fresh food packaging is on the increase and will continue in the future as new applications as well as new polymer entrants into the fresh food packaging industry continue to evolve (Barmore, 1987). Antifogs act as a surfactant, so that moisture given off by produce forms a transparent, continuous film on the package surface rather than forming beads of water. Although the preferred polymers used to absorb the water are polyacrylate salts, graft copolymers of starch can also be used. The inter chain bonds between the polysaccharide chains are disrupted, allowing the starch to absorb the water by hydrogen bonding. Such polymers tend to become slimy when swollen with large amounts of water. The swelling of the polymer on hydration results in substantial distortion of the duplex sheet, an effect that is controlled somewhat by the quilted seal pattern. A new trend towards microwaving of fresh-cut produce packages, such as spinach products, has led to challenges of meeting performance requirements and regulatory requirements, which are stricter at elevated temperatures. For example, the Cryovac<sup>®</sup> microwaveable vegetable bag has an antifog coating, which also aids in improving the shelf life of the vegetables. The extended shelf life is due in part to the permeability of the package, but also to the “synergistic effect” of the antifog coating that reduces moisture, which can encourage the growth of spoilage bacteria. Commercial products available for compounding include Ciba's Atmer<sup>™</sup> 7000/8000 series of antifog pellet concentrates and Techmer PM's Techspere<sup>™</sup> antifog additive. The marketing departments of the most important companies in the field of foodstuffs are more and more devoting themselves to the research and development of new packaging solutions to give a better presentation of foodstuff to customers. Frilvam<sup>®</sup> has recently prepared a new line of food-grade antifog master batches for the production of film directed to the packaging of foodstuffs to preserve the see-through effect despite the presence of humidity inside the package (as ready-to-use salad, so that the customer is enabled to see the product inside the package). The wide range of these master batches as well as their formulations to split up the applications by: packaging in cold environment (film for packaging of ready-to-use salad for sales in supermarkets); and packaging in hot environment (packaging of delicatessen products such as spit roasted chicken).

Desiccants are also sometimes referred as antifogs, which can be coextruded in-between layers of resin for moisture control. This process is accomplished by using a co-extrusion head on the moulding machine to create a multilayer wall in the package. For example, TricorBraun (St Louis, Missouri) has developed a DryKeep<sup>™</sup> blend made with magnesium sulphate and HDPE/LDPE to create a blow moulded resin. Benefits of having a desiccant in the layers of the packaging are that it can absorb moisture from inside or outside the bottle (Markarian, 2006).

The use of antifogs in fresh food packaging is on the rise and will continue in the future as new applications along with new polymer entrants into the fresh food packaging industry continue to evolve. Antifogs can be impregnated into the film as an additive or applied as a liquid coating.

#### **Masterbatch additives**

A Masterbatch is defined as “a highly concentrated colour and/or additive system contained in a resin matrix for

addition, at low levels, to uncoloured resin during extrusion". Masterbatches consist of colorants, additives and a plastic carrier material. Universal masterbatches utilise carrier resins like waxes, polyethylene or ethylene vinyl acetate copolymer (EVA), which can be mixed with several thermoplastic materials. Additives are used in order to achieve technical effects in plastic materials. Additives may be implemented in separate masterbatches or in multifunctional masterbatches that contain both colorants and additives (Murphy, 1996). The additive could help food companies to keep odours within a package or prevent outside ones from affecting their products. The additive can be used in low-density polyethylene (LDPE), linear LDPE and ethylene vinyl acetate films used for food packaging. The active ingredient in Ampacet 101787™ is highly porous and preferentially adsorbs smaller, odour-causing molecules, especially polar and ammonium based compounds. Masterbatch additive for development of moisture regulating films for packaging of fresh foods has been successfully formulated at our laboratory (unpublished results). These packages keep the food fresh for longer time with all sensorial characteristics intact and enhance the appearance of the package, which satisfies the consumer's demands for fresh foods. Employing innovative masterbatch formulation techniques and focus on processing aids, lubricant packages will be the main theme in masterbatch additives research. Contributing to the success of the masterbatch is the fact that grades can be tailored to suit individual manufacturing processes. Looking ahead, the development of a new range of additive package formulations that include antimicrobial, UV, antistatic, process aids, and specific problem-solver characteristics will continue.

### Legislations/regulatory aspects

The Federal Food, Drug and Cosmetic Act of 1938 (FFDCA) authorize FDA to regulate food additives. FDA approval of a food additive is not limited to a single sponsor, but is generic in that anyone can manufacture or use an additive consistent with any existing patent protection. In regulating food additives, FDA classifies the additives into four categories:

- 1 Prior-sanctioned substances, which were approved either by FDA or the US Department of Agriculture (USDA) before the passage of the 1958 Food Additives Amendments.
- 2 GRAS additives (generally-recognized-as-safe) which are exempted from regulation because their extensive use has not produced any harmful effects.
- 3 Direct additives which are intentionally added to foods such as lemon flavouring in cookies.
- 4 Indirect additives which are often trace substances that leach from packaging materials and migrate to food during processing or storage.

In Australia, State Food Act controls the possibilities of such migration by general provisions governing the sale of food: they contain provisions that no person shall sell any article which is adulterated or falsely described, which contains any matter foreign to the nature of the food or which is packed or enclosed for sale in any manner contrary to any provision of the Act or the Regulations. The Australian Food Standards Code, which complements the Food Acts, sets maximum migration levels for three specific monomers, the "building blocks" of plastics. These are vinyl chloride, acrylonitrile and vinylidene chloride.

They are singled out for special attention because of their known potential toxicity. Modern manufacturing methods have reduced monomer residues in food contact plastics to the point where they are no longer measurable. Numerous other packaging materials are used in contact with food including paper, fibreboard, glass, tinplate, aluminium and various types of plastics. Australian Standards (apart from food regulations) are used to define compositional requirements of a number of plastics for food contact applications. However, these standards cover only six of the common plastics used in food packages and refer to the additives which may be used in their manufacture rather than setting limits on migration. The selection and control of these additives has been based on what is permitted in some overseas legislation. Both the US and the European Community either have, or are preparing, complex regulations to control migration from food packaging materials. In some instances, these regulations set maximum limits for potentially harmful migrating substances. In other cases where migration presents a minimal hazard, permitted additives may be listed without specific limits being set. Some plastics contain a large number of additives including antioxidants, stabilisers, antistatics and plasticisers. These are included to improve the functional properties of the plastics.

### Conclusions

Many of the additives discussed are used in combinations with each other to optimise performance and create specialised polymer blends. Many technological advances that have occurred over the years have revolutionized both the polymer and packaging industries. The ability to modify polymer properties enables new materials to be utilized to improve performance, efficiency, and the protection of packages. As consumers demand improved product quality and longer shelf life, there is a continuing need for improved barrier properties in packaging for food and beverages, cosmetics, and pharmaceuticals. Additives that help to create a protective package environment, polymers with good barrier properties, and processes for creating modified atmosphere packaging (MAP) and sensors to measure the package environment can be combined to achieve the wide array of packaging materials. Within the next decade, active and intelligent packaging options involving use of additives in packaging materials/packages will become key elements in how food processors and manufacturers protect the longevity and nutrient value of their products. While many active packaging technologies such as desiccants, odour scavengers and ethylene absorbers are commonly used in sachets, which can be inserted into a package; there should be a drive to find ways to incorporate active packaging technologies directly into the package walls.

### References

- Abe, Y. (1994), "Active packaging with oxygen absorbers", *Minimal Processing of Foods VTT Symposium, Espoo*, pp. 209-33.
- Appendini, P. and Hotchkiss, J.H. (2002), "Review of antimicrobial food packaging", *Innovative Food Sci. Emerging Technol.*, Vol. 3 No. 2, pp. 113-26.
- Barmore, C.R. (1987), "Packaging technology for fresh and minimally processed fruits and vegetables", *J. Food Quality*, Vol. 10 No. 3, pp. 207-10.



- Blomenhofer, M., Ganzleben, S., Hanft, D., Schmidt, H.W., Kristiansen, M., Smith, P., Stoll, K., Mäder, D. and Hoffmann, K. (2005), "Designer nucleating agents for polypropylene", *Macromolecules*, Vol. 38 No. 9, pp. 3688-95.
- Boersma, A. (2003), "Mobility and solubility of antioxidants and oxygen in glossy polymers I: concentration and temperature dependence of antioxidant sorption", *J. Applied Polymer Sci.*, Vol. 89 No. 8, pp. 2163-78.
- Boersma, A. (2006), "Predicting the efficiency of antioxidants in polymers", *Polymer Degradation Stability*, Vol. 91 No. 2, pp. 472-8.
- Broadly, A.L. (2001), *Ciba Shelfplus O<sub>2</sub>-2500*, Ciba Speciality Chemicals.
- Ching, T.Y., Goodrich, J., Cai, K. and Yang, H. (2000), "Tasteless oxygen scavenging polymers – a new platform technology for food packaging based on controlled oxidation", *Oxygen Absorbers 2001 and Beyond Conference Proceedings*, June, pp. 36-42.
- Choi, J.O., Park, J.M., Park, H.J. and Lee, D.S. (2001), "Migration of preservative from antimicrobial polymer coating into water", *Food Sci. Biotechnol.*, Vol. 10 No. 3, pp. 327-30.
- Ciba Archives (2009), "Masterbatch ShelfPlus Geschäft von Ciba", *Kunststoff Information*, Vol. 2 No. 3, pp. 3-4, available at: www.kiweb.de
- Connors, K.A. and Pandit, N.K. (1978), "N-methylimidazole as a catalyst for analytical acetylations of hydroxyl compounds", *Analytical Chem.*, Vol. 50 No. 11, pp. 1542-5.
- Cooksey, K. (2001), "Antimicrobial food packaging materials", *Additives for Polymers*, Vol. 8 No. 3, pp. 6-10.
- Day, B.P.F. (1998), "Active packaging of foods", *CCFRA New Technologies Bulletin*, Vol. 17 No. 2, pp. 23-31.
- De Ell, J.R., Toivonene, P.M.A., Doussineau, J., Roger, C. and Vigneault, C. (2003), "Effect of different methods for application of an antifog shrink film to maintain cauliflower quality during storage", *J. Food Quality*, Vol. 26 No. 3, pp. 211-8.
- Demertzis, P.G. and Franz, R. (1998), "A systematic study on the stability of selected polymer antioxidants in EU official aqueous and alternative food stimulants using HPLC", *Food Additives Contaminants*, Vol. 15 No. 1, pp. 93-9.
- Dong, T., Kai, W., Pan, P., Cao, A. and Inoue, Y. (2007), "Effects of host-guest stoichiometry of  $\alpha$ -cyclodextrin-aliphatic polyester inclusion complexes and molecular weight of guest polymer on the crystallisation behaviour of aliphatic polyesters", *Macromolecules*, Vol. 40 No. 20, pp. 7244-51.
- El Amin, A. (2006), "Amcor uses oxygen scavenging PET for wine", *Food Quality News*, Vol. 2 No. 1, pp. 4-5.
- Ellis, M., Cooksey, K., Dawson, P., Vergano, P. and Han, I. (2006), "Quality of fresh chicken breasts using a combination of modified atmosphere packaging and chlorine dioxide sachets", *J. Food Prot.*, Vol. 69 No. 8, pp. 1991-6.
- Floros, J.D., Dock, L.L. and Han, J.H. (1997), "Active packaging technologies and applications", *Food Cosmetics Drug Packaging*, Vol. 20 No. 2, pp. 10-17.
- Foldes, E. and Szigeti-Erdei, A. (1997), "Migration of additives in polymers", *J. Vinyl Additive Technol.*, Vol. 3 No. 2, pp. 220-4.
- Gächter, R. and Müller, H. (1993), *Plastics Additives Handbook*, Hanser, Munich.
- Goldhan, G. (2006), "Enhancement and indication of food quality by combinations of oxygen scavenger and indicator systems", *Conference Proceedings: SLIM 2006 – Shelf-life International Meeting, Catania, Italy, June*.
- Gorny, J.R. and Brandenburg, J. (2003), "Packaging design for fresh cut produce", *Proceedings of the International Fresh-cut Produce Association, Alexandria, VA*, pp. 28-33.
- Han, J.H. (2000), "Antimicrobial food packaging", *Food Technol.*, Vol. 54 No. 3, pp. 56-65.
- Han, J.H. and Floros, J.D. (1997), "Casting antimicrobial packaging films and measuring their physical properties and antimicrobial activity", *J. Plastic Film Sheeting*, Vol. 13 No. 2, pp. 287-98.
- Hobson, G. and Burton, K.S. (1989), "The application of plastic film technology to the preservation of fresh horticultural produce", *Professional Hort.*, Vol. 3 No. 2, pp. 20-3.
- Joerger, R.D. (2007), "Antimicrobial films for food applications: a quantitative analysis of their effectiveness", *Packaging Technol. Sci.*, Vol. 20 No. 3, pp. 231-73.
- Kim, Y.M., An, D.S., Park, H.Y., Park, J.M. and Lee, D.S. (2002), "Properties of nisin-incorporated polymer coatings as antimicrobial packaging materials", *Packaging Technol. Sci.*, Vol. 15 No. 2, pp. 247-54.
- Kim, Y.T., Kim, K., Han, J.H. and Kimmel, R.H. (2008), "Antimicrobial packaging for foods", in Kerry, J. and Butler, P. (Eds), *Smart Packaging Technologies*, Wiley, London, pp. 111-23.
- Li, C., Isshiki, N., Saito, H., Kohnno, K. and Toyota, A. (2010), "Nucleation effect of inclusion complexes with different polyolefin as guest molecules on the crystallisation of polypropylene", *J. Applied Polymer Sci.*, Vol. 115 No. 2, pp. 1098-104.
- Liu, D., Tian, H. and Zhang, L. (2007), "Influence of different amides as plasticiser on the properties of soy protein plastics", *J. Applied Polymer Sci.*, Vol. 196 No. 3, pp. 130-7.
- Markarian, J. (2006), "Consumer demands push growth in additives for active packaging", *Plastics Additives Compounding*, Vol. 9 No. 3, pp. 30-3.
- Ming, X., Weber, G.H., Ayres, J.W. and Dandine, W.E. (1997), "Bacteriocins applied to food packaging materials to inhibit *Listeria monocytogenes* on meats", *J. Food Sci.*, Vol. 62 No. 2, pp. 413-5.
- Mohmeyer, N., Behrendt, N., Zhang, X.Q. and Schmidt, H.W. (2007), "Additives to improve the electret properties of isotactic polypropylene", *Polymer*, Vol. 48 No. 6, pp. 1612-9.
- Morvillier, M.R. (2006), "Oxygen scavengers in barrier plastic cups ensure the freshness of long shelf life Pâtes", *Product Feature*, Ciba Speciality Chemicals, Basel.
- Murphy, J. (1996), *The Additives for Plastics Handbook*, Elsevier Press, Oxford.
- Murphy, J. (2001), *Additives for Plastics*, Elsevier Science, New York, NY.
- Nadji, H., Rodrigue, D., Benaboura, A., Bédard, Y., Stevanovic, T. and Riedl, B. (2009), "Value-added derivatives of soda lignin alfa grass (*Stipa tenacissima*). II: uses as lubricants in plastic processing", *J. Applied Polymer Sci.*, Vol. 114 No. 2, pp. 3003-7.
- Nagarajan, K., Levon, K. and Myerson, A.S. (2000), "Nucleating agents in polypropylene", *J. Thermal Anal. Calorimetry*, Vol. 59, pp. 497-503.
- Neethirajan, S. and Jayas, D.S. (2010), "Nanotechnology for the food and bioprocessing industries", *Food Bioprocess Technol.*, Vol. 4, pp. 39-47.

- Pritchard, G. (2005), *Plastics Additives*, Rapra Technology Ltd., Shropshire.
- Ram, A. (1997), "Fundamentals of Polymer Engineering", Plenum Press, New York, NY.
- Rooney, M.L. (1981), "Oxygen scavenging from air in packaging headspace by singlet oxygen reaction in polymer media", *J. Food Sci.*, Vol. 47 No. 2, pp. 291-4.
- Scott, V.N. and Taylor, S.L. (2006), "Effect of nisin on the outgrowth of *Clostridium botulinum* spores", *J. Food Sci.*, Vol. 46 No. 1, pp. 117-26.
- Shlyapnikov, Y.A. and Kiryushkin, S.G. (1996), *Antioxidant Stabilisation of Polymers*, Taylor and Francis, London.
- Singh, P., Wani, A.A. and Saengerlaub, S. (2011a), "Active packaging of food products: recent trends", *Nutr. Food Sci.*, Vol. 41 No. 4, pp. 249-60.
- Singh, P., Wani, A.A., Saengerlaub, S. and Langowski, H.C. (2011b), "Understanding critical factors for the quality and shelf life of MAP fresh meat: a review", *Crit. Rev. Food Sci. Nutr.*, Vol. 51 No. 2, pp. 146-77.
- Singh, S.N. (2001), "Blowing agents for polyurethane foams", *Rapra Review Reports*, Vol. 12 No. 10, pp. 78-91.
- Smith, J.P., Ramaswamy, H.S. and Simpson, B.K. (1990), "Developments in food packaging technology: part 2: storage aspects", *Trends Food Sci. Technol.*, Vol. 11 No. 2, pp. 111-8.
- Soroka, W. (2002), *Fundamentals of Packaging Technology*, Institute of Packaging Professionals, Naperville, IL.
- Suppakul, P., Mittz, J., Sonneveld, K. and Bigger, S.W. (2003), "Active packaging technologies with an emphasis on antimicrobial packaging and its applications", *J. Food Sci.*, Vol. 68 No. 3, pp. 408-20.
- Tang, J., Wang, Y., Liu, H. and Belfiore, L.A. (2004), "Effects of organic nucleating agents and zinc oxide nanoparticles on isotactic polypropylene crystallisation", *Polymer*, Vol. 45 No. 7, pp. 2081-91.
- Tian, H., Liu, D. and Zhang, L. (2009), "Structure and properties of soy protein films plasticised with hydroxylamine", *J. Applied Polymer Sci.*, Vol. 111 No. 3, pp. 1549-56.
- Van Beest, M., De Kruijf, N., Rijk, R., Sipilainen, M., Paseiro-Losada, P. and De Meulenaer, B. (2002), "Active and intelligent packaging: applications and regulatory aspects", *Food Additives Contaminants*, Vol. 19 No. 1, pp. 144-62.
- Vermeiren, L., Devlieghere, F. and Debevere, J. (2002), "Effectiveness of some recent antimicrobial packaging concepts", *Food Additives Contaminants*, Vol. 19 No. 1, pp. 163-71.
- Vermeiren, L., Devlieghere, F., Van Beest, M., De Kruijf, N. and Debevere, J. (1990), "Development in the active packaging of foods", *Trends Food Sci. Technol.*, Vol. 10 No. 4, pp. 77-86.
- Williamson, C.J. (1994), *The Development of Plastics*, The Royal Society of Chemistry, Cambridge.
- Wilson, A. (1995), *Plasticisers Principles and Practice*, The Institute of Materials, London.
- Xu, T., Lei, H. and Xie, C.S. (2003), "The effect of nucleating agents on the crystalline morphology of polypropylene (PP)", *Materials and Design*, Vol. 24 No. 5, pp. 227-30.
- Zhang, Y.F. and Xin, Z. (2007), "Isothermal crystallisation behaviours of isotactic polypropylene nucleated with  $\alpha/\beta$  compounding nucleating agents", *J. Polymer Sci. Part B: Polymer Phys.*, Vol. 45 No. 5, pp. 590-6.
- Zhou, Z., Wang, S.F., Lu, L., Zhang, Y. and Zhang, Y.X. (2007), "Isothermal crystallisation kinetics of polypropylene with silane functionalized multi walled carbon nanotubes", *J. Polymer Sci. Part B: Polymer Physics*, Vol. 45 No. 13, pp. 1616-24.
- Zweifel, H. (1998), *Stabilisation of Polymeric Materials*, Springer, Berlin.

### About the authors

**Preeti Singh** obtained her Bachelor and Master degrees in Food Technology and a PhD in Dairy Technology in 2000, 2002 and 2006, respectively. She is currently working as a Post Doctoral Fellow at Chair of Food Packaging Technology, Technical University of Munich, Germany. Her research interests cover food packaging. Preeti Singh is the corresponding author and can be contacted at: preeti\_ndri@rediffmail.com

**Sven Saengerlaub** has obtained his Master Degree in Engineering and currently is pursuing his PhD degree at Chair of Food Packaging Technology, Technical University of Munich, Germany. His research interests cover development of packaging materials.

**Ali Abas Wani** obtained his Master and PhD degrees in Food Technology in 2002 and 2008, respectively. He is currently working as Guest Scientist at Chair of Food Packaging Technology, Technical University of Munich, Germany. His research interests cover food chemistry.

**Horst-Christian Langowski** obtained his PhD degree in Laser Desorption and Ablation from the University of Hannover, Germany. He is currently working as Director and University Professor at Chair of Food Packaging Technology, Technical University of Munich, Germany. His research interests cover food packaging and engineering.

**This article has been cited by:**

1. Xiaoxi Li, Ying He, Chen Huang, Jie Zhu, Amy Hui-Mei Lin, Ling Chen, Lin Li. 2016. Inhibition of plasticizer migration from packaging to foods during microwave heating by controlling the esterified starch film structure. *Food Control* **66**, 130-136. [[CrossRef](#)]
2. Markus Lehner, Daniel Schlemmer, Sven Sangerlaub. 2015. Recycling of blends made of polypropylene and an iron-based oxygen scavenger – Influence of multiple extrusions on the polymer stability and the oxygen absorption capacity. *Polymer Degradation and Stability* **122**, 122-132. [[CrossRef](#)]
3. Plastics and Health Impacts 227-254. [[CrossRef](#)]
4. Markus Schmid, Kerstin Muller, Sven Sangerlaub, Andreas Stabler, Vanessa Starck, Felix Ecker, Klaus Noller. 2014. Mechanical and barrier properties of thermoplastic whey protein isolate/ethylene vinyl acetate blends. *Journal of Applied Polymer Science* **131**:23, n/a-n/a. [[CrossRef](#)]
5. Chen Huang, Jie Zhu, Ling Chen, Lin Li, Xiaoxi Li. 2014. Structural changes and plasticizer migration of starch-based food packaging material contacting with milk during microwave heating. *Food Control* **36**:1, 55-62. [[CrossRef](#)]