

To appear in the IEEE Transactions on Mobile Computing.
Author's version September 2020. The published version
might be different.

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Optimizing BLE-Like Neighbor Discovery

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Abstract—Neighbor discovery (ND) protocols are used for establishing a first contact between multiple wireless devices. The energy consumption and discovery latency of this procedure are determined by the parametrization of the protocol. In most existing protocols, reception and transmission are temporally coupled. Such schemes are referred to as *slotted*, for which the problem of finding optimized parametrizations has been studied thoroughly in the literature. However, slotted approaches are not efficient in applications in which new devices join the network gradually and only the joining devices and a master node need to run the ND protocol simultaneously. For example, this is typically the case in IoT scenarios or Bluetooth Low Energy (BLE) piconets. Here, protocols in which packets are transmitted with periodic intervals (PI) can achieve significantly lower worst-case latencies than slotted ones. For this class of protocols, optimal parameter values remain unknown. To address this, we propose an optimization framework for PI-based BLE-like protocols, which translates any specified duty-cycle (and therefore energy budget) into a set of optimized parameter values. We show that the parametrizations resulting from one variant of our proposed scheme are optimal when one receiver discovers one transmitter, and no other parametrization or ND protocol – neither slotted nor slotless – can guarantee lower discovery latencies for a given duty-cycle in this scenario. Since the resulting protocol utilizes the channel more aggressively than other ND protocols, beacons will collide more frequently. Hence, due to collisions, the rate of successful discoveries gracefully decreases for larger numbers of devices discovering each other simultaneously. We also propose a scheme for configuring the BLE protocol (and not just BLE-like protocols). Though it is not clear whether the resulting parameter values are optimal for BLE, reasonably low worst-case latencies can be guaranteed.

I. INTRODUCTION

In mobile ad-hoc networks (MANETs), all participating devices are battery-powered and hence, energy-saving communication is a crucial requirement. For establishing a first contact between multiple devices, *neighbor discovery (ND)* protocols are used, which attempt to achieve low worst-case latencies with low duty-cycles and hence, energy-consumption.

This paper concerns periodic interval (PI)-based protocols, in which transmissions and reception windows are scheduled periodically. The Bluetooth Low Energy (BLE) protocol builds upon such a procedure for ND, but applies multiple extensions to it. We therefore refer to protocols that rely solely on periodic intervals for scheduling reception windows and transmissions as *BLE-like*. For such protocols, we propose a computationally inexpensive optimization framework. Given a target duty-cycle, our framework will help in computing the protocol parameters that, when only a pair of devices discover each other, outperform the latencies of a large number of well-known ND protocols, e.g., Disco [1], Searchlight [2], U-Connect [3] and G-Nihao [4]. Further, PI-based protocols configured using this framework outperform difference codes [5], which have been proven to provide the lowest-possible latencies among

all slotted protocols. In fact, we prove that when one receiver discovers one transmitter, the parametrizations resulting from our framework are optimal for all specified duty-cycles. In particular, no other parametrization will lead to lower worst-case latencies. Further, we show that no other ND protocol can beat the latencies achieved in such a scenario.

We focus on networks with small numbers of devices carrying out the discovery simultaneously, which require different solutions for ND than larger networks. We next describe two different classes of ND protocols (*viz.*, *slotted* and *periodic interval-based*), and give further details afterwards.

Slotted Protocols: In this class of ND protocols, time is subdivided into multiple slots with equal lengths d_{sl} . In each slot, a device can either be in a sleep-mode or in the active mode. It transmits a beacon with a length of d_a time-units at the beginning and/or at the end of an active slot, while listening for incoming packets between them. The discovery procedure is complete once two active slots of two devices overlap in time. The primary objective of such slotted ND protocols is to identify a pattern of active slots for which discovery is always guaranteed within a certain number of slots. In contrast, periodic interval (PI)-based protocols temporally decouple reception and transmission, as described next.

PI-Based Protocols: Many recent protocols, such as Bluetooth Low Energy (BLE) [6] or ANT/ANT+ [7], apply a PI-based discovery scheme. Here, one device, which is called the *advertiser*, periodically sends packets with a period of T_a time-units. The transmission duration of a packet d_a is determined by the number of bytes sent. The other device, referred to as the *scanner*, periodically switches on its receiver for a duration called the *scan window* d_s , with a repetition period called the *scan interval* T_s . For two way discovery, every device both transmits packets and listens for incoming ones. Hence, while slotted protocols are characterized by temporally coupling reception and transmission, PI-based ones on the other hand schedule them independently from each other.

ND in Small vs. Large Networks: Many wireless networks, in particular in the personal area domain, consist of only a few devices being in discovery mode simultaneously. For example, in BLE piconets, two devices usually communicate in a synchronized fashion after the ND procedure has completed. Here, ND is only used for setting up the connection. Even in setups in which additional devices might join during runtime, only the connection master needs to continuously run a ND protocol. Hence, the number of devices performing ND simultaneously is limited. Though the total number of wireless devices is likely to increase in the future, networks with few devices being in discovery mode simultaneously will remain important. For example, IoT setups, in which new devices join the network gradually, are expected to grow in importance. Whereas slotted ND protocols, e.g., [1], [3], [2], [5], can be

efficient solutions in large networks with tens or hundreds of participating devices, they perform inefficiently in scenarios with only a few (e.g., up to ten) devices being in discovery mode simultaneously. This is because slotted schemes imply that transmission and reception take place jointly within each slot, which prevents a systematic optimization of the ratio between time spent for transmission and reception. In contrast, PI-based protocols can send the *optimal* rate of beacons for achieving a low worst-case latency and energy consumption. Our solution utilizes this freedom and schedules beacon transmissions more frequently than in other protocols, leading to low latencies. On the other hand, this leads to a higher channel utilization, which can be a multiple of that of popular slotted protocols. As a result, beacons from different devices overlap with each other more frequently, which can lead to a larger number of failed discoveries when the number of devices is increased. As we show in this paper, for the relevant case of very few devices discovering each other simultaneously, the fraction of failed discoveries remains reasonably low. The collision probability increases with more devices being in discovery mode simultaneously, but the degradation of the rate of successful discoveries is graceful.

Optimizations in PI-Based Protocols: This paper proposes an optimization framework for minimizing the worst-case latency guaranteed by a PI-based protocol for a given duty-cycle. Our proposed techniques are applicable to several BLE-like PI-based protocols, as discussed earlier. The specific connection to BLE is addressed in Section IV-E. While a significant amount of research has been carried out to analyze and improve slotted protocols, the optimization of PI-based ones has not been sufficiently studied. This is mainly due to the popular belief that they cannot guarantee deterministic latencies. Except for trivial cases like $T_a \leq d_s - d_a$ (i.e., the distance between two packets is below the scan window length) or $T_s = d_s$ (i.e., the scanner is always active), the discovery latencies of PI-based protocols have not been well understood, until it was recently shown [8] that deterministic discovery latencies can also be obtained using them. However, the only known generic model for PI-based protocols [8] is given in the form of a recursive computation scheme, and therefore cannot be “inverted” to identify beneficial parameter values. In other words, while [8] shows how worst case latencies can be computed from protocol parameters, it is not possible to use these results to determine parameters for achieving optimal discovery latencies for a given duty cycle (and hence energy budget). Performing an exhaustive search to find optimal parameter values is not practical either, since there are 3 degrees of freedom (viz., T_a , T_s and d_s), leading to a computationally infeasible procedure. This paper addresses this problem and proposes a framework to translate any given target duty-cycle into a set of optimized parameter values. In particular, T_a , T_s and d_s are derived from a target duty-cycle using closed-form equations, which makes exhaustive searches or other computationally expensive procedures unnecessary.

Moreover, while there are a large number publications that compare the performance of various slotted protocols to each other, what is the performance of PI-based protocols, such as BLE? Due to the lack of optimal parametrizations, their

performance still remains unclear. This holds true particularly for networks with few devices carrying out ND in parallel, in which protocols such as BLE are frequently used. We in this paper for the first time compare the performance of the BLE discovery procedure to existing ones. Besides optimal parameter values, a methodology for comparing the performance of optimized slotless and slotted protocols is required. The worst-case latencies of slotted solutions are proportional to the slot length, for which no lower limit is known. This has prevented reasonable comparisons in the past. In this paper, we derive the practical limits of the slot length and hence make such comparisons possible.

Proposed Parametrization Schemes: In this paper we propose multiple related parametrization schemes. First, the *SingleInt* scheme is proposed. It guarantees discovery within $1 \times T_s$ time-units. As we show in Section III-F, such parametrizations provide optimal latencies, if one receiver discovers one sender unidirectionally. Based on this, we propose *SingleInt-BLE*, a variant for configuring BLE. The constraints of BLE, such as 3-channel operation, lead to considerably larger latencies of SingleInt-BLE compared to SingleInt. Though the latencies guaranteed by SingleInt-BLE are reasonably low, it is not clear whether there are alternative parametrizations for BLE that lead to even lower latencies for a given duty-cycle.

The BLE protocol uses an asymmetric discovery procedure, which allows us to build upon the SingleInt scheme for its parametrization. However, in symmetric, bi-directional discovery scenarios, a large fraction of discoveries would fail when using the SingleInt scheme. We therefore propose the *MultiInt* scheme, which achieves discovery within multiples of T_s , thereby lowering the fraction of failed discoveries. To account for non-idealities of the radio hardware, we furthermore propose the *MultiInt-BC* scheme, which is designed to provide a low failure probability on real-world hardware, while achieving latencies that are only slightly larger than the optimal ones guaranteed by the SingleInt scheme. In particular, for the least beneficial duty-cycle we have considered, a near-optimal latency is guaranteed in 99.8% of all discovery attempts, while the remaining ones fail. We will study in Section IV-E that parametrizations based on the MultiInt scheme cannot be used to configure BLE radios, since the resulting parameter values would either not lead to bounded latencies, or would not comply with the BLE standard.

Contributions and Organization: The major contributions of this paper are the following.

- 1) We propose the first closed-form optimization framework for PI-based protocols in Section III. It is given by a set of equations for translating any specified target duty-cycle η into parameter values for T_a , T_s and d_s .

- 2) We show in Section III-F that one receiver discovering one transmitter achieves optimal discovery latencies for every joint duty-cycle, if our proposed SingleInt scheme is used.

- 3) As in any model, we made some idealistic assumptions for our framework. A real-world hardware has a number of non-idealities, like turnaround times and clock quantization errors. Therefore, we have taken steps to minimize the differences between the platform and the model. Building upon these steps, in Section IV, we present a real-world

implementation of a PI-based protocol parameterized using the MultiInt-BC scheme on a wireless radio.

4) We show that the MultiInt-BC scheme achieves lower latencies than multiple existing ND protocols in Section V.

5) We show how the values obtained from our optimization framework can be tuned for configuring BLE in Section IV-E. To the best of our knowledge, we thereby propose the first closed-form parametrization framework to configure the BLE protocol towards low latencies and energy consumption.

6) A methodology for comparing the performance of slotted protocols to that of PI-based approaches is not available in the literature. The latencies of slotted protocols are proportional to the slot length, for which a lower limit has to be identified for reasonable comparisons. In any ND protocol, even in deterministic ones, every discovery attempt can fail with a certain probability, and two devices never discover each other in such cases. For slotted protocols, we identify the slot lengths that lead to the same failure rates as those of our proposed solution and compare the performance of optimized PI-based protocols with existing approaches in Section V. In a scenario in which two devices discover each other, the MultiInt-BC scheme outperforms all considered slotted ND protocols. E.g., when allowing a rate of failed discoveries of 0.19% for two devices, difference codes [5], which achieve the theoretically optimal latencies for slotted protocols, provide by $385\times$ larger worst-case latencies than our solution on the average of all considered duty-cycles. Further, using experimental measurements on 560,000 discovery procedures, we demonstrate that the anticipated performance is reached in practice.

In the next section, we present related work. Finally, we discuss the implications of our theory in Section VI.

II. RELATED WORK

In this section, we give an overview on related work on ND.

Slotted Discovery: In slotted ND protocols, time is subdivided into equal-length slots. There are sleep slots and active slots. In each active slot, both transmission and reception take place jointly. Every slotted protocol defines a unique discovery schedule, which determines the set of active slots in each period. Known deterministic schedules are based on e.g., coprimal intervals [1], systematic probing [2] or cyclic difference sets [5], [9]. Some recently proposed protocols, e.g., U-Connect [3] and Nihao [4], define dedicated receive and transmit slots, while still using the assumption of slotted time for simplifying the analysis. E.g., in Nihao [4], one beacon is sent at the beginning of each transmit slot and the device goes back to a sleep mode afterwards for the rest of the slot length.

While the pattern of active and passive slots has been studied extensively in the literature, the slot size, which also affects the discovery latency, has not been sufficiently studied. In [10], shrinking the slot length in slots similar to what is used in Disco [1] has been considered. It has been concluded that shorter slots lead to a higher rate of failed discoveries. In this paper, we study shrinking the slot length in different slot designs, such that they all achieve the same failure rate.

PI-Based Discovery: As already mentioned, in this class of protocols, reception and transmission are scheduled using

periodic intervals. Until recently, the behavior of PI-based protocols has not been well understood. With BLE applying a PI-based scheme, its discovery latencies received attention by the community and a comprehensive latency model [8] has been proposed recently. However, how optimal parametrizations can be computed has not been addressed so far. An existing approach for parameter optimization [11] concerns achieving low mean latencies by exploiting mutual assistance. It considers modified PI-based schemes, where a device that receives a packet schedules an additional one, based on a received hint on the next reception window of its opposite device. Another important recent approach for parameter optimization is BLEND [12], which considers optimizing similar parametrizations as the *SingleInt* scheme proposed in this paper. The main differences are as follows. BLEND relies on exhaustive searches for two out of three parameters, i.e., an algorithm iterates through all possible configurations and identifies the best one. Hence, due to the computational complexity, the parameter values need to be computed offline. Our proposed technique further improves the BLEND approach by giving optimal parametrizations using closed-form equations. Another difference is that the BLEND optimization algorithm accounts for additional optimization goals, such as different collision probabilities among multiple nodes, whereas our optimization always minimizes the discovery latency for a pair of devices, without controlling the corresponding collision probability. Further, BLEND optimizes parameters in conjunction with the random delay applied in BLE, whereas we mainly study discovery without any randomization. Since BLEND applies an exhaustive parameter search, the resulting intervals are potentially also near-optimal. However, the discretized search space (e.g., a discretization of 1 ms was assumed in [12]) might lead to small deviations from the theoretically optimal values. In summary, no comparable, closed-form framework for optimizing the parameters of PI-based protocols towards low discovery latencies exists.

Other Approaches: As an alternative to duty-cycling the main radio, wake-up receivers can be used [13]. Further, special ND solutions can be applied when the clocks of the participating devices are already synchronized, e.g., using GPS [14]. While most protocols assume that the designer manually chooses the duty-cycle, [15] concerns finding the optimal duty-cycle for a given environment. Further, [16] concerns minimizing the probability of failed discoveries.

Fundamental Limits of Neighbor Discovery: A fundamental performance limit of slotted ND protocols has been known since 2003. It has been shown in [17] that no slotted ND protocol can guarantee discovery within T slots by having less than \sqrt{T} active slots per T . However, this bound is only valid for slotted protocols, in which reception and transmission are temporally coupled. For increasingly popular slotless protocols such as BLE, this bound does not apply. Further, it only gives a relation between the number of active slots and the discovery latency in terms of slot lengths. The discovery latency in terms of time is proportional to the slot length, and no lower limit of this length has been known. Recently, in [18], a lower bound on the discovery latency that any neighbor discovery protocol could guarantee for a certain duty-cycle has been derived.

However, the results from [18] do not translate into the design of a protocol that actually utilizes this bound. In contrast, the goal of this paper is optimally parametrizing BLE-like protocols.

III. OPTIMIZATION FRAMEWORK

In this section, we describe our proposed framework for parametrizing PI-based protocols. As already mentioned, in PI-based protocols, beacons are transmitted with an interval T_a , whereas reception windows of length d_s are scheduled periodically every T_s time-units. Each beacon transmission takes d_a time-units. Our objective is to derive a set of equations that translate any specified target duty-cycle η into parameter values for T_a , T_s and d_s , which lead to minimal latencies.

In this section, we consider a pair of one sender and one receiver with a sum of duty-cycles η . We extend our results towards symmetric (i.e., both devices receive and transmit), bi-directional ND in Section IV and BLE in Section IV-E.

A. Performance Metrics

We now define the major properties and performance metrics of PI-based ND protocols.

The *duty-cycle* η is the main energy metric of a ND protocol. It corresponds to the fraction of time a device is active. For PI-based protocols, it is defined as

$$\eta = \frac{d_s}{T_s} + \alpha \cdot \frac{d_a}{T_a} = \frac{T_a d_s + \alpha T_s d_a}{T_a T_s}. \quad (1)$$

Here, α is a balancing factor to account for different energy consumption for transmission and reception. For the simplicity of exposition, we assume $\alpha = 1$ for the rest of this paper, but all of our results will easily extend to other values, too.

Let two devices come into their range of reception at a certain point in time t_0 . At t_0 , the first packet of the sender has a temporal offset of Φ_0 time-units from its preceding scan window of the receiver (cf. Figure 1a). Since there is no previous synchronization between any two devices, Φ_0 has a random value between 0 and T_s time-units. The discovery procedure is completed, once a beacon of the sender entirely coincides with a reception window of the receiver. Hence, the effective length of a scan window is $d_s - d_a$ time-units, since a beacon transmission starting within the last d_a time-units of a scan window will only partially overlap with it and hence cannot be received successfully.

The *worst-case latency* d_m is given by the time measured from t_0 (i.e., from the point in time at which both devices come into range) until a beacon entirely overlaps with a scan window for *every* initial offset Φ_0 . After both devices have been brought into range, some time might pass until the next beacon is sent. The lowest latency measured from the first beacon that is sent in range until the discovery procedure is successful is called the *packet-to-packet discovery latency* d_m^* . The latency d_m is relevant when two devices that are already running are brought into range. If the devices are already in range but the sender is switched on at some point in time and immediately starts transmitting while the receiver is already running, d_m^* is relevant. This is for example the case when a gadget needs to be connected to a smartphone. Here, the

smartphone will, in most cases, already be scanning, while the gadget is suddenly switched on. Since beacons are sent with periodic intervals and since two devices might come into range by up to T_a time-units before the first beacon in range is sent, it is $d_m = d_m^* + T_a$. Clearly, it is always $d_m^* < d_m$. In this paper, we concern optimizing d_m .

B. Overview

A large set of values for T_a , T_s and d_s that realize the same duty-cycle exist, of which multiple values potentially optimize d_m . In our proposed optimization, we first pre-constrain the set of possible values by establishing multiple relations between these parameters. These relations mainly exploit the following insight. For any given offset Φ_0 , there should be exactly one beacon within d_m that falls into exactly one scan window of the receiver. If there were two successful beacons sent within d_m , one of them would be redundant, i.e., omitting this beacon would not increase the worst-case latency d_m , but reduce the duty-cycle. More details on the generic properties that any ND protocol needs to fulfill for performing optimally can be found in [18].

We next systematically optimize the parameter values that lie within the set of constrained values. Though the set of parameters that are excluded by these constraints might also contain optimal parameter values, we formally prove in Section III-F that for every η , the parameter values computed by our framework always lead to the theoretically minimal worst-case latencies d_m .

We consider three different cases. Each of them pre-constrains the design space to a different set of values. As will become clear in Section IV, all of them perform similarly for the one-way case (i.e., one sender and one receiver). However, one of them is beneficial for being applied to symmetric ND scenarios, whereas another one is well-suited for parametrizing BLE. The schedules of beacon transmissions and reception windows for these cases are shown in Figure 1. The ruled boxes depict the scan windows, whereas the hatched vertical bars show the beacon transmissions. First, we consider the situation with $T_a \leq d_s - d_a$, as depicted in Figure 1a).

C. Optimization Scheme a): *SingleInt*

Figure 1a) shows the beacon flow for a situation with $T_a \leq d_s - d_a$. We call this parametrization scheme *SingleInt*, since it guarantees discovery within one instance of the interval T_s . Here, the temporal distance between any two beacons is no more than $d_s - d_a$ time-units, and hence, there is no scan window into which no beacon falls. Recall that discovery is successful once a beacon is sent entirely within a reception window of the receiver. The worst-case occurs e.g., for values of Φ_0 that are infinitesimally larger than $d_s - d_a$, as depicted in Figure 1a). The packet-to-packet worst-case latency d_m^* , which is indicated by the hatched bar under the time-axis in Figure 1a), is given by:

$$d_m^* = \underbrace{\left\lceil \frac{T_s - (d_s - d_a)}{T_a} \right\rceil}_{\mathcal{A}} \cdot T_a + \underbrace{d_a}_{\mathcal{B}} \quad (2)$$

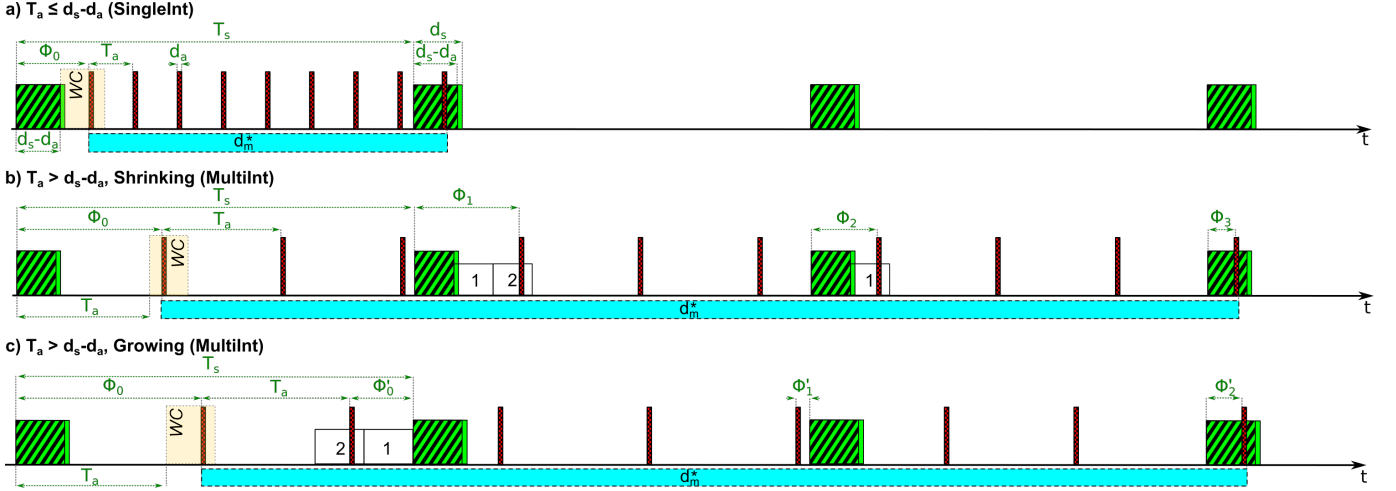


Fig. 1: Model for a) $T_a \leq d_s - d_a$ and b), c) $T_a > d_s - d_a$. Vertical bars depict beacons, hatched rectangles scan windows. The rectangles tagged with “WC” depict the ranges of offsets Φ_0 for which the worst-case occurs.

Equation 2 can be explained as follows. The distance between the end of one scan window and the beginning of the subsequent one is $T_s - d_s$ time-units. Recall that a beacon transmission beginning within the last d_a time-units of a scan window is not received successfully, and hence the effective length of a scan window is $d_s - d_a$. Therefore, this distance is effectively increased to $T_s - (d_s - d_a)$ time-units. The number of advertising intervals that at least partially “fit” into this distance is given by the Term \mathcal{A} . In the worst case, after this number of advertising intervals, a beacon overlaps with a scan window and hence, discovery is guaranteed. Term \mathcal{B} accounts for the transmission duration of the successful beacon.

1) *Optimizing T_s* : Our goal is to identify those values of T_a , T_s and d_s , that lead to the lowest worst-case discovery latency d_m for every given duty-cycle. Equation 2 implies that $d_m = d_m^* + T_a$ is equal for all values of T_s , for which the ceiling function in Term \mathcal{A} does not change its value. Hence, in each such constant segment of Term \mathcal{A} , we should chose the largest possible value of T_s . In other words, the function $d_m(T_s)$ grows in multiple steps caused by the ceiling-function in Term \mathcal{A} wrapping around to its next higher value, and optimal values of T_s are those that directly precede such steps. Smaller values of T_s would increase the duty-cycle spent for reception (i.e., d_s/T_s). Recall from Equation 1 that if a certain duty-cycle η is specified, a larger value of T_s will allow for a smaller value of T_a , while still realizing the given η . A smaller value of T_a will, as long as Term \mathcal{A} in Equation 2 does not wrap around (which we have excluded by our choice of T_s), minimize d_m . For obtaining those values of T_s that directly precede a step of $d_m(T_s)$, we have to satisfy the following.

$$\frac{T_s - (d_s - d_a)}{T_a} = M, \quad M = 1, 2, 3, \dots \quad (3)$$

From Equation 3 follows that

$$T_s = M \cdot T_a + d_s - d_a. \quad (4)$$

2) *Optimizing T_a and d_s* : Inserting Equation 4 into Equation 2 and setting $d_m = d_m^* + T_a$ leads to the following latency.

$$d_m = (M + 1) \cdot T_a + d_a \quad (5)$$

For a given value of T_s and η , according to Equation 1, T_a is a function of d_s . Therefore, for analyzing which value of d_s minimizes d_m , we cannot directly form the derivative of Equation 5 by d_s . We first solve Equation 1 by T_a and insert the result into Equation 5. This gives us a relation between d_m and the specified duty-cycle η , which still depends on the unknown values d_s and M .

One can show that the derivative $\frac{dd_m}{dd_s}$ is positive for all values of $\eta < 1$. Since it represents the slope of d_m , we can conclude that the smallest possible value of d_s minimizes d_m . Because we require $T_a \leq d_s - d_a$ for Case a) (smaller values of d_s would lead to a different scenario, since the discovery would not always complete within one instance of T_s , cf. Figure 1a)), the smallest possible value we can assign to d_s is $T_a + d_a$. Hence, we can establish the following relation between d_s and T_a .

$$T_a = d_s - d_a \quad (6)$$

Using Equation 6, Equation 4 can be expanded to the following term.

$$T_s = (M + 1) \cdot (d_s - d_a) \quad (7)$$

Further, inserting T_a from Equation 6 into Equation 1 and solving this by d_s leads to the following equation.

$$d_s = \frac{(M + 1) \cdot (1 + \eta) \cdot d_a}{\eta \cdot (M + 1) - 1} \quad (8)$$

We now established a set of closed-form equations for T_a , T_s and d_s . The only undetermined value in these equations is M , which we optimize next.

3) *Optimizing M* : From Equation 6 and Equation 5 follows that $d_m = (M + 1) \cdot (d_s - d_a) + d_a$. By inserting $d_s(\eta)$ from Equation 8, we obtain the following latency.

$$d_m = (M + 1) \cdot \left(\frac{d_a(M + 1)(\eta + 1)}{\eta(M + 1) - 1} - d_a \right) + d_a \quad (9)$$

By differentiating d_m from Equation 9 (which we attempt to minimize) and requiring $\frac{dd_m}{dM} = 0$, one can identify a local latency minimum at:

$$M_{opt} = \frac{\sqrt{1+\eta} + 1}{\eta} - 1 \quad (10)$$

Using this, T_a , T_s and d_s are given by closed-form equations that only depend on the target duty-cycle η that needs to be realized. As we show in Section III-F, the resulting values are optimal, and no other values lead to lower worst-case latencies.

4) *Constraints*: Note that the transmission duration of a beacon, d_a , cannot be optimized, since it is given by the hardware or by the application, which might require a certain number of bytes to be sent in each packet. Furthermore, while radios are capable of realizing different lengths of the scan window d_s , real-world hardware imposes a certain lower limit $d_{s,m}$. When expanding the inequality $d_s(\eta) \geq d_{s,m}$ using Equation 8, we obtain

$$d_s(\eta) = \frac{(M+1) \cdot (\eta+1) \cdot d_a}{\eta \cdot (M+1) - 1} \geq d_{s,m}. \quad (11)$$

From the requirement that $d_s(\eta) > 0$ follows that the denominator in Equation 11 must be positive, and hence it is

$$M > \frac{1}{\eta} - 1 = M_{min}. \quad (12)$$

Using this, we can reformulate Equation 11 as follows.

$$(M+1) \cdot (\eta+1) \cdot d_a \geq d_{s,m} \cdot (\eta \cdot (M+1) - 1) \quad (13)$$

When solving this by M (while accounting for potentially different signs of a double-sided multiplication), we obtain that if $\eta > \frac{d_a}{d_{s,m} - d_a}$, $M \leq M_{max}$, where

$$M_{max} = \frac{d_{s,m}(\eta-1) - d_a(\eta+1)}{d_a(\eta+1) - \eta d_{s,m}}. \quad (14)$$

If $\eta \leq \frac{d_a}{d_{s,m} - d_a}$, no constraints on M apply. Considering these constraints, we always set M to round(M_{opt}), or to the value being closest to round(M_{opt}) that lies within $[\lceil M_{min} \rceil, \lfloor M_{max} \rfloor]$, respectively. Since $\lceil M_{min} \rceil \leq \lfloor M_{max} \rfloor$, a conservative bound on the maximum duty-cycle that can be realized using this scheme is obtained from solving $M_{min} + 1 \leq M_{max} - 1$, which leads to

$$\eta_{max} = \frac{3d_a + \sqrt{d_a(d_a + 8d_{s,m})}}{4(d_{s,m} - d_a)}. \quad (15)$$

A step-by-step derivation of these constraints is given in Appendix A. In addition to these constraints, T_a always needs to exceed d_a and T_s needs to exceed d_s . This is intrinsically fulfilled by the equations presented above. The resulting values meet all requirements for using them on state-of-the-art hardware, as we show in Section IV.

As will become clear in Section IV, the SingleInt scheme is beneficial for one-way discovery, where one sender discovers one receiver. In contrast, the schemes described next will be more beneficial for parametrizing PI-based protocols in symmetric, two-way scenarios.

D. Schemes b) and c): MultiInt

We now consider values with $T_a > d_s - d_a$ and study two possible parametrization Schemes b) and c). These schemes will guarantee discovery within a certain multiple of T_s . For Scheme b), let k be the largest multiple of T_a , such that $k \cdot T_a <$

T_s and $T_s - k_f \cdot T_a < 1/2 \cdot T_a$. This is given by $k_f = \lfloor T_s/T_a \rfloor$. For example, in Figure 1b), $k_f = 3$.

Let us now consider the offset Φ_1 between the second scan window and the beacon that directly succeeds it. Since the time $k_f \cdot T_a$ is slightly (i.e., by less than $1/2 \cdot T_a$ time-units) shorter than T_s , the offset Φ_2 between the third scan window and the beacon that directly succeeds it is given by (cf. Figure 1b))

$$\Phi_2 = \Phi_1 + k_f \cdot T_a - T_s = \Phi_1 - \gamma, \quad (16)$$

with the shrinkage $\gamma = T_s - k_f \cdot T_a$. We can generalize this towards the other offsets Φ_i , $i = 1, 2, \dots$ as follows. Starting from any value of $\Phi_1 > 0$, after every instance i of the scan interval T_s , the offset Φ_i between a scan window and the next beacon that is sent after its beginning is reduced by γ time-units, and it is

$$\Phi_i - \Phi_{i+1} = \gamma, \quad i = 1, 2, \dots \quad (17)$$

Clearly, if $\gamma < d_s - d_a$ (i.e., the shrinkage per T_s does not exceed the effective scan window length), after a finite number of interval instances, the remaining offset Φ_i will fall below $d_s - d_a$, and hence a beacon will coincide with a reception window. For example, in Figure 1b), Φ_3 is the first offset that is smaller than $d_s - d_a$, and hence, a beacon is received by the last depicted scan window. Since the temporal distance between subsequent scan windows and their neighboring beacons shrinks successively, Scheme b) is referred to as *shrinking*.

For computing the worst-case latency, consider the beacon that directly succeeds the second scan window in Figure 1b) (i.e., the beacon corresponding to Φ_1). We first describe the computation of the latency starting from this beacon, and then describe the additional latency induced for reaching this beacon from the first one in range.

Starting from the beacon succeeding the second scan window, a later beacon will overlap with a scan window after the offset has been reduced by a certain number of steps of length γ . For example, in the figure, two ranges of offsets are marked with the numbers 1 and 2, indicating that starting from a beacon sent within these ranges of offsets, 1 or 2 steps of γ are needed until a scan window is reached. The maximum value of Φ_1 is T_a time-units (since for larger offsets, an earlier beacon would be sent after the beginning of the second scan window and hence define Φ_1). Therefore, the maximum distance between the end of the second scan window and its succeeding beacon is $T_a - (d_s - d_a)$ time-units. Measured from the first beacon that is sent after the second scan window, the worst-case latency $d_{m,p}^*$ would therefore be as follows.

$$d_{m,p}^* = \left\lceil \frac{T_a - (d_s - d_a)}{\gamma} \right\rceil \cdot \left\lceil \frac{T_s}{T_a} \right\rceil T_a + d_a \quad (18)$$

The first ceiling term accounts for the number of γ -steps until a beacon falls into the reception window, whereas the term $\lceil T_s/T_a \rceil T_a$ accounts for the number of advertising intervals per step of γ . Finally, d_a is the transmission duration of the successful beacon.

We yet have to account for the latency that is induced before sending the earliest beacon that succeeds the second scan window (i.e., the beacon that corresponds to Φ_1). Depending on the value of Φ_0 , multiple advertising intervals need to be

added to the latency from Equation 18 to account for this. We have to distinguish between the following two ranges of Φ_0 .

1) $\Phi_0 \leq T_a$: When $\Phi_0 \leq T_a$, then $\Phi_1 \leq T_a - \gamma$ (cf. Equation 16). Therefore, though $\Phi_0 \leq T_a$ leads to the maximum number of T_a intervals until reaching the first beacon sent after the second scan window, the number of γ -steps needed until a beacon actually coincides with a scan window is reduced by 1 compared to the worst-case. Hence, for computing the overall worst-case latency, we do not need to consider this range of offsets.

2) $\Phi_0 > T_a$: For the maximum number of γ -steps, Φ_1 needs to lie within $]T_a - \gamma, T_a]$ (where “]” means that the left interval border is not included). Because of Equation 17, the corresponding range of Φ_0 that leads to this range of Φ_1 and maximizes the amount of time from the first beacon in range until reaching the first beacon after the second scan window is $]T_a, T_a + \gamma]$, as highlighted by the frame tagged with “WC” in Figure 1b). This amount of time is therefore $T_s + \Phi_1 - \Phi_0 = T_s - \gamma$. By inserting $\gamma = T_s - k_f \cdot T_a$, it becomes $k_f \cdot T_a$. Therefore, to account for the time that passes from the first beacon in range to the first beacon sent after the second scan window, we have to add $\lceil T_s/T_a \rceil \cdot T_a$ time-units to the latency given by Equation 18.

Considering this, the worst-case discovery latency for Case b) is as follows.

$$d_m = \underbrace{\left\lceil \frac{T_s}{T_a} \right\rceil T_a}_{\mathcal{A}} + \underbrace{\left\lceil \frac{T_a - (d_s - d_a)}{\gamma} \right\rceil \cdot \left\lceil \frac{T_s}{T_a} \right\rceil T_a}_{\mathcal{B}} + \underbrace{T_a}_{\mathcal{C}} + d_a \quad (19)$$

Here, Term \mathcal{A} accounts for the latency induced by reaching the first beacon sent after the second scan window, whereas Term \mathcal{B} accounts for the latency until reaching a scan window from this beacon. Recall that $d_m = d_m^* + T_a$, which Term \mathcal{C} accounts for.

In Case c), let k_c be the smallest multiple of T_a , such that $k_c \cdot T_a > T_s$ and $k_c \cdot T_a - T_s < 1/2 \cdot T_a$, i.e., $k_c = \lceil T_s/T_a \rceil$. For example, in Figure 1c), $k_c = 3$. Because Φ_i grows after every instance i of T_s , this case is referred to as *growing*. Here, we have to consider the offsets Φ'_i between the closest beacon that precedes a scan window and the beginning of this scan window (in contrast to Φ_i , which is measured between a scan window and its succeeding beacon). For example, in Figure 1c), the initial offset Φ_0 implies a certain offset Φ'_0 between the second beacon and the second scan window. Such offsets shrink after every instance of T_s . Similarly to Case b), it is $\Phi'_i - \Phi'_{i+1} = \gamma$, with $\gamma = k_c \cdot T_a - T_s$. Again, we have to choose T_a and T_s , such that $\gamma \leq d_s - d_a$. The deduction of the worst-case latency d_m works similarly to Case b). For Case c), the worst-case latency is

$$d_m = \underbrace{\left\lceil \frac{T_s}{T_a} \right\rceil T_a}_{\mathcal{A+C}} + \underbrace{\left\lceil \frac{T_a - (d_s - d_a)}{\gamma} \right\rceil \cdot \left\lceil \frac{T_s}{T_a} \right\rceil T_a}_{\mathcal{B}} + d_a. \quad (20)$$

We next optimize T_a , T_s and d_s for minimizing the latencies in Case b) and c).

1) *Choosing T_a* : Recall that the worst-case latency for Case b), as given by Equation 19, is composed of three parts

\mathcal{A} , \mathcal{B} and \mathcal{C} . Starting from the first beacon that is sent after the second scan window, the distance between neighboring pairs of scan windows and beacons is reduced by multiple steps of γ , which Term \mathcal{B} accounts for. It follows from Equation 19 that every additional step of length γ induces a latency that already reaches that of Term \mathcal{A} . Hence, the optimal parametrization will minimize the number of γ -steps. The same also holds true for Case c). For this reason, we have to maximize the value of γ . The maximum possible value of γ is $d_s - d_a$, since larger values would lead to offset shrinkages or growths per scan interval of $\gamma > d_s - d_a$, and hence the scan window would be missed for some offsets Φ_0 . Note that if γ was smaller than $d_s - d_a$, for some offsets, two beacons would overlap with two different scan windows within d_m . Since one of these beacons would be redundant, i.e., it could be removed without increasing d_m , such parametrizations are not optimal.

From $\gamma = |k_c \cdot T_a - T_s|$, it follows that $\gamma = d_s - d_a$ can be realized by setting $k \cdot T_a$ by $d_s - d_a$ time-units shorter ($k = k_f$) or longer ($k = k_c$) than one scan interval:

$$k \cdot T_a = T_s \pm (d_s - d_a) \quad (21)$$

Both the “+”-operation and the “-”-operation in Equation (21) lead to potentially optimal parametrizations. We in the following restrict ourselves to $k_c \cdot T_a = T_s + (d_s - d_a)$, since this case is sufficient for obtaining an optimal parametrization for every duty-cycle. The analysis for the other case works similarly.

Because $k_c \cdot T_a > T_s$ and $k_c \cdot T_a - T_s < 1/2 \cdot T_a$, such configurations always lead to Case c) (cf. definition of Case c)). Hence, we in the following only need to consider the latency given by Equation 20.

2) *Relation between T_s and d_s* : Let us again consider Term \mathcal{B} in Equation 20 and replace T_a using Equation 21 and γ by $d_s - d_a$. Then, Term \mathcal{B} becomes

$$\left\lceil \frac{T_s + (d_s - d_a)(1 - k_c)}{k_c \cdot (d_s - d_a)} \right\rceil \cdot \left\lceil \frac{k_c \cdot T_s}{T_s + d_s - d_a} \right\rceil. \quad (22)$$

As long as the number of steps γ -steps (and hence the value of the first ceiling function in Equation 22) is not increased, we have the possibility to minimize d_s or to maximize T_s . This will decrease the duty-cycle for reception, such that we can allocate more of the overall duty-cycle η for transmission. This, in turn, will allow us to minimize T_a , to which d_m is nearly proportional (cf. Equation 20). Large values of T_s or small values of d_s increase the term within the first ceiling function of Equation 22. We therefore need to find the values of T_s and d_s that maximize this term, without causing the ceiling-function to turn over. Hence, we require:

$$\frac{T_s + (d_s - d_a)(1 - k_c)}{k_c(d_s - d_a)} = M, M \in \mathbb{N} \quad (23)$$

In other words, values of T_s and d_s that fulfill Equation 23 lead to the same number of γ -steps (i.e. M steps). As long as this equation is fulfilled, we can optimize the other terms of the latency equation without increasing the first ceiling function in Term \mathcal{B} in Equation 20. Solving Equation 23 by T_s leads to the following relation between T_s and d_s .

$$T_s = (k_c(M + 1) - 1)(d_s - d_a) \quad (24)$$

Inserting Equation 21 and 24 into Equation 20 leads to

$$d_m = \begin{cases} M(M+1)(d_s - d_a) + d_a, & \text{if } k_c = 1, \\ (M+1)(d_s - d_a)(k_c(M+1) - 1) + d_a, & \text{else.} \end{cases} \quad (25)$$

Further, Equation (1) can be expanded to the following duty-cycle.

$$\eta(d_s, k_c, M) = \frac{d_s - d_a + k_c d_a + M(d_s + k_c d_a)}{(d_s - d_a)(M+1)(k_c + kM - 1)} \quad (26)$$

We can rearrange this to

$$d_s(\eta, k_c, M) = \frac{d_a \cdot (\eta + M\eta + 1) \cdot (k_c + Mk_c - 1)}{(\eta(k_c + k_cM - 1) - 1) \cdot (M+1)} \quad (27)$$

Recall that d_s is the length of the reception window, whereas k_c and M are two integer values that are yet to be determined. We can replace d_s in Equation 20 by Equation 27. This gives us the worst-case latency depending on the duty-cycle. Compared to the initial situation, in which we had to choose 3 real-valued parameters T_s , T_a and d_s , we have simplified the problem to optimizing two integer numbers k_c and M .

3) *Optimizing k_c and M* : We can easily find the value of k_c that leads to a local latency minimum by solving $\frac{d}{dk_c}(d_m(k_c, M, \eta)) = 0$ using Equation 25 and 27. The resulting optimal value of k_c is given by:

$$k_{opt} = \frac{1}{M+1} + \frac{\sqrt{\eta + M\eta + 1} + 1}{\eta(M+1)} \quad (28)$$

Since k_c needs to be an integer, we have to chose $k_c = \text{round}(k_{opt})$. For optimizing M , let us first consider duty-cycles η for which $\text{round}(k_{opt}) = k_{opt}$ and compute the derivative $\frac{d}{dM}(d_m(k_{opt}, M, \eta))$, which represents the slope of the worst-case latency. The resulting terms are positive for all possible M , and hence low values of M lead to low latencies. Though this deviation is only possible for duty-cycles for which $\text{round}(k_{opt}) = k_{opt}$, we can generalize this towards all duty-cycles due to the following reason.

Recall that a packet being sent within the last d_a time-units of every scan window cannot be received successfully (since it only partially coincides with the scan window). Nevertheless, also the last d_a time-units of every scan window contribute to the duty-cycle. We can write the worst-case latency of the MultiInt-Case from Equation 25 for $k_c > 1$ as $d_m = (M+1) \cdot T_s + d_a$. It follows that M determines within how many scan intervals the discovery will be successful. For higher values of M , the duty-cycle for reception is spread over an increasing number of scan windows per d_m . Hence, also the share of “unproductive” versus “productive” reception time is increased, leading to a higher latency for a given duty-cycle.

As a result, $M = 1$ is the value with the highest performance, whereas all larger values of M will increase worst-case latency. We will therefore first analyze the case of $M = 1$ in detail. As we will describe in Section IV, the case of $M = 2$ has beneficial properties to handle non-idealities of the radio in symmetric scenarios, with only a marginally increased latency compared to $M = 1$. We therefore also study the case of $M = 2$ in detail.

4) *Constraints for $M = 1$* : In the following, we assume $M = 1$ and analyze the resulting properties. Though we

can determine the theoretically optimal value of k_c using Equation 28, not all of these optimal values are feasible. First, $d_s(\eta)$ needs to be positive. This is equivalent to requiring a positive denominator of d_s given by Equation 27, which leads to the following constraint.

$$k_c > \frac{1}{2\eta} + \frac{1}{2}. \quad (29)$$

In addition, since real-world hardware cannot realize a scan window that is shorter than a certain threshold $d_{s,m}$, we require $d_s(\eta) \geq d_{s,m}$. By expressing d_s using Equation 27 and by solving this inequality, one can derive that k_c needs to fulfill the following constraints.

$$\begin{aligned} k_c &\leq k_l, & \text{if } \eta > \frac{d_a}{2(d_{s,m} - d_a)}, \\ k_c &\geq k_l, & \text{if } \eta < \frac{d_a}{2(d_{s,m} - d_a)}, \end{aligned} \quad (30)$$

with

$$k_l = \frac{2d_{s,m}(1 + \eta) - d_a(1 + 2\eta)}{4\eta d_{s,m} - 2d_a(1 + 2\eta)}. \quad (31)$$

For $\eta = \frac{d_a}{2(d_{s,m} - d_a)}$, no constraints on k_c apply.

Therefore, k_c is chosen as $\text{round}(k_{opt})$ (cf. Equation 28), or as the value being closest to $\text{round}(k_{opt})$ allowed by these constrains. When comparing Equations 29 and 30, one can infer that the maximum duty-cycle that can be realized when $M = 1$ is given by

$$\eta \leq \frac{3d_a + \sqrt{d_a(d_a + 8d_{s,m})}}{8(d_{s,m} - d_a)}. \quad (32)$$

Next, we examine parametrizations with $M = 2$.

5) *Constraints for $M = 2$* : As for $M = 1$, k_c needs to be set to $\text{round}(k_{opt})$, where k_{opt} is given by Equation 28. However, there are multiple constraints on k_c . First, $d_s(\eta)$ needs to be positive, and from Equation 27 follows that $k_c > 1/(3\eta) \cdot (\eta + 1) = k_{min}$. Second, there is a lower limit $d_{s,m}$ on the scan window the hardware can realize, as already described. One can solve $d_s(\eta) > d_{s,m}$ by k_c using Equation (26), which results in the following upper limit on k_c .

$$k_c \leq \frac{d_{s,m}}{3\eta d_{s,m} - (3\eta + 1)d_a} + \frac{1}{3} = k_{max} \quad (33)$$

Since k is an integer value, $\lceil k_{min} \rceil \leq \lfloor k_{max} \rfloor$ must always be kept. A conservative but analytically solvable form of this inequality is $k_{min} + 1 \leq k_{max} - 1$. By solving this using k_{min} and k_{max} as given above, we get an upper limit on the duty-cycle that can be realized:

$$\eta \leq \frac{3d_a + \sqrt{d_a(d_a + 8d_{s,m})}}{12(d_{s,m} - d_a)} \quad (34)$$

E. Other Parametrizations

The presented parametrizations had in common that $0 < \gamma \leq d_s - d_a$. We in this section discuss the remaining parametrizations and why they do not perform better than the ones already described. First, let us consider parameters with $\gamma = 0$, e.g., $T_a = 2 \cdot T_s$. Here, the offset Φ_i , $i = 1, 2, \dots$ (or Φ'_i , respectively) between a beacon and its neighboring scan window will always remain constant. Hence, the temporal distance between beacons and windows is not decreased over time, and for certain ranges of initial offsets, the devices

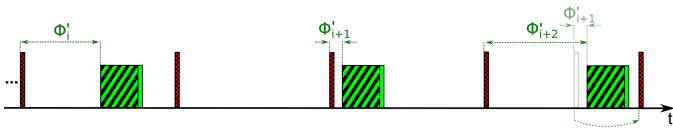


Fig. 2: Growing situation with $\gamma > d_s - d_a$. Here, $\Phi'_{i+2} > \Phi'_{i+1}$.

never discover each other. In other words, parametrizations with $\gamma = 0$ are not suited for guaranteeing discovery within bounded time.

We next discuss parametrizations using which γ exceeds $d_s - d_a$ time-units. Figure 2 depicts a growing sequence with $\gamma > d_s - d_a$. The blurred beacon in front of the last scan window has an offset of Φ'_{i+1} . This offset is equal to that of the beacon preceding the second scan window. As can be seen, since γ exceeds $d_s - d_a$, this beacon is “shifted” on the right side of the scan window for certain offsets, such that it is $\Phi'_{i+2} > \Phi'_{i+1}$. Therefore, parametrizations with $\gamma > d_s - d_a$ cannot guarantee discovery by reducing the offset in multiple steps of length γ . Nevertheless, when extending such a sequence by considering future beacons and scan windows, discovery might be guaranteed for all offsets at a later point in time. This works follows.

For the *MultiInt* scheme in case b), we have considered one instance of T_s and $k_f = \lfloor T_s/T_a \rfloor$ instances of T_a for computing $\gamma = T_s - k_f \cdot T_a$. We now consider a multiple l of T_s , such that $\gamma' = l \cdot T_s - k'_f \cdot T_a$. If we can identify a tuple (l, k'_f) for which $\gamma' \leq d_s - d_a$, then the distance between a scan window and its appropriate neighboring beacon shrinks by γ' time-units after every k'_f advertising intervals, and a beacon always overlaps with a scan window after a finite number of such steps. It has been shown in [8] that suitable values of (l, k'_f) exist for almost every value of T_a and T_s .

Hence, it is possible to construct parametrizations schemes with $\gamma > d_s - d_a$, if γ' , which is formed by multiples of T_a and T_s , does not exceed $d_s - d_a$. The properties of such sequences are similar to the ones with $\gamma \leq d_s - d_a$. In particular, a finite number of steps of length γ' are needed until discovery is guaranteed. However, more scan windows need to take place for reaching the same worst-case latency as parametrizations with $\gamma \leq d_s - d_a$ (which can be achieved by reducing T_s). This leads to a higher fraction of “unproductive” scanning time within the last d_a time-units of each scan window, and hence the duty-cycle for guaranteeing the same worst-case latency is increased. In conclusion, it is not possible to further increase the performance with parametrizations with $\gamma > d_s - d_a$. Furthermore, parametrizations in which no $\gamma' \leq d_s - d_a$ exists do not provide bounded latencies.

F. Proof of Optimality

In this section, we formally prove that the discovery latencies achieved by PI-based protocols parametrized using the *SingleInt* scheme are actually optimal. In particular, when considering one sender and one receiver, for a given sum of duty-cycles (and hence energy budgets) of both devices, no other ND protocol can guarantee lower worst-case latencies than a PI-based one configured according to the *SingleInt*

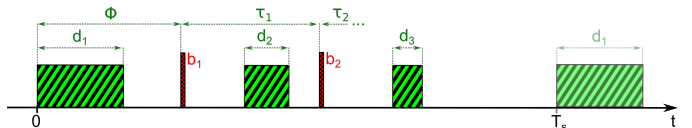


Fig. 3: Sequence of reception windows of length d_1, d_2, d_3 , into which the sequence of beacons b_1, b_2 falls with a random offset Φ .

scheme. We then discuss symmetric bi-directional discovery and the *MultiInt* scheme.

In this section, for the sake of simplicity of exposition only, we neglect the transmission duration of the successfully received beacon, which is small compared to d_m . In addition, we assume that also packets that partially overlap with the last d_a time-units of a reception window are received successfully. Our proof of optimality also holds true when relaxing these assumptions (cf. [18] for details).

1) *Performance Bound for Unidirectional Discovery*: We now derive the lowest worst-case latency that any ND protocol could guarantee between one sender with a duty-cycle of β and one receiver with a duty-cycle of ρ . Additional details of this derivation, and a more formal proof, can be found in [18].

The reception duty-cycle ρ is the fraction of time during which the radio listens to the channel. A ND protocol could use an arbitrary pattern of reception windows. We assume that this pattern repeats after a certain (arbitrary) period T_s . The sum of reception window lengths during every period T_s is given by $\sum_i d_i$, and hence, $\rho = (\sum_i d_i)/T_s$. Such a pattern is exemplified in Figure 3. Here, three windows of length d_1, d_2 and d_3 are repeated with a period of T_s . These windows could be spaced arbitrarily. Let us for now assume that a given pattern is already optimal. Our goal is to identify a corresponding optimal sequence of beacons, such that one of these beacons coincides with a reception window within the shortest possible time in the worst-case.

When the sender transmits its first beacon b_1 after both devices have come into range, as depicted in Figure 3, it will fall with a random offset $\Phi \in [0, T_s]$ into an instance of the pattern of reception windows (cf. Figure 3). With what probability P_r does b_1 overlap with a reception window? Clearly, $P_r = (\sum_i d_i)/T_s = \rho$. Next, the sender transmits its next beacon b_2 after τ_1 time-units. What is the probability that either b_1 or b_2 is received? Obviously, the probability depends on the value of τ_1 and the pattern of reception windows. However, if this pattern and the value of τ_1 are chosen in the best possible way, the offsets for which b_2 coincides with a reception window are disjoint with those of b_1 . Hence, the probability that either of them coincides with a reception window is given by $P'_r = 2 \cdot (\sum_i d_i)/T_s = 2 \cdot \rho$. Note that otherwise, if for some offsets Φ , both b_1 and b_2 would *redundantly* overlap with a reception window, the fraction of successful offsets and hence P'_r would be reduced.

If we now continue the sequence b_1, b_2, b_3, \dots by sending additional beacons, such that for no offset, more than one beacon overlaps with a reception window, how many beacons N need to be sent until the probability that at least one of them overlaps becomes unity? Clearly, we need to fulfill $N \cdot \rho \geq 1$. From this follows that any ND protocol needs to send at least

N beacons until discovery can be guaranteed for all offsets Φ , and N is given by $N = \lceil 1/\rho \rceil$.

How should such N consecutive beacons be spaced, i.e., what values of τ_1, τ_2, \dots are optimal? A duty-cycle for transmission of β implies that on the average, every two consecutive beacons must be spaced by $\lambda = d_a/\beta$ time-units. More precisely, when any N consecutive beacons are spaced by less than $(N-1) \cdot \lambda$ time-units, a later sequence of N consecutive beacons needs to be spaced by more than $(N-1) \cdot \lambda$ time-units for maintaining the duty-cycle β . Every beacon could be the first beacon sent after two devices have come into range, and the longest sequence of N consecutive beacons determines the worst-case discovery latency. As a result, in an optimal ND protocol, every N consecutive beacons are spaced by $(N-1) \cdot \lambda$ time-units. The worst-case beacon-to-beacon discovery latency is hence given by $d_m^* = (N-1) \cdot \lambda$ time-units, and the worst-case discovery latency after two devices have come into range is given by $N \cdot \lambda$ time-units. Expressing N and λ by β and ρ leads to the lowest worst-case discovery latency that any ND protocol could guarantee [18].

$$d_m = \left\lceil \frac{1}{\rho} \right\rceil \cdot \frac{d_a}{\beta} \quad (35)$$

Since $\eta = \beta + \rho$, we have the flexibility to adjust β and ρ for a given η , i.e., to optimize the allocation of the energy budget between the sender and the receiver. When substituting $\beta = \eta - \rho$ in Equation 35, one can verify that only values of ρ for which $1/\rho = k$, $k = 1, 2, 3, \dots$ can minimize d_m . By analyzing the slope of d_m , we can show that $k = 2/\eta$ optimizes d_m , which is in general a non-integer value and hence cannot be realized. From the monotonicity of d_m , it follows that only the neighboring pair of integers $k_l = \lfloor 2/\eta \rfloor$ and $k_r = \lceil 2/\eta \rceil$ can minimize d_m (cf. [18] for details). Substituting $1/\rho$ by k_l and k_r in Equation 35 results in the following bound.

$$d_m = \min \left(\left\lceil \frac{2}{\eta} \right\rceil^2 \cdot \frac{d_a}{\eta \left\lceil \frac{2}{\eta} \right\rceil - 1}, \left\lfloor \frac{2}{\eta} \right\rfloor^2 \cdot \frac{d_a}{\eta \left\lfloor \frac{2}{\eta} \right\rfloor - 1} \right) \quad (36)$$

No ND protocol can guarantee a lower discovery latency between a pair of a sender and receiver for a given joint duty-cycle η than that given by Equation 36. We next show that the SingleInt scheme realizes the discovery latencies given by Equation 36.

2) *Optimality of the SingleInt Scheme:* When assuming i) that beacons are successfully received if they are sent within the last d_a time-units of a reception window and ii) neglecting the transmission duration of the successfully received beacon, we can set $d_a = 0$ in Equation 2 and obtain the following worst-case latency for the SingleInt scheme:

$$d_m = \left(\left\lceil \frac{T_s - d_s}{T_a} \right\rceil + 1 \right) \cdot T_a \quad (37)$$

Furthermore, Equations 6 and 7 become $T_a = d_s$ and $T_s = (M+1)d_s$, $M \in \mathbb{R}$ under these assumptions. When inserting this into Equation 37, we obtain $d_m = (M+1) \cdot d_s$. We can replace d_s using Equation 1 and obtain a worst-case latency d_m of $\frac{d_a(M+1)^2}{\eta(M+1)-1}$ time-units. Note that despite the assumptions described above, d_a must not be set to zero in Equation 1, since beacon transmissions still contribute to the

duty-cycle. The derivative $d/d_M d_m$ is as follows.

$$\frac{d d_m}{d M} = \frac{d_a(M+1) \cdot (\eta + M \cdot \eta - 2)}{(\eta + M \cdot \eta - 1)^2} \quad (38)$$

The optimal value of M is obtained by computing the local minimum of d_m , which can be done by solving $d/d_M d_m = 0$ by M . By setting the numerator in Equation 38 to zero, we obtain an optimal value of $M_o = 2/\eta - 1$. We can verify that this value indeed corresponds to a minimum by observing the second derivative. Since M must be an integer value (cf. Section III-C), we identify the neighboring integers $M_l = \lfloor M_o \rfloor$ and $M_r = \lceil M_o \rceil$. When rounding M_o up to its next higher (i.e., $M = M_r$) or lower (i.e., $M = M_l$) integer-value, the following latency is obtained.

$$d_{m,r} = \left\lceil \frac{2}{\eta} \right\rceil^2 \cdot \frac{d_a}{\eta \left\lceil \frac{2}{\eta} \right\rceil - 1}, \quad d_{m,l} = \left\lfloor \frac{2}{\eta} \right\rfloor^2 \cdot \frac{d_a}{\eta \left\lfloor \frac{2}{\eta} \right\rfloor - 1} \quad (39)$$

If we now set $M = \lceil M_l \rceil$, if $d_{m,l} \leq d_{m,r}$, or $M = \lfloor M_r \rfloor$ otherwise, the resulting latency will always be identical to $\min(d_{m,l}, d_{m,r})$. This worst-case latency is identical to the latency bound given by Equation 36. Hence, the SingleInt scheme (with the described modification of setting M to M_l or M_r instead of $\text{round}(M_o)$) performs optimally. We next discuss symmetric, bi-directional discovery.

3) *Performance Bound for Symmetric Bidirectional Discovery:* Let us now assume that each device both transmits with a duty-cycle of β and receives with a duty-cycle of ρ . Hence, every device now has a duty-cycle of $\eta = \beta + \rho$, and η is partitioned into β and ρ as described for the unidirectional case. As long as no beacons collide, the worst-case discovery latency of both devices discovering each other is given by Equation 36. Hence, the latency bound reached in *most* cases is equal to that of the unidirectional case, but due to colliding beacons, a certain fraction of attempts exceed this bound. As we will describe in Section IV, because of this and other effects, the rate of failed discoveries is non-negligible for the bi-directional SingleInt case. We however propose a variant of the MultiInt scheme in Section IV, which provides latencies only marginally above that of the SingleInt scheme, while discovery is successful in 99.997% to 99.804% of all attempts when a pair of devices discover each other bi-directionally.

4) *Summary:* In summary, for one sender and receiver, the SingleInt scheme is optimal, and no other ND protocol can guarantee lower discovery latencies. The MultiInt scheme provides latencies slightly above that of the SingleInt scheme and is hence only near-optimal. For symmetric bi-directional discovery, no ND protocol can guarantee bounded latencies in 100% of all attempts [18]. As of today, which parametrization or ND protocol optimizes the trade-off between discovery latency, failure rate and energy consumption for a given number of devices is not known.

IV. IMPLEMENTATION

This section describes the implementation of PI-based protocols that use the presented parametrization schemes. We first consider one-way discovery, i.e., scenarios with one transmitter and one receiver. As will become clear later, all of our proposed protocol variants are suitable for one-way discovery,

| η [%] | SingleInt | | | MultiInt (M=2) | | |
|------------|-----------|-----------|-----------|----------------|-----------|-----------|
| | T_a [s] | T_s [s] | d_s [s] | T_a [s] | T_s [s] | d_s [s] |
| 0.20 | 0.0320 | 32.0320 | 0.0321 | 0.0321 | 10.6986 | 0.0107 |
| 0.55 | 0.0117 | 4.2430 | 0.0117 | 0.0117 | 1.4221 | 0.0039 |
| 0.90 | 0.0071 | 1.5874 | 0.0072 | 0.0071 | 0.5338 | 0.0024 |
| 1.20 | 0.0054 | 0.8942 | 0.0054 | 0.0054 | 0.3016 | 0.0018 |
| 1.55 | 0.0041 | 0.5369 | 0.0042 | 0.0042 | 0.1817 | 0.0014 |

TABLE I: Parameter values for T_a , T_s and d_s for different duty-cycles.

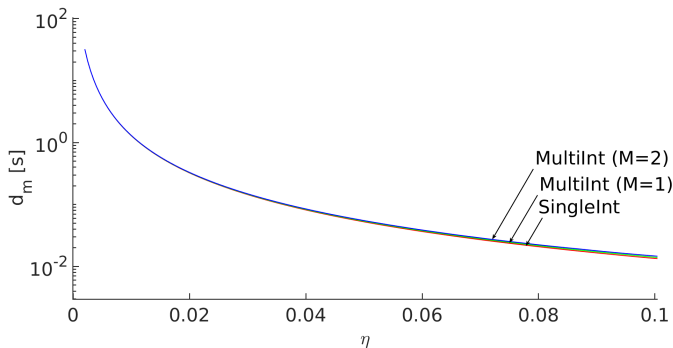


Fig. 4: Worst-case latencies of our proposed schemes for different duty-cycles ($d_a = 32\mu s$).

but the SingleInt scheme provides the lowest latencies. We then study symmetric two-way discovery, where a pair of devices discover each other simultaneously. Only the MultiInt scheme suits such scenarios, since the SingleInt scheme leads to a large fraction of failures. We therefore present a practical implementation of the MultiInt scheme for symmetric two-way discovery, which accounts for non-idealities of the hardware. Finally, we study how our proposed schemes can be used to parametrize BLE.

A. Range of Duty-Cycles

Figure 4 depicts the computed worst-case latencies of the three proposed parametrization schemes (viz., SingleInt (SI), MultiInt (MI) with $M=1$ and $M=2$), obtained from the equations presented in the previous section. We assume a packet transmission duration d_a of $32\mu s$, which we will justify in Section V. As can be seen in Figure 4, especially for low duty-cycles, all of our proposed parametrizations perform almost identically, with the SingleInt scheme providing slightly lower worst case latencies for larger duty-cycles. This difference in performance is caused by the different shares of “unproductive” duty-cycle, as explained in the previous section.

In the rest of this section, we restrict our considerations to duty-cycles between 0.2% and 1.55%, since they lead to a range of worst-case latencies that is relevant in practical applications. In particular, $\eta = 0.2\%$ corresponds to a worst-case latency of roughly half a minute, $\eta = 1.55\%$ to roughly half a second. Especially for this range of duty-cycles, all of the three parametrization schemes perform nearly identically (cf. Figure 4). Table I shows the interval lengths computed by the equations presented in the previous section. The advertising intervals are essentially identical for both schemes and lie between 4.1 ms and 32.1 ms for the considered range of duty-cycles. The smallest scan window length lies around 1.4 ms. The scan intervals are considerably larger, reaching up

to 32 s for the SingleInt scheme. This range of values can be realized easily by available hardware. Note that these interval lengths become larger, if more bytes per beacon are sent, as required by the BLE standard. We study BLE in Section IV-E, present the resulting ranges of values and conclude that they comply with the BLE standard.

Even though PI-based protocols can be implemented easily by using hardware timers for scheduling T_a and T_s , the hardware properties of the radios, such as turnaround times or clock inaccuracies, impose challenges on their implementation. In the following, we analyze these challenges in detail and propose countermeasures to overcome them.

B. One-Way Discovery

Implementing one-way discovery using our proposed parametrizations is relatively simple. However, we have to take two measures to account for non-idealities of the hardware, i.e., incorporating a safety margin into T_s and compensating for clock quantization errors.

1) *Safety Margin of T_s* : In Equations 3 and 23, we have chosen T_s such that the latency function will increase abruptly for every smallest increase of T_s (since T_s lies directly in front of a step of the d_m -function). On real-world hardware, we have to ensure that T_s never exceeds its computed optimal value, despite of clock skew and other errors. Therefore, T_s needs to be set slightly smaller than its computed optimal value, i.e., by one tick of the radio’s sleep oscillator.

2) *Clock Quantization Error Correction*: Our proposed parametrizations will lead to a large number of (short) advertising intervals until discovery is guaranteed. For example, for $\eta = 0.2\%$, in the worst-case, around 1000 instances of T_a will pass until discovery occurs in each of the proposed schemes. The sleep oscillators of most wireless devices run on very low frequencies for maintaining energy-efficiency. For example, the clock frequency f_{clk} is 32 768 Hz for the nRF51822-radio considered in this paper [19]. This limits the granularity of T_a and T_s to $1 \cdot T_{clk} \approx 30.5\mu s$, and quantization errors can pile up to 30.5 ms after 1000 advertising intervals in the worst-case. As a result, the effective value of the offset-shrinkage or growth per scan interval, γ , deviates from its optimal value of $d_s - d_a$ and hence, the deterministic overlap of beacon sequences with their corresponding scan windows is not guaranteed anymore. For e.g., $\eta = 0.2\%$ in the SingleInt case, $d_s - d_a = 32.1$ ms and hence, the maximum accumulated quantization error of 30.5 ms is comparable to this. As our experiments confirmed, this leads to a high number of cases in which the predicted worst-case latencies are significantly exceeded. To overcome this problem, each device must remember the exact values of its advertising- and scan interval in its memory, with a high precision of e.g., 1 ns. Whenever the sleep clock wakes up the CPU, the accumulated quantization error Q is computed by calculating the difference of the time that had passed according to the number of clock ticks and the time that should have passed, based on the exact interval lengths stored in memory. As soon as $|Q|$ exceeds $1/2 \cdot T_{clk}$, the next interval instance is extended or shortened by 1 clock tick, which is again taken into account for computing

the next value of Q . With this technique, the resulting effective value of γ cannot deviate by more than $1 \cdot T_{clk}$ from its optimal value due to quantization errors. To compensate for the remaining error, d_s needs to be extended by at least $1 \cdot T_{clk}$ beyond its ideal value. We assume an extension of d_s by $5 \cdot T_{clk}$ to account for remaining inaccuracies (i.e., quantization errors and clock skew) in our Evaluation (cf. Section V).

C. Symmetric Two-Way Discovery

We now extend the one-way discovery scenario to symmetric two-way discovery. In principle, each device schedules both beacons and reception windows using the parameter values described in Section III. We assume that both devices use the same duty-cycle η (i.e. symmetric neighbor discovery).

In real-world implementations, beacon collisions and non-negligible durations for switching from reception to transmission and vice-versa are always present, as shown in Figure 5. The rectangle in Figure 5a) depicts a scan window and the hatched bars multiple beacons of the same device, which are scheduled according to the SingleInt scheme.

In the SingleInt scheme, we apply configurations with $T_a \leq d_s - d_a$ to guarantee that a beacon overlaps with every scan window of a remote device. This, however, also implies that a beacon will overlap with every reception window of the same device (cf. Figure 5a)). In addition, every radio requires a certain amount of time for switching from reception to transmission (d_{rt}) and vice-versa (d_{tr}). For the radio we consider, each of these durations spans approximately $140 \mu\text{s}$ [19], which makes them large compared to the packet transmission duration of $32 \mu\text{s}$. Hence, within d_{rt} , d_a and d_{tr} time-units, the radio is unable to receive any incoming packets. One can verify from Figure 5 that no matter by which amount of time the sequence of transmissions is shifted against the sequence of reception windows on the same device, $d_{rt} + d_a + d_{tr}$ time-units are blocked within every scan window.

In other words, there are some offsets Φ_0 between the beacon and reception patterns of two devices, for which no beacon is received successfully. The mean blockage probability of the SingleInt-scheme among all possible offsets Φ_0 is

$$p_{blk} = \frac{d_{rt} + d_a + d_{tr}}{d_s - d_a}. \quad (40)$$

Within our considered range of duty-cycles between 0.2% and 1.55%, the smallest scan window length according to the SingleInt scheme is 4.2 ms, which corresponds to an unacceptably high blocking probability p_{blk} of 7.5%. Unfortunately, there is no feasible way of mitigating this for the SingleInt parametrization scheme. However, we can effectively mitigate blocking in the MultiInt scheme, as we describe next.

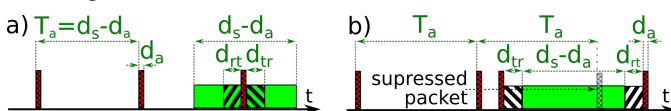


Fig. 5: a) Blockage of the SingleInt scheme and b) blockage-compensated MultiInt scheme with $M = 2$. In the hatched areas, the radio is unable to receive or transmit. These areas lie within the reception window in a) and outside in b).

D. Symmetric Discovery using the MultiInt Scheme

We can write Equation 25 for $k_c > 1$ as $d_m = (M + 1) \cdot T_s + d_a$. Hence, a larger value of M increases the number of scan intervals within which discovery is guaranteed. A beacon will only overlap with every $(M+1)$ 'th scan window and hence, blocking occurs only for a fraction of them. Recall that the discovery latency also increases with M , and hence a trade-off between low blocking probabilities and low discovery latencies need to be achieved. We in the following consider $M = 2$, since it almost provides the same worst-case latencies as the SingleInt scheme, but can achieve extremely low blocking probabilities using the measures described next.

1) *Blocking Mitigation*: To reduce the probability of blockage, we propose to suppress the transmission of every beacon that lies within a scan window. To compensate for the omitted beacons, we send an additional beacon by d_{tr} time-units before and another additional one by d_{rt} time-units after every scan window. The resulting beacon flow is shown in Figure 5 b). As can be seen, no transmissions take place throughout the entire scan window. The additional beacons compensate for the omitted ones for the vast majority of offsets. One can derive that the remaining probability of a failed discovery is

$$p_{blk} = \frac{1}{2} \cdot \left(\frac{(d_{tr} + d_a)^2}{T_a T_s} + \frac{(d_{rt} + d_a)^2}{T_a T_s} \right) + \frac{d_{rt} + d_{tr} + 2d_a}{T_s}. \quad (41)$$

This equation accounts both for the turnaround times and beacon collisions between two devices. Within the considered range of duty-cycles, the blocking probability is 0.003% for $\eta = 0.2\%$ and 0.193% for $\eta = 1.55\%$. Figure 11 depicts the probability of failed discoveries for all duty-cycles under consideration. However, due to the increased duty-cycle for sending two additional beacons, the worst-case latency for a given target duty-cycle η is increased relatively by 0.6% for $\eta = 0.2\%$ and by 4.4% for $\eta = 1.55\%$. Due to the higher number of compensation beacons needed for $M = 1$ and for the SingleInt-scheme, the MultiInt-scheme with $M = 2$ is more beneficial for applying this technique.

We refer to the blocking-compensated version of the MultiInt scheme as *MultiInt-BC*. Its differences in a symmetric setting, compared to the uncompensated MultiInt scheme in a one-way scenario, are as follows.

- The worst-case latency is increased by up to 4.4%.
- This worst-case latency can only be guaranteed in a large fraction of all discovery attempts (i.e., more than 99.8%), while d_m might be exceeded in the remaining attempts.

Note that the phenomenon of blocking occurs in all symmetric ND protocols [18]. We will compare the worst-case latencies achieved by multiple ND protocols with the same failure probability in Section V. We next study parametrizations for the BLE protocol.

E. Configuring Bluetooth Low Energy

Our proposed parametrization schemes can be tuned for parametrizing the BLE protocol, such that the discovery latency for a given joint duty-cycle, which is the sum of duty-cycles of both devices, is minimized. In particular, the

SingleInt scheme can be adopted to be fully compliant to the BLE specification, whereas the MultiInt scheme requires changing the range of random delays, which does not comply to the BLE standard. Since the specification of BLE does not propose any optimized parameter values, to the best of our knowledge, we propose the first known efficient, closed-form parametrization schemes for BLE. Because BLE requires larger packet lengths, also the corresponding interval lengths become larger, making clock quantization error correction less relevant. We provide a performance analysis of BLE configured using the SingleInt scheme in Section V-G.

F. Adapting the SingleInt Scheme to BLE

Recall that the SingleInt scheme is prone to failed discovery attempts in symmetric two-way scenarios. However, the problem of blocking does not occur in one-way scenarios, in which one device broadcasts packets without receiving, whereas the other device only receives without transmitting. Such scenarios are defined by the *non-connectable undirected* advertising procedure of BLE [6]. We in the following first describe how the SingleInt scheme can be applied to BLE in such one-way scenarios, and then extend it to two-way scenarios.

1) *Non-Connected Undirected Advertising*: As already mentioned, BLE adds a random delay between 0 and 10 ms to each advertising interval. The purpose of this delay is to avoid multiple subsequent colliding beacons. Further, in each advertising interval, BLE sends up to 3 consecutive beacons on 3 different channels (viz., channel 37, 38 and 39) in a row. The scanner toggles between these 3 channels for consecutive scan windows. Let the time within which these beacons are transmitted be d_e time-units. Then, the effective advertising interval is increased by up to $10\text{ ms} + d_e$ time-units, as can be seen in Figure 6. Here, d_e accounts for the 3-channel discovery, whereas the 10 ms compensate for the largest possible random delay. Recall that SingleInt guarantees bounded worst-case latencies by requiring the distance between two consecutive packets to be less than or equal to $d_s - d_a$ time-units. Hence, for compensating for the increased effective advertising interval, every scan window needs to be extended by $10\text{ ms} + d_e$ time-units beyond its optimal value.

However, this extended scan window also increases the duty-cycle, which alters the optimal values for T_a , T_s and d_s . Therefore, this overhead on d_s needs to be accounted for in Equation 1. In addition, the 3 beacons sent on different channels per advertising interval incur a certain overhead o_a every T_a time-units. This overhead is given by the time needed to transmit these additional beacons, plus the idle times between these transmissions weighted by the reduced power consumption compared to the transmission phases. With this, Equation 1 can be written as follows.

$$\eta = \frac{(d_s + 10\text{ ms} + d_e)}{T_s} + \alpha \cdot \frac{d_a + o_a}{T_a} \quad (42)$$

In Equation 42, one could further artificially increase T_a by the mean value of the random delay (i.e. 5 ms), which we do not consider further because it only has a negligible impact. From this, T_a , T_s and d_s can be derived as described in Section III.

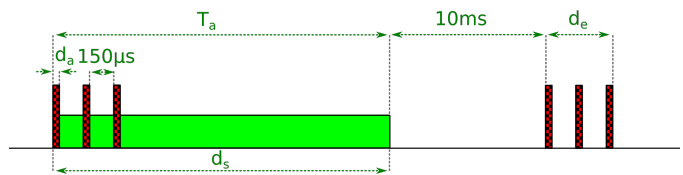


Fig. 6: The effective advertising interval in BLE is extended by up to $10\text{ ms} + d_e$ time-units.

With the extended scan window, the worst-case latencies of BLE configured using these values does not differ by more than 10 ms from those of ideal PI-based protocols configured using the same values for T_a , T_s and (the non-extended) d_s .

2) *Remaining Advertising Modes*: The *non-connectable undirected* advertising mode of BLE implies that a device receiving a beacon can neither establish a connection nor request additional data. We next study how the bidirectional modes of BLE, i.e., *connectable* and *scannable* advertising, can be configured using the SingleInt scheme.

In such modes, for each advertising beacon being sent, the transmitting device needs to listen to the channel for incoming responses $150\text{ }\mu\text{s}$ later. Hence, in addition to o_a , each beacon transmission duration is increased by an effective overhead of o_{a2} time-units that accounts for this reception window, which needs to be accounted for in Equation 42. Note that blocking does not occur in such scenarios, since the receiving device only transmits a single packet after it has received a beacon from the transmitting device. Accounting for the above, the parameter values can be derived as described in Section III.

3) *Adapting the MultiInt Scheme to BLE*: For parametrizations following the MultiInt scheme, in general, the same procedure as described above will lead to beneficial values of k_c (and hence, T_a , T_s and d_s) for BLE. However, the MultiInt scheme guarantees discovery within multiple instances of the scan interval. Recall that the distance of any scan window and its neighboring advertising beacon on the left is successively reduced by γ time-units after every scan interval T_s . For each such reduction, $n = \lceil T_s/T_a \rceil$ advertising intervals pass. Bounded discovery latencies can be guaranteed if $\gamma < d_s - d_a$, and since γ could exceed $d_s - d_a$ because of the random delay, d_s needs to be increased to compensate for this. With a random delay of up to 10 ms per advertising interval, the sum of these delays can add up to $n \cdot 10\text{ ms}$ time-units after every n intervals. This sum can easily exceed T_a time-units and hence, a compensation by extending d_s becomes very energy-consuming.

Therefore, the maximum random delay per interval needs to be reduced to a reasonably low value, e.g., $1/n \cdot 10\text{ ms}$, compared to the value of 10 ms suggested by the BLE specification [6]. Since this does not comply with the BLE specification, unlike the SingleInt scheme, the MultiInt scheme cannot be implemented using most commercial BLE stacks, which typically do not support modifying the range of random values. However, if the random delay can be adjusted e.g., by using an open-source stack, the resulting implementation remains compatible with devices that use the random delay specified in the BLE standard. In particular, they can also be discovered by scanners that use proprietary stacks.

V. EVALUATION

In this section, we evaluate the performance (i.e., worst-case latency, channel utilization and blocking probability) of the blocking-compensated version of the MultiInt scheme with $M = 2$, as described in Section IV (viz., MultiInt-BC (M=2)). We compare its performance to those of multiple popular previously known protocols and evaluate its behavior in real-world implementations. Recall that our proposed parametrizations target networks with few devices discovering each other simultaneously. We therefore assume a symmetric scenario with two devices, for which the results of this comparison are valid. We further evaluate the predicted performance by real-world measurements on 560,000 discovery procedures using two nRF51822 [19] radios. Finally, we evaluate the performance of BLE configured using the SingleInt scheme.

A. Protocols Considered

We compare our proposed solution to the following ones.

- **Disco** [1] is used as the baseline for comparisons in most related work. Though Disco can only function properly with two prime numbers p_1 and p_2 , the equations describing the performance in [1] remain valid if we assume $p_1 = p_2$ and also allow non-prime numbers, as proposed in [1]. This allows for a comparison of all duty-cycles, including those that cannot be realized in practice due to the lack of corresponding prime numbers. Note that assuming $p_1 = p_2$ in the equation describing Disco's performance is not equivalent to actually implementing Disco using the same prime numbers, which would negatively affect its performance.

- **U-Connect** [3] allows for extremely short slot lengths of 250 μs , which leads to a high performance.

- **Searchlight-Striped** [2] achieves low latencies, while being capable of realizing a large set of duty-cycles.

- **Optimal Diffcodes** [5] have been proven to achieve the lowest worst-case latencies (in terms of slots) that any slotted protocol could guarantee [17], [18]. Despite only a very limited set of duty-cycles can be realized, we consider their theoretical performance for all duty-cycles.

- **G-Nihao** [4] defines listen-only and transmit-only slots. It provides a parameter γ to adjust the number of beacons per period, but its optimal value is not clear. We therefore assume $\gamma = 2$, as also assumed in [4].

The corresponding worst-case latencies, based on [3], [2], [5], [4], are given by Table II. Here, d_{sl} is the slot length. Whenever necessary, the Equations from the literature have been rearranged to account for the assumptions described above and to bring them into the same form. We use these latency-duty-cycle relations to assess the worst-case performance of the previously known protocols in our comparison.

B. Protocol Parameters

The performance of all ND protocols depends on the packet- and slot lengths, for which we derive reasonable values next.

| Protocol | $d_m(\eta)$ |
|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| Disco | $\frac{4}{\eta^2} d_{sl}$ |
| U-Connect | $(\sqrt{\frac{1}{2\eta} + \frac{9}{16\eta^2} + \frac{3}{4\eta}})^2 d_{sl}$ |
| Searchlight | $\left\lceil \frac{\lfloor \frac{1}{\eta} \rfloor}{2} \right\rceil d_{sl}$ |
| Optimal Diffcodes | $\frac{1}{2\eta^2} d_{sl}$ |
| G-Nihao | $\left(\frac{d_{sl} + d_a \gamma}{2\gamma \eta d_{sl}} + \sqrt{\frac{d_{sl} + d_a \gamma}{2\gamma \eta d_{sl}} - \frac{d_a}{d_{sl}}} \right)^2 \gamma$ |

TABLE II: Worst-case discovery latencies of slotted protocols.

1) *Beacon Transmission Duration d_a* : Slotted and PI-based protocols perform best for short beacon lengths. We therefore assume a length of 4 bytes, which consists of a 1-byte preamble for synchronization and a 3-byte timestamp to schedule a later data exchange. We assume a nRF51822-radio [19] with a bitrate of 1 Mbit/s, which leads to a transmission duration d_a of 32 μs .

2) *Slot Length d_{sl}* : Whereas PI-based protocols guarantee discovery within a certain amount of time, slotted protocols guarantee discovery within a worst-case number of slots. Shorter slot lengths lead to lower worst-case latencies and hence a higher performance for the same duty-cycle. A comparison using the slot lengths assumed in the literature would not be fair, since they are based on “good guesses” and have not been chosen to systematically minimize the discovery latencies or failure probabilities. A systematic reduction of the slot length has not yet been studied. Therefore, we need to identify the slot lengths for which different slotted protocols achieve the same properties as PI-based ones. As already mentioned, for the largest duty-cycle considered, implementations following the MultiInt-BC scheme with $M = 2$ will fail (and hence prevent a successful discovery) in around 0.19% of all cases due to beacon collisions and blocking. For slotted protocols, this rate grows for decreasing slot lengths, and we in the following identify the slot lengths that lead to the same fraction of failed discoveries as in MultiInt-BC.

For a successful discovery, two slots from two devices have to overlap in time. This also implies that beacons from two devices come into temporal vicinity, which makes slotted schemes prone to collisions even when their channel utilization is low. Consider a slot in which a beacon is transmitted at its beginning and end, whereas the devices listen to the channel in between. Two such slots from different devices can only overlap, if the difference of their starting times lies within $[-d_{sl}, d_{sl}]$ time-units. For certain offsets within this range, discovery will be prevented due to beacon collisions, e.g., for the offset 0. In addition, the radio has to switch from reception to transmission and vice-versa, which blocks d_{rt} and d_{tr} time-units in each slot. One can compute the probability of failed discoveries by integrating over all offsets that lead to failures and dividing them by the range of offsets with overlapping slots, which leads to the following failure probability for 2 devices discovering each other:

$$P_{blk,disco} = \frac{2 \cdot (3d_a + d_{rt} + d_{tr})}{2d_{sl}}. \quad (43)$$

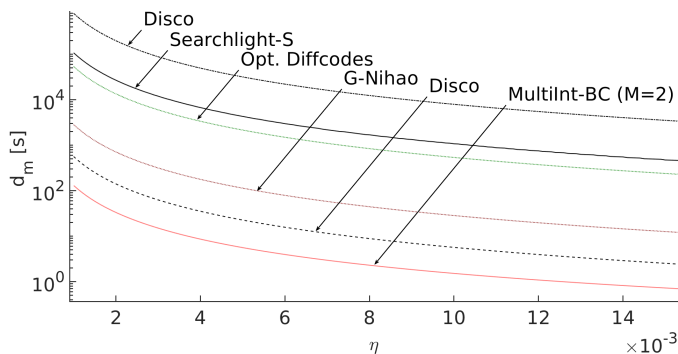


Fig. 7: Worst case latencies of slotted protocols and the MultiInt-BC scheme.

This slot design is actually used by Disco [1], for which Equation 43 gives the probability of failed discoveries. In contrast, Searchlight [2] and optimal difference codes [5] define overflowing slots, in which at least one beacon is sent slightly outside of the slot boundaries. Under the assumption that one beacon transmission and turnaround phase lie within the slot, whereas another turnaround phase and beacon transmission lie outside of the slot boundaries, a reduced probability of

$$P_{blk,overflow} = \frac{2d_a + d_{tr}}{d_{sl}} \quad (44)$$

can be achieved for these protocols. In G-Nihao, there are always m consecutive listen-only slots, which can be regarded as a large, contiguous reception slot. Since beacons are also sent with a period of m slots, always one beacon transmission duration d_a and a pair of turnaround phases d_{tr} and d_{rt} lie within these m slots. This leads to the following probability:

$$P_{blk,nihao} = \frac{d_{rt} + d_{tr} + 2d_a}{m \cdot d_{sl}} \quad (45)$$

As already mentioned, the MultiInt-BC scheme with $M = 2$ achieves a blocking probability of around 0.19% for two devices and for the least beneficial duty-cycle considered (i.e., $\eta = 1.55\%$). Therefore, in this comparison, we scale the slot length of each slotted protocol, such that a maximum blocking probability of 0.19% for two devices and for the maximum duty-cycle considered (i.e., 1.55%) is reached in each of them. This leads to the following slot lengths. Disco: 197.9ms; Searchlight and Diffcodes: 107.4ms; G-Nihao: 5.5ms. Since U-Connect defines special receive-only and transmit-only slots, we here assume $d_{sl} = 250\mu\text{s}$, as has been done in [3]¹.

C. Worst-Case Latencies

In this Section, we evaluate the computed worst-case discovery latencies of the MultiInt-BC scheme with $M = 2$, as described in Section IV. Further, we compare them to the latencies of previously known protocols, as depicted in Figure 7. Note that if a protocol provides a shorter worst-case latency than another protocol for a given duty-cycle, this also implies that this device consumes less energy when guaranteeing the same worst-case latency. As can be seen,

¹Therefore, the comparison with U-Connect does not follow our rationale of equivalent blocking probabilities.

²Static slot length and hence constant blocking probability.

| | $P_{blk} = 0.19\%$ | | $P_{blk} = 3\%$ | |
|------------------------|--------------------|-----------|-----------------|-----------|
| | G_m | \bar{G} | G_m | \bar{G} |
| Disco | 6119.1 | 5663.9 | 387.5 | 358.7 |
| Searchlight-S | 830.0 | 768.1 | 52.6 | 48.6 |
| Opt. DiffCodes | 415.5 | 384.6 | 26.8 | 24.8 |
| G-Nihao | 22.0 | 20.3 | 1.7 | 1.6 |
| U-Connect ² | 4.4 | 4.1 | 4.4 | 4.1 |

TABLE III: Maximum (G_m) and mean (\bar{G}) gains of worst case discovery latencies over slotted protocols achieved by the MultiInt-BC scheme over all duty-cycles considered.

the slotted protocols Disco, Searchlight and Diffcodes have the highest worst-case latencies. U-Connect achieves lower worst-case latencies due to its separate listen-only and transmit-only slots. The pseudo-slotted protocol Nihao has a significantly lower worst-case latency, but does not reach the performance of the MultiInt-BC scheme, which provides the lowest worst-case latencies for all duty-cycles. Table III shows the gains over slotted protocols, defined as $G = \frac{d_{m,protocol}}{d_{m,MultiInt-BC}}$. In particular, we have considered the maximum gains G_m and the mean gains \bar{G} over the entire range of duty-cycles considered. For example, for the most beneficial duty-cycle of 0.2%, in the worst-case, U-Connect would take $4.4\times$ longer than the MultiInt-BC scheme for discovering a neighbor. On the average over all duty-cycles considered, U-Connect would take $4.1\times$ longer. In addition to the results for a maximum rate of failed discoveries of 0.19%, Table III shows the results for a rate of 3% for the highest duty-cycle. This rate is achieved by slotted protocols for two devices by adjusting the slot length accordingly, whereas the MultiInt-BC scheme fails with this rate when 3 devices come into range. As can be seen, there are still significant gains. These results suggest that the classical slotted protocols Disco, Searchlight and Diffcodes, achieve larger worst-case latencies compared to PI-based ones. Further, PI-based ND protocols can achieve significantly lower worst-case latencies by decoupling reception and transmission. Some configurations of the G-Nihao protocol [4] might potentially result in a similar sequence of packets and reception windows as the SingleInt scheme, if an optimal value for its parameter γ , which determines its channel utilization, could be found. The difference in performance in symmetric scenarios stems from the lower blocking probability of MultiInt-BC, as well as from the unknown optimal value of Nihao's γ -parameter.

D. Average-Case Behavior

In what follows, we compare the mean latencies of the MultiInt-BC scheme to those of previously known protocols. Since the literature does not provide equations on the mean latencies, we have implemented simulation models of all previously known protocols under consideration. For this comparison only, we assume a duty-cycle of 5%, because it can at least be approximated closely by all protocols under consideration (e.g., using the prime numbers 37 and 43 for Disco). Figure 8 shows the cumulative distribution functions (CDFs) of our proposed solution and the previously known ones. Here, we have assumed that no collisions occur for all considered protocols. We have neglected the small impact on

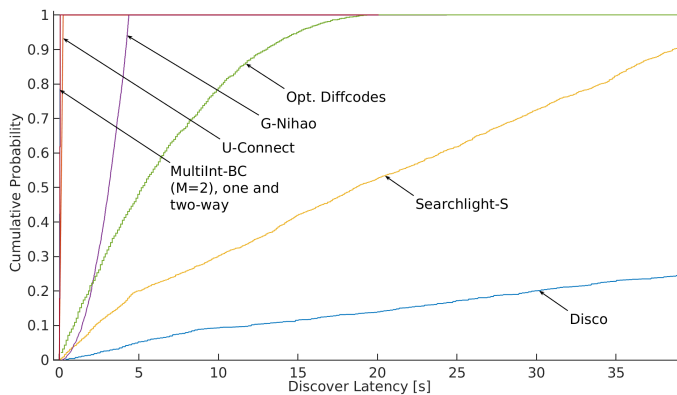


Fig. 8: Computed/Simulated CDFs for a duty-cycle of 5% under the absence of collisions.

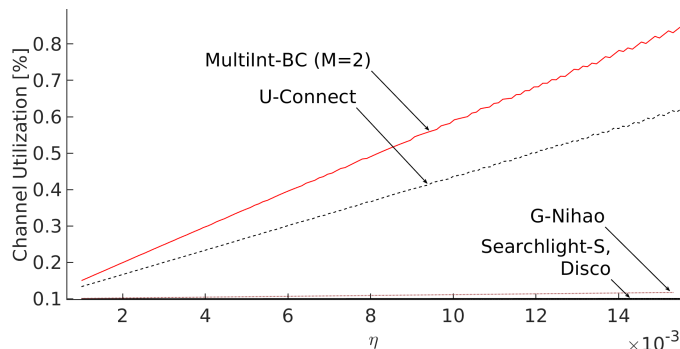


Fig. 9: Comparison of channel utilization.

the timing behavior caused by the blocking countermeasures described in Section IV, but have accounted for the duty-cycle increase (which is, as we have already described, non-negligible). For the MultiInt-BC scheme with $M = 2$, both the CDF-curves for one-way discovery (i.e., a device A receives a packet from device B) and for two-way discovery (i.e., device A receives a packet from device B and vice-versa) are depicted, but both curves lie in such a close proximity that hardly any difference is visible. The remaining depicted CDF curves represent two-way discoveries. For a duty-cycle of 5%, the mean latencies are as follows: Disco: 104.20 s; Searchlight-Striped: 19.71 s; Difference Sets: 6.16 s; G-Nihao: 2.94 s; U-Connect: 0.12 s; MultiInt-BC, two-way 0.04 s; MultiInt-BC, one-way: 0.03 s.

E. Channel Utilization and Collisions

A comparison of the computed channel utilization is depicted in Figure 9. The MultiInt-BC scheme adjusts the transmission rate for optimal latency-duty-cycle relations, which leads to an increased channel utilization. Recall that our proposed schemes target scenarios with few nodes being in discovery mode simultaneously, and we in the following establish that the resulting collision rates remain low in the scenarios considered. As already mentioned, for 2 devices, the blocking mitigation techniques described in Section IV lead to a probability of blockage and collisions of up to 0.19%. This is achieved by keeping all reception phases free of packet transmissions, thereby preventing failures due to collisions. When more than two devices are in range, collisions will occur regardless of this. Since the offsets of packets

from different devices are usually distributed uniformly, their collision probabilities are exponentially distributed (cf. [20] for details). Therefore, starting from $nDevices = 3$ devices, the discovery procedure of each device will collide with a probability of

$$p_{col} = 1 - e^{-2(nDevices-1) \cdot (\frac{d_a}{T_a} + 2\frac{d_a}{T_s})}. \quad (46)$$

For 3 devices, the collision probability is around 0.5% for $\eta = 0.2\%$ and around 3% for $\eta = 1.55\%$, as we had assumed in our comparison to slotted protocols. For 10 devices, the collision probability is around 2% for $\eta = 0.2\%$ and reaches almost 13% for $\eta = 1.55\%$. From these results, we can conclude that PI-based protocols parametrized using our proposed schemes perform optimally in the unidirectional case and essentially optimally (since d_m is near-minimal and only a negligible number of discovery attempts fail) in the symmetric case for two devices. For more than two devices, the performance gracefully decreases, while remaining feasible for networks with up to 10 devices being in discovery mode simultaneously. The failure probability in one-way scenarios scales with the number of senders, while the number of passive receivers does not influence the failure rate.

F. Experimental Latency Measurements

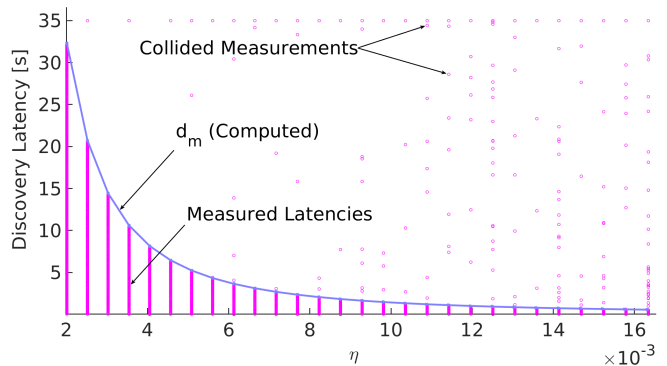


Fig. 10: Measured discovery latencies (scattered points) and computed upper latency bound (solid line).

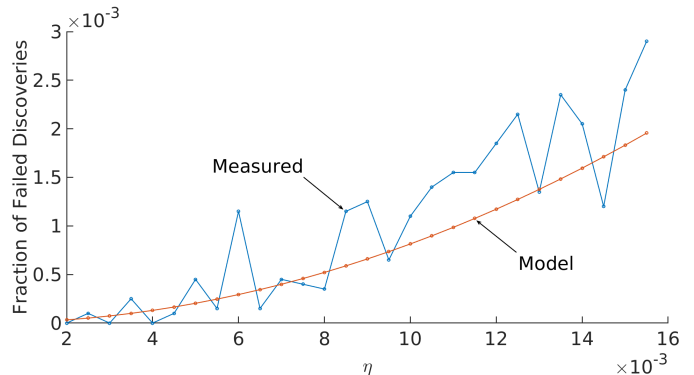


Fig. 11: Predicted and measured fraction of failed discoveries.

To demonstrate that our proposed ND solution can be realized in practice, we have implemented the MultiInt-BC scheme, as described in Section IV, on two wireless radios. Based on the open-source BLE stack Blessed [21], we have

created a custom firmware for two nRF51822-radios. The radios were connected to an ARM Cortex M4 microcontroller via UART. The purpose of this microcontroller was to start and stop the radios, to send them the appropriate parametrizations, to obtain reports on the received packets and to measure the discovery latencies. Both radios have been located in close proximity within an RF-shielded, anechoic box. In multiple runs, the radios repeatedly discovered each other using the MultiInt-BC scheme. After each discovery attempt, the devices have been desynchronized by a random waiting time. In each measurement, both devices were started such that every two neighboring scan windows on two devices had a random time offset within $[0, T_s]$ between each other. Similarly, any pair of beacons on two devices had a random offset within $[0, T_a]$ time-units between each other. After both devices had either discovered each other, or after a timeout of 35 seconds (which exceeded d_m for all duty-cycles) was reached, the radios were stopped, the measured latencies were logged on a laptop and the next measurement round was initiated. For each duty-cycle, the experiment was repeated 10,000 times, leading to 20,000 one-way discoveries. We have considered 28 different duty-cycles between 0.2% to 1.55%, which resulted in 560,000 measured discovery procedures.

The measured discovery-latencies, together with the computed upper limit $d_m(\eta)$, are shown in Figure 10. Each scattered point represents a measured latency of one discovery procedure, whereas the solid line depicts the upper bound predicted by our theory. As can be seen, the measured latencies always lie below this bound, except for a few collided attempts.

Figure 11 shows the measured fraction of discoveries that have exceeded the predicted worst-case bound d_m by more than 1%. Deviations below 1% have been considered as measurement inaccuracies. In addition, the predicted fraction of failed discoveries from Equation 41 is shown. As can be seen, the measurements match the predicted values well. For a duty-cycle of 0.2%, all 20,000 discoveries were successful, whereas the maximum number of failures was 58 for $\eta = 1.55\%$. This corresponds to the failure rate of 0.29% depicted in Figure 11. These results show that our proposed MultiInt-BC scheme reaches the predicted latencies in practice, while also offering very low failure probabilities.

G. Performance of BLE

In Section IV-E, we have described how our proposed parametrization framework can be used to optimize BLE. Recall that BLE requires that a random delay is added to each instance of T_a . In addition, a reception phase after each transmission is required in the case of bi-directional discovery. This affects its latency-duty-cycle performance. In the following, we evaluate how the performance of BLE configured using the SingleInt-BLE scheme compares to an ideal PI-based protocol configured using the SingleInt scheme (i.e., SingleInt without any overheads of and modifications for BLE). The values for this comparison have been obtained from computations.

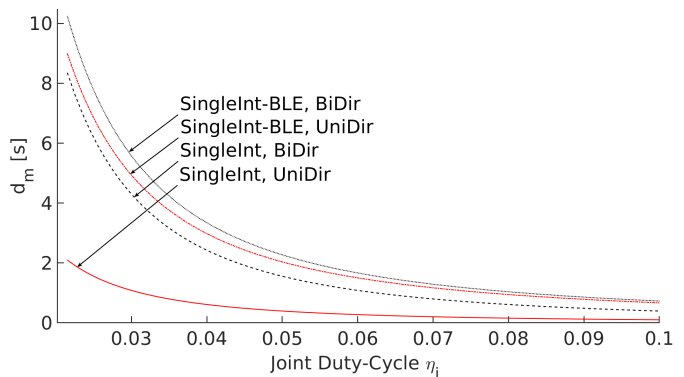


Fig. 12: Performance of BLE configured using SingleInt.

| Value | BLE, UniDir | BLE, BiDir |
|-------|------------------|-------------------|
| T_a | 23 ms – 88 ms | 27 ms – 101 ms |
| T_s | 655 ms – 8.990 s | 715 ms – 10.241 s |
| d_s | 35 ms – 99 ms | 38 ms – 112 ms |

TABLE IV: Parameter values chosen by SingleInt-BLE.

For this evaluation, we assume the following overheads for BLE: $o_a = 619\mu\text{s}$, $o_{a2} = 143\mu\text{s}$, $o_s = 11\text{ms}$. They result from the following assumptions:

- We assume a packet length of 30 bytes, which is a realistic value for BLE (e.g., for a location beacon).
- We assume that any two consecutive packet transmissions on two different channels are spaced from each other by 150 μs . Further, we assume that the radio consumes the same power during the time between two consecutive transmissions as for switching from transmission to reception.
- We assume that the power consumption for transmission is identical to that for reception.
- We assume the following values from the literature [22] for a BLE radio: Idle-listening (i.e., the short listening phase after transmitting a packet) takes 74 μs and the quotient of the power consumption for transmission over that for switching from reception to transmission has a value of 0.46.

- We study a range of duty-cycles between 2.15% and 10%. The range of considered duty-cycles for SingleInt-BLE needs to be larger than for the unmodified SingleInt scheme, since BLE uses significantly larger beacon lengths, leading to increased duty-cycles for reaching the same worst-case latency.

Figure 12 depicts the worst-case latencies of BLE configured using the SingleInt-BLE scheme, both for the unidirectional and bidirectional advertising modes. In addition, the worst-case latencies of an unmodified PI protocol configured according to the SingleInt scheme without the modifications for BLE are shown. The depicted duty-cycle η_j is the joint duty-cycle of both devices, i.e., the sum of the duty-cycles of two devices that carry out the discovery procedure. For unidirectional discovery, one can see that the worst-case latencies of SingleInt-BLE are increased compared to the latencies obtained by protocols applying the original SingleInt scheme. On the average, for unidirectional discovery, SingleInt-BLE has a $5.5\times$ larger worst-case latency than the original SingleInt scheme. For bidirectional discovery, SingleInt-BLE on the average has a $1.5\times$ larger worst-case latency. Table IV depicts the range of interval lengths chosen by the SingleInt-BLE scheme in the considered range of duty-cycles. As can be seen,

they are considerably larger than for the unmodified SingleInt scheme. This range of values complies to the BLE standard and is supported by typical commercial BLE stacks. E.g., the Nordic S110 SoftDevice supports values of T_a between 20 ms and 10.24 s and values of T_s and d_s between 2.5 ms and 10.24 s [23].

VI. CONCLUDING REMARKS

We have introduced a parametrization scheme for slotless, PI-based ND protocols. Since such protocols can make use of more degrees of freedom than slotted ones, they can optimize their beacon transmission rate and achieve significantly lower discovery latencies in scenarios with few devices discovering each other simultaneously. In addition, unlike most previously proposed deterministic protocols, PI-based ones can realize practically every specified duty-cycle. Therefore, they are a practical choice for many personal area networks and IoT scenarios. One variant of our scheme can also be used for parameterizing BLE, which makes it highly relevant for practical applications. With a PI-based protocol configured according to our proposed scheme, a protocol with provably optimal performance is available. It performs optimally for unidirectional discovery between one sender and one receiver, and near-optimally for bi-directional symmetric discovery between two devices. For larger numbers of devices discovering each other, collisions will play an increasing role, and hence an increasing number of discovery attempts will fail. For such scenarios, the development of an optimal ND protocols remains open for future research.

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APPENDIX

In this appendix, we give a detailed derivation of the constraints of the SingleInt scheme, which have been described in Section III-C4.

Given a lower limit $d_{s,m}$ of the scan window that the radio hardware can realize, we always enforce $d_s(\eta) \geq d_{s,m}$. Using Equation 11, we can write this inequality as

$$d_s(\eta) = \frac{d_a(M+1)(\eta+1)}{(\eta(M+1)-1)} \geq d_{s,m} \quad (47)$$

When solving this inequality, two cases can occur:

$$1) \ d_a(M+1)(\eta+1) \geq d_{s,m} \cdot (\eta(M+1)-1) \text{ and } \eta(M+1)-1 > 0$$

This condition implies that $M > 1/\eta - 1$ and $(M+1) \cdot (\eta(d_a - d_{s,m}) + d_a) \geq -d_{s,m}$. From this directly follows that if $\eta(d_a - d_{s,m}) + d_a > 0$ and hence, $\eta < d_a/d_{s,m} - d_a$, then it is

$$M \geq \frac{d_{s,m}(\eta-1) - d_a \cdot (\eta+1)}{d_a \cdot (\eta+1) - \eta d_{s,m}} \quad (48)$$

This is already fulfilled by $M > 1/\eta - 1$, which we have required above. Further, if $\eta \cdot (d_a - d_{s,m}) + d_a < 0$ and hence, $\eta > d_a/d_{s,m} - d_a$ then ,

$$M \leq \frac{d_{s,m}(\eta-1) - d_a \cdot (\eta+1)}{d_a \cdot (\eta+1) - \eta d_{s,m}} \quad (49)$$

$$2) \ d_a(M+1)(\eta+1) \leq d_{s,m} \cdot (\eta(M+1)-1) \text{ and } \eta(M+1)-1 < 0$$

This case is not feasible, since $d_s(\eta)$ from Equation 47 would become negative.

In summary, we require that

$$M > \frac{1}{\eta} - 1 \quad (50)$$

If $\eta > d_a/d_{s,m} - d_a$, we further require

$$M \leq \frac{d_{s,m}(\eta-1) - d_a \cdot (\eta+1)}{d_a \cdot (\eta+1) - \eta d_{s,m}} \quad (51)$$